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### CONTRACT

### 30803

THIS CONTRACT is made and entered, in duplicate, as of August 20, 2008 for reference purposes only, pursuant to a minute order adopted by the City Council of the City of Long Beach at its meeting held on August 19, 2008, by and between ALL AMERICAN ASPHALT, a California corporation ("Contractor"), whose address is 400 E. Sixth Street, Corona, California 92879, and the CITY OF LONG BEACH, a municipal corporation ("City").

WHEREAS, pursuant to a "Notice Inviting Bids for the Improvement of Orange Avenue at Alamitos Avenue from 15th Street to Barcelona Place in the City of Long Beach, California," dated July 23, 2008, and published by City, bids were received, publicly opened and declared on the date specified in said Notice; and

WHEREAS, the City Manager accepted the bid of Contractor; and WHEREAS, the City Council authorized the City Manager to enter a contract with Contractor for the work described in Plans & Specifications No. R-6718;

NOW, THEREFORE, in consideration of the mutual terms and conditions herein, the parties agree as follows:

1. SCOPE OF WORK. Contractor shall furnish all necessary labor, supervision, tools, materials, supplies, appliances, equipment and transportation for the work described in "Plans & Specifications No. R-6718 for the Improvement of Orange Avenue at Alamitos Avenue from 15th Street to Barcelona Place in the City of Long Beach, California," said work to be performed according to the Contract Documents identified below. However, this Contract is intended to provide to City complete and finished work and, to that end, Contractor shall do everything necessary to complete the work, whether or not specifically described in the Contract Documents.

### 2. PRICE AND PAYMENT.

City shall pay to Contractor the amount(s) for materials and work identified in Contractor's "Bid for the Improvement of Orange Avenue at 1

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Alamitos Avenue from 15<sup>th</sup> Street to Barcelona Place in the City of Long Beach, California," attached hereto as Exhibit "A".

B. Contractor shall submit requests for progress payments and City will make payments in due course of payments in accordance with Section 9 of the Standard Specifications for Public Works Construction (latest edition).

### 3. CONTRACT DOCUMENTS.

The Contract Documents include: The Notice Inviting Bids, Plans & Specifications No. R-6718 (which may include by reference the Standard Specifications for Public Works Construction, latest edition, and any supplements thereto, collectively the "Standard Specifications"); the City of Long Beach Standard Plans; Plans and Drawings No. C-5905 for this work; the California Code of Regulations; the various Uniform Codes applicable to trades; the prevailing wage rates; Instructions to Bidders; the Bid; the bid security; the City of Long Beach Disadvantaged, Minority and Women-Owned Business Enterprise Program; this Contract and all documents attached hereto or referenced herein including but not limited to insurance; Bond for Faithful Performance; Payment Bond; Notice to Proceed; Notice of Completion; any addenda or change orders issued in accordance with the Standard Specifications; any permits required and issued for the work; approved final design drawings and documents; and the Information Sheet. These Contract Documents are incorporated herein by the above reference and form a part of this Contract.

В. Notwithstanding Section 2-5.2 of the Standard Specifications, if any conflict or inconsistency exists or develops among or between Contract Documents, the following priority shall govern: 1) Change Orders; 2) this Contract; 3) Permit(s) from other public agencies; 4) Plans & Specifications No. R-6718; 5) Addenda; 6) Plans and Drawings No. C-5905; 7) the City of Long Beach Standard Plans; 8) Standard Specifications; 9) other reference specifications; 10) other reference plans; 11) the bid; and 12) the Notice Inviting Bids.

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- 5. ACCEPTANCE OF WORK NOT TO CONSTITUTE A WAIVER. The acceptance of any work or the payment of any money by City shall not operate as a waiver of any provision of any Contract Document, of any power reserved to City, or of any right to damages or indemnity hereunder. The waiver of any breach or any default hereunder shall not be deemed a waiver of any other or subsequent breach or default.
- 6. <u>WORKERS' COMPENSATION CERTIFICATION</u>. Concurrently herewith, Contractor shall submit certification of Workers' Compensation coverage in accordance with California Labor Code Sections 1860 and 3700, a copy of which is attached hereto as Exhibit "B".
- 7. <u>CLAIMS FOR EXTRA WORK</u>. No claim shall be made at any time upon City by Contractor for and on account of any extra or additional work performed or materials furnished, unless such extra or additional work or materials shall have been expressly required by the City Manager and the quantities and price thereof shall have been first agreed upon, in writing, by the parties hereto.
- 8. <u>CLAIMS</u>. Contractor shall, upon completion of the work, deliver possession thereof to City ready for use and free and discharged from all claims for labor and materials in doing the work and shall assume and be responsible for, and shall protect, defend, indemnify and hold harmless City from and against any and all claims, demands, causes of action, liability, loss, costs or expenses for injuries to or death of persons, or damages to property, including property of City, which arises from or is connected with the performance of the work.

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9. INSURANCE. Prior to commencement of work, and as a condition precedent to the effectiveness of this Contract, Contractor shall provide to City evidence of all insurance required in the Contract Documents.

In addition, Contractor shall complete and deliver to City the form ("Information Sheet") attached as Exhibit "C" and incorporated by reference, to comply with Labor Code Section 2810.

- 10. WORK DAY. Contractor shall comply with Sections 1810 through 1815 of the California Labor Code regarding hours of work. Contractor shall forfeit, as a penalty to City, the sum of Twenty-five Dollars (\$25) for each worker employed by Contractor or any subcontractor for each calendar day such worker is required or permitted to work more than eight (8) hours unless that worker receives compensation in accordance with Section 1815.
- 11. PREVAILING WAGE RATES. Contractor is directed to the prevailing wage rates. Contractor shall forfeit, as a penalty to the City, Fifty Dollars (\$50) for each laborer, worker or mechanic employed for each calendar day, or portion thereof, that such laborer, worker or mechanic is paid less than the prevailing wage rates for any work done by Contractor, or any subcontractor, under this Contract.

### 12. **COORDINATION WITH GOVERNMENTAL REGULATIONS.**

- A. If the work is terminated pursuant to an order of any Federal or State authority, Contractor shall accept as full and complete compensation under this Contract such amount of money as will equal the product of multiplying the Contract price stated herein by the percentage of work completed by Contractor as of the date of such termination, and for which Contractor has not been paid. If the work is so terminated, the City Engineer, after consultation with Contractor, shall determine the percentage of work completed and the determination of the City Engineer shall be final.
- B. If Contractor is prevented, in any manner, from strict compliance with the Plans and Specifications due to any Federal or State law, rule

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or regulation, in addition to all other rights and remedies reserved to the parties City may by resolution of the City Council suspend performance hereunder until the cause of disability is removed, extend the time for performance, make changes in the character of the work or materials, or terminate this Contract without liability to either party.

### 13. NOTICES.

- Any notice required hereunder shall be in writing and personally delivered or deposited in the U.S. Postal Service, first class, postage prepaid, to Contractor at the address first stated herein, and to the City at 333 West Ocean Boulevard, Long Beach, California 90802, Attn: City Manager. Notice of change of address shall be given in the same manner as stated herein for other notices. Notice shall be deemed given on the date deposited in the mail or on the date personal delivery is made, whichever first occurs.
- B. Except for stop notices and claims made under the Labor Code, City will notify Contractor when City receives any third party claims relating to this Contract in accordance with Section 9201 of the Public Contract Code.
- 14. BONDS. Contractor shall, simultaneously with the execution of this Contract, execute and deliver to City a good and sufficient corporate surety bond, in the form attached hereto and in the amount specified therein, conditioned upon the faithful performance of this Contract by Contractor, and a good and sufficient corporate surety bond, in the form attached hereto and in the amount specified therein, conditioned upon the payment of all labor and material claims incurred in connection with this Contract.
- 15. COVENANT AGAINST ASSIGNMENT. Neither this Contract nor any of the moneys that may become due Contractor hereunder may be assigned by Contractor without the written consent of City first had and obtained, nor will City recognize any subcontractor as such, and all persons engaged in the work of construction will be considered as independent contractors or agents of Contractor and will be held directly responsible to Contractor.

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### 16. CERTIFIED PAYROLL RECORDS.

A. Contractor shall keep and shall cause each subcontractor performing any portion of the work under this Contract to keep an accurate payroll record, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by Contractor or subcontractor in connection with the work, all in accordance with Division 2, Part 7, Article 2 of the California Labor Code. Such payroll records for Contractor and all subcontractors shall be certified and shall be available for inspection at all reasonable hours at the principal office of Contractor pursuant to the provisions of Section 1776 of the Labor Code. Contractor's failure to furnish such records to City in the manner provided herein for notices shall entitle City to withhold the penalty prescribed by law from progress payments due to Contractor.

- B. Upon completion of the work, Contractor shall submit to the City certified payroll records for Contractor and all subcontractors performing any portion of the work under this Contract. Certified payroll records for Contractor and all subcontractors shall be maintained during the course of the work and shall be kept by Contractor for up to three (3) years after completion of the work.
- C. The foregoing is in addition to, and not in lieu of, any other requirements or obligations established and imposed by any department of the City with regard to submission and retention of certified payroll records for Contractor and subcontractors.
- 17. <u>RESPONSIBILITY OF CONTRACTOR</u>. Notwithstanding anything to the contrary in the Standard Specifications, Contractor shall have the responsibility, care and custody of the work. If any loss or damage occurs to the work that is not covered by collectible commercial insurance, excluding loss or damage caused by earthquake or flood or the negligence or willful misconduct of City, then Contractor shall immediately

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make the City whole for any such loss or pay for any damage. If Contractor fails or refuses to make the City whole or pay, then City may do so and the cost and expense of doing so shall be deducted from the amount due Contractor from City hereunder.

18. CONTINUATION. Termination or expiration of this Contract shall not terminate the rights or liabilities of either party which rights or liabilities accrued or existed prior to termination or expiration of this Contract.

### 19. TAXES AND TAX REPORTING.

As required by federal and state law, City is obligated to report the payment of compensation to Contractor on Form 1099-Misc. and Contractor acknowledges that Contractor is not entitled to payment under this Contract until it has provided its Employer Identification Number to City. Contractor shall be solely responsible for payment of all federal and state taxes resulting from payments under this Contract.

- Contractor shall cooperate with City in all matters relating to B. taxation and the collection of taxes, particularly with respect to the self-accrual of use tax. Contractor shall cooperate as follows: (i) for all leases and purchases of materials, equipment, supplies, or other tangible personal property totaling over \$100,000 shipped from outside California, a qualified Contractor shall complete and submit to the appropriate governmental entity the form in Appendix "A" attached hereto; and (ii) for construction contracts and subcontracts totaling \$5,000,000 or more, Contractor shall obtain a sub-permit from the California Board of Equalization for the Work site. "Qualified" means that the Contractor purchased at least \$500,000 in tangible personal property that was subject to sales or use tax in the previous calendar year.
- C. In completing the form and obtaining the permit(s), Contractor shall use the address of the Work site as its business address and may use any address for its mailing address. Copies of the form and permit(s) shall also be delivered to the City Engineer. The form must be submitted and the permit(s)

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obtained as soon as Contractor receives a Notice to Proceed. Contractor shall not order any materials or equipment over \$100,000 from vendors outside California until the form is submitted and the permit(s) obtained and, if Contractor does so, it shall be a material breach of this Contract. In addition, Contractor shall make all purchases from the Long Beach sales office of its vendors if those vendors have a Long Beach office and all purchases made by Contractor under this Contract which are subject to use tax of \$500,000 or more shall be allocated to the City of Long Beach. Contractor shall require the same form and permit(s) from its subcontractors.

- D. Contractor shall not be entitled to and by signing this Contract waives any claim or damages for delay against City if Contractor does not timely submit these forms to the appropriate governmental entity. contact the City Controller at (562) 570-6450 for assistance with the form.
- 20. ADVERTISING. Contractor shall not use the name of City, its officials or employees in any advertising or solicitation for business, nor as a reference, without the prior approval of the City Manager, City Engineer or designee.
- 21. AUDIT. If payment of any part of the consideration for this Contract is made with federal, state or county funds and a condition to the use of those funds by City is a requirement that City render an accounting or otherwise account for said funds, then City shall have the right at all reasonable times to examine, audit, inspect, review, extract information from, and copy all books, records, accounts and other information relating to this Contract.
- 22. NO PECULIAR RISK. Contractor acknowledges and agrees that the work to be performed hereunder does not constitute a peculiar risk of bodily harm and that no special precautions are required to perform said work.
- 23. THIRD PARTY BENEFICIARY. This Contract is intended by the parties to benefit themselves only and is not in any way intended or designed to or entered for the purpose of creating any benefit or right of any kind for any person or entity

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that is not a party to this Contract.

- 24. SUBCONTRACTORS. Contractor agrees to and shall bind every subcontractor to the terms of this Contract; provided, however, that nothing herein shall create any obligation on the part of City to pay any subcontractor except in accordance with a court order in an action to foreclose a stop notice. Failure of Contractor to comply with this Section shall be deemed a material breach of this Contract. subcontractor(s) submitted by Contractor in compliance with Public Contract Code Sections 4100 et seq. is attached hereto as Exhibit "D" and incorporated herein by this reference.
- 25. NO DUTY TO INSPECT. No language in this Contract shall create and City shall not have any duty to inspect, correct, warn of or investigate any condition arising from Contractor's work hereunder, or to insure compliance with laws, rules or regulations relating to said work. If City does inspect or investigate, the results thereof shall not be deemed compliance with or a waiver of any requirements of the Contract Documents.
- 26. GOVERNING LAW. This Contract shall be governed by and construed pursuant to the laws of the State of California (except those provisions of California law pertaining to conflicts of laws).
- 27. INTEGRATION. This Contract, including the Contract Documents identified in Section 3 hereof, constitutes the entire understanding between the parties and supersedes all other agreements, oral or written, with respect to the subject matter herein.
- 28. <u>COSTS</u>. If there is any legal proceeding between the parties to enforce or interpret this Contract or to protect or establish any rights or remedies hereunder, the prevailing party shall be entitled to its costs, including reasonable attorney's fees.
- 29. NONDISCRIMINATION. In connection with performance of this Contract and subject to federal laws, rules and regulations, Contractor shall not

failure to perform in accordance with the Plans and Specifications, failure to comply with any Contract Document, failure to pay any penalties, fines or charges assessed against Contractor by any public agency, failure to pay any charges or fees for services performed by the City, and if Contractor has substituted any security in lieu of retention, then default shall also include City's receipt of a stop notice. If default occurs and Contractor has substituted any security in lieu of retention, then in addition to City's other legal remedies, City shall have the right to draw on the security in accordance with Public Contract Code Section 22300 and without further notice to Contractor. If default occurs and Contractor has not substituted any security in lieu of retention, then City shall have all legal remedies available to it.

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### **CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT**

| State of California  |  |
|--|--|
| County of Riverside  |  |
| On August 13, 2008 before  | me, Brenda L. Royster, Notary Public , Here Insert name and Title of the Officer   |
| personally appeared  | Robert Bradley and Mark Luer Name(s) of Signer(s)  |
| BRENDA L. ROYSTER Commission # 1700922 Notary Public - California Riverside County My Comm. Expires Oct 26, 2010 | who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the forgoing paragraph is true and correct. |
| Place Notary Seal Above  OPTI  Though the information below is not re  | Signature Signature of Notary Public   |
|  | t removal and reattachment of this form to another document.   |
| •  | act – City of Long Beach   |
| Document Date: August 13, 20   | 008 Number of Pages:11   |
| Signer(s) Other Than Named Above:  | None   |
| □ Partner - □ Limited □ General □ Attorney in Fact □ Trustee □ Other: □ Signer is Representing:                  | Signer's Name:Mark Luer  Individual  X Corporate Officer — Title(s): _Secretary  Partner — □ Limited □ General □ Attorney in Fact □ Trustee □ Other:  Signer is Representing:  |
| All American Asphalt   | All American Asphalt   |

# EXHIBIT "A"

Contractor's Bid

BIDDER'S NAME: ALL AMERICAN ASPHALT

# BID FOR THE IMPROVEMENT OF ORANGE AVENUE AT ALAMITOS AVENUE FROM 15<sup>TH</sup> STREET TO BARCELONA PLACE IN THE CITY OF LONG BEACH, CALIFORNIA

In accordance with the Notice Inviting Bids for the above titled Work in the City of Long Beach, California, a copy of which is attached hereto and is made a part hereof, to be opened on July 23, 2008, at 10:00 a.m., we propose to furnish all necessary labor, tools, materials, appliances and equipment for and perform all Work mentioned in said Notice Inviting Bids, in full compliance with Plans & Specifications No. R-6718 at the following prices:

| ITEM<br>NO. | ITEM DESCRIPTION QUAN                                |    | UNIT |                     | ITEM TOTAL<br>(IN FIGURES) |
|-------------|--|----|------|---------------------|----------------------------|
| 1.          | Adjust City Manhole Frame & Cover                    | 3  | Ea   | S00.00              | 7,400.00                   |
| 2.          | Adjust L.A.C.S.D. Manhole<br>Frame & Cover           | 2  | Ea   | 800.00              | 1,600.00                   |
| 3.          | Manhole Step   | 2  | Ea   | 155.00              | 310.00                     |
| 4.          | Adjust Water Valve Box & Cover and Meter Box & Cover | 12 | Ea   | 100.00              | 1,200.00                   |
| 5.          | Adjust Gas Valve Box<br>& Cover                      | 2  | Еа   | 100.00              | 200.00                     |
| 6.          | Replace Pull Box                                     | 1  | Еа   | 510.00 kg<br>250.00 | 510 00 PB                  |
| 7.          | Relocate Puli Box                                    | 2  | Еа   | 765.00 RB           | 1,530.00 RB                |

| 8.  | Survey Monument Type C with Casting & Cover           | 1     | Ea | 1,170.00            | 1,170.00               |
|-----|---|-------|----|---------------------|------------------------|
| 9.  | Adjust Survey Monument Casting & Cover                | 1     | Ea | 400.00              | 400.00                 |
| 10. | Survey Bench Mark,<br>Type 1                          | 1     | Ea | 500.00              | 500.60                 |
| 11. | Curb Drain  | 1     | Ea | 1800-00             | 3 <del>600.00</del> ₽8 |
| 12. | Concrete Removal                                      | 317   | CY | 80.00               | 25,360.00              |
| 13. | Bituminous Pavement<br>Removal                        | 187   | CY | 90.00               | 16,830.00              |
| 14. | (S) Cold Milling Portland<br>Cement Concrete Pavement | 1,390 | SY | 6.50                | 9,035.00               |
| 15. | (S) Cold Milling Asphalt<br>Concrete Pavement         | 167   | SY | 5.00                | 835.00                 |
| 16. | Unclassified Excavation                               | 704   | CY | 22.00               | 15,488.00              |
| 17. | Remove Steel Beam                                     | 2     | EA | 600.00              | 1,200.00               |
| 18. | Remove SD Catch Basin                                 | 4     | EA | 1100.00<br>1,000.00 | 4,000.00.PB            |
| 19. | Remove SD 12" NRCP Pipes                              | 175   | LF | 31.00<br>-28.00 PB_ | 5425.00<br>4900.00 RB  |
| 20. | Clearing and Grubbing                                 | 1     | LS | 35000.00            | 35000.00               |
|     |   |       |    |                     |                        |

| 21. | Root Pruning                                | 48    | LF  | 62.00              | 2976.00                            |
|-----|---|-------|-----|--------------------|------------------------------------|
| 22. | Tree Pruning                                | 4     | Ea  | 415.00             | 1660.00                            |
| 23. | Tree Removing                               | 2     | Ea  | 860.00             | 160.00                             |
| 24. | Crushed Miscellaneous Base                  | 280   | CY  | 50.00              | 14,000.00                          |
| 25. | Asphalt Concrete Pavement                   | 492   | Ton | 97.00              | 47,724.00                          |
| 26. | Asphalt Rubber Pavement                     | 339   | Ton | 120.00             | 40,680.00                          |
| 27. | P.C.C. Curb, GB Type A1,<br>Integral        | 90    | LF  | 21.00              | 1,890.00                           |
| 28. | P.C.C. Curb & Gutter,<br>GB Type A2, W=1.5' | 1,549 | LF  | ZO.00              | 30,980.00                          |
| 29. | P.C.C. Sidewalk, 3" thick                   | 7,938 | SF  | 4.30               | 34,133.40                          |
| 30. | (S) Curb Ramp Detectable<br>Warning Surface | 144   | SF  | 30.00              | 4,320.00                           |
| 31. | P.C.C. Bus Stop Street Pad<br>10" Thick     | 700   | SF  | 11.25              | 7,875.00                           |
| 32. | Permanent Roadway Signing                   | 1     | LS  | 5000.00            | 500.00                             |
| 33. | Curb Opening Catch Basin                    | 4     | EA  | 420.00<br>4100.00  | 17,600.00<br>17,600.00             |
| 34. | Local Depression                            | 4     | EA  | <del>700.∞</del> € | - <del>7800.00</del> RB<br>3200.00 |

| 35.          | Temporary Traffic Control                      | 1      | LS     |                     |                       |
|--------------|--|--------|--------|---------------------|-----------------------|
|              | Devices  | ·      |        | 16542.              | 00.5H2.60             |
| 36.          | 4" PVC Schedule 80 installation                | 100    | LF     | <del>32.00</del> €3 | 3,200.00<br>2200.00   |
| 37.          | Storm Drain 18" R.C.P.,<br>D-2000 installation | 140    | LF     | 145.00 RB           | 20,300.00<br>18900.00 |
| 38.          | Construct Storm Drain<br>Manhole               | 2      | EA     | 4,700.00 rs         | 9,400.00 23           |
| 39.          | P.C.C. Driveway Apron, 4" Thi                  | ck 210 | SF     | 8.00                | 1,680.00              |
| 40.          | P.C.C. Pavement, 8" Thick                      | 60     | SF     | 00.8                | 1,080.00              |
| 41.          | Imported Borrow                                | 1,660  | CY     | 11.60               | 19,256.00             |
| 42.          | Fill and Densification                         | 90     | CY     | 30.00               | 00.00                 |
| 43.          | Traffic Striping & Signing                     | 1      | LS     | 5500.00             | 5300 00               |
| 44.          | (S) Electrical - Street Lighting               | . 1    | LS     | 2000,00             | 2000.00               |
| 45.          | (S) Traffic Signal System                      | 1      | LS     | 13700.0             | 0 137000.00           |
|              | TOTAL AMOU                                     | NT BID |        | <b>5</b> 52,000     | 0.00                  |
| Whe<br>proje | re did your company first hear a<br>ect?       |        | s City | of Long Beach Pu    | blic Works'           |
|              |  |        |        |                     |                       |

### **WORKERS' COMPENSATION CERTIFICATION**

In accordance with California Labor Code Sections 1860 and 3700, I certify that I am aware of the provisions of Section 3700 which requires every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with said provisions before commencing the performance of the Work of this contract.

Contractor's Name:

| AU AMERICAN ASPHALT   |
|---|
|   |
| Signature of Contractor, or a corporate officer of Contractor, or a general partner of Contractor |
| What Bradley  |
| Title: ROBERT BRADIEN, VICE PRESIDENT   |
| Date: August 13,2008  |

### INFORMATION TO COMPLY WITH LABOR CODE SEC. 2810

To comply with Labor Code Sec. 2810, Contractor shall complete and submit this Information Sheet which shall be incorporated into and be a part of the Contract:

| 1) | Worl  | kers' Compensation Insurance:   |
|----|-------|---|
|    | A.    | Policy Number:  |
|    | В.    | Name of Insurer (NOT Broker): SEABRIGHT FUSURANCE Co.   |
|    | C.    | Address of Insurer: 681 S. PARKER #200 - OLANGE, CA 9286  |
|    | D.    | Telephone Number of Insurer: 714-918-5941   |
| 2) |       | vehicles owned by Contractor and used in performing work under this tract:  |
|    | A.    | VIN (Vehicle Identification Number): <u>UNKNOWN AT THIS TIME</u>  |
|    | B.    | Automobile Liability Insurance Policy Number: 7245NGK5491K2   |
|    | C.    | Name of Insurer (NOT Broker): HARTFORD FIRE DUSURANCE Co  |
|    | D.    | Address of Insurer: P.O. Box 2333 - BREA, CA 92122-233  |
|    | E.    | Telephone Number of Insurer: 714-674-1200   |
| 3) | Addı  | ress of Property used to house workers on this Contract, if any:  |
|    |       | None  |
| 4) | Estir | mated total number of workers to be employed on this Contract: <u> </u>   |
| 5) | Estir | mated total wages to be paid those workers: WKNOWN AT 7HS TIME  |
| 6) | Date  | es (or schedule) when those wages will be paid: <u>UNKNOUN AT THIS TIME</u>   |
| 7) | Estir | (Describe schedule: For example, weekly or every other week or monthly) mated total number of independent contractors to be used on this Contract:_ |
|    |       | UNKNOWN AT THIS TIME  |
| 8) | Tax   | payer's Identification Number:  |

# **EXHIBIT "C"**

# EXHIBIT "D"

List of Subcontractors:

### LIST OF SUBCONTRACTORS

1. Bidder shall set forth hereon, the name, location of the place of business, and telephone number of each subcontractor, including minority subcontractors, who will perform work or abor or render service to the Prime Contractor in or about the construction of the Work or improvement, or a subcontractor licensed by the State of California who, under subcontract to he Prime Contractor, specially fabricates and installs a portion of the Work or improvement according to detailed drawings contained in the Plans and Specifications, in an amount in excess of ½ of 1 percent of the Prime Contractor's total bid or \$10,000 (whichever is greater).

Classification or Type of Work

| Name ODOFRY CONST          | Storm drain                         |
|----------------------------|-------------------------------------|
| Address Romoland           | Dollar Amount of Contract \$_58000  |
| Sity                       | DBE / MBE / WBE / Racial Origin     |
| Phone No. 957 - 928 - 0992 | (circle one) License No. 647005     |
| Name 145 Strang            | Striping                            |
| Address Santa le springs   | Dollar Amount of Contract \$ 9700-  |
| City                       | DBE / MBE / WBE / Racial Origin     |
| Phone No. 562-777 - 2411   | License No. 53824                   |
| Name Lincoln Pacific       | electrical                          |
| Address Baldwin Park       | Dollar Amount of Contract \$ 138005 |
| City                       |                                     |
| Phone No. 626-960-7738     | (circle one) License No. 828467     |
| Name V& E tree Service     | trees                               |
| Address Ovange             | Dollar Amount of Contract \$ (000)  |
| City                       | DBE / MBE / WBE / Racial Origin     |
| Phone No. 714 997 0983     | (circle one) License No. 654506     |
| Name                       | ·                                   |
| Address                    | Dollar Amount of Contract \$        |
| City                       | DBE / MBE / WBE / Racial Origin     |
| Phone No                   | (circle one) License No             |
| Name                       |                                     |
| 'dress                     |                                     |
| City                       | DBE / MBE / WBE / Racial Origin     |
| Phone No                   | (circle one) License No             |

Name and Address of Subcontractor

<sup>\*\*</sup> REPRODUCE AND ATTACH ADDITIONAL SHEETS AS NEEDED.

### BOND FOR FAITHFUL PERFORMANCE

| KNOW ALL MEN BY THESE PRESENTS: That we, ALL AMERICAN ASPHALT, a Calif and ***SEE BELOW, located at ***SEE BELOW incorporated under the laws of the State of Maryland, admit  | formia corporation, as PRINCIPAL, a corporation, |
|---|--|
| addition of Mary and Belleville addition  | red as a surety in the State of                  |
| incorporated under the laws of the state of Maryland  | There are a servery to cue arras a-              |
| California, and authorized to transact business in the State of California, as &  | SURETY, are hold and firmly bound                |
| Laightenia, and alternation in the sum of   | : Brief withhams withy with Publicann            |
| unto the CITY OF LONG BEACH, CALIFORNIA, a municipal corporation, in the sum of   | . FIVE RUNDRED ATTITUDE TROUBERTS                |
| notrage (4952.660.00), lawful money of the United States of America, for the  | paymont of which sum, well and                   |
| truly to be made, we bind ourselves, our respective beirs, administrators, exc  | ecutors successors and assists.                  |
| truly to be made, we bind ourselves, our respective nerre, we will prove that the province of | SAMPATE! SHEEFINGTE may downland.                |
| jointly and severally, firmly by these presents. ***FIDELITY AND DEPOSIT CO   | OMPANY OF MARYLAND                               |

THE CONDITION OF THIS OBLIGATION IS SUCH THAT: 801 NO. BRAND BLVD. GLENDALE, CA. 91203

WHEREAS, said Principal has been awarded and is about to enter the ennexed contract (incorporated herein by this reference) with said City of Long Beach for The Improvement of Orange Avenus at Alamitos Avenue from 15<sup>th</sup> Street to Barcoloma Place in the City of Long Beach, California and is required by Said City to give this bond in connection with the execution of said contract;

NOW, THEREFORE, if said Principal shall well and truly keep and faithfully perform all of the covenants, conditions, agreements and obligations of said contract on said Principal's part to be kept; done and performed, at the times and in the manner specified therein, then this obligation shall be null and void, otherwise it shall be and remain in full force and effect;

PROVIDED, that any modifications, alterations or changes which may be made in said contract, or in the work to be done, or in the services to be randered, or in any materials or articles to be furnished pursuant to said contract, or the giving by the City of any extension of time for the performance of said contract, or the giving of any other forbearance upon the part of either the City or the Principal to the other, shall not in any way release the Principal or the Surety, or either of them, or their respective heirs, administrators, executors, successors or assigns, from any liability arising hereunder, and notice to the Surety of any such modifications, alterations, changes, extensions or forbearances is hereby waived. No premature payment by said City to said Principal shall release or exemerate the Surety, unless the officer of said City ordering the payment shall have actual notice at the time the order is made that such payment is in fact premature, and then only to the extent that such payment shall result in actual loss to the Surety, but in no event in an amount more than the amount of such premature payment.

IN WITHESS WHEREOF, the above-named Principal and Surety have executed, or caused to be executed, this instrument with all of the formalities required by law on this 5th day of \_\_AUGUST\_\_\_\_\_\_\_\_, 2008.

| ALL AMERICAN ASPHALT   | ELITY AND                 | DEPOSET, COMPA   | MÝ OF MARYLAND         |
|--|---------------------------|------------------|------------------------|
| Contractor   | SURE                      | Ty admitted in   | California             |
| By: fall becally   | By                        |                  |                        |
| Name: KOBELT BLADLOL   | Namo: OWE                 | n m. brown       |                        |
| Title: VICE PRESIDENT  | Title: ATT                | ORNEY-IN-FACT    |                        |
| Surety   | Telephone:                | 818-409-2800     |                        |
| Name: MARK WER   | Agent:                    | 714-935-1112     | ,<br>øg                |
|  |                           |                  |                        |
| Title: Stockettary   |                           |                  |                        |
| Approved as to form this day   | Approved as               | s to sufficiency | this 14 day            |
| ROBERT E. SHANNON, City Attorpey   |                           |                  | Control of Samuel Con- |
| By:  | ву:                       | Alb.             |                        |
| Deputy City Attorney   | Cy                        | Hannger/City E   | •                      |
| NOTE: 1. Execution of the bond must be acknowledged by both and a Notary's certificate of acknowledgment must be | PRINCIPAL and a strached. | d SURETY before  | a Notary Public        |

A comporation must execute the bond by 2 authorized officers or, if executed by a person not listed in Sec. 313, Calif. Corp. Code, then a certified copy of a resolution of its Board of

Directors authorizing execution must be attached.

1.1

and the particular

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

| State of California   |   |
|---|---|
| County of <u>Riverside</u>  |   |
| On August 13, 2008 before n   | me, Brenda L. Royster, Notary Public  Here Insert name and Title of the Officer   |
| personally appeared   | Robert Bradley and Mark Luer  Name(s) of Signer(s)  |
| BRENDA L. ROYSTER Commission # 1700922 Notary Public - California Riverside County My Comm. Expires Oct 26, 2010  Place Notary Seal Above | who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the forgoing paragraph is true and correct.  WITNESS my band and official seal.  Signature  Signature of Notary Public |
| OPTIO   | ·   |
| Though the information below is not rec   | quired by law, it may prove valuable to person relying on the document removal and reattachment of this form to another document.   |
| Description of Attached Document  |   |
| Title or Type of Document Bond 1  | for Faithful Performance - City of Long Beach   |
| Document Date: August 5, 200  | Number of Pages: 2  |
| Signer(s) Other Than Named Above:   | Owen M. Brown, Attorney-In-Fact   |
| Capacity(ies) Claimed by Signer(s)  |   |
| □ Attorney in Fact OF SIG   | □ Individual  |
| Signer is Representing:  All American Asphalt   | Signer is Representing:  All American Asphalt   |

### **CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

| ototototototototototototototototot   | <u> </u>   |
|--|--|
| State of California  | }  |
| County of ORANGE   |  |
| On $\frac{8-5-08}{\text{Date}}$ before me,   | BARBARA J. BENDER, NOTARY PUBLIC  Here insert Name and Title of the Officer  |
| personally appearedOWEN M.   |  |
| personally appeared  | Name(s) of Signer(s)   |
| NARPANA J. BENDER  | who proved to me on the basis of satisfactory evidence to  |
| Commission # 1801969   | be the person(s) whose name(s) is/s subscribed to the  |
| Notary Public - California   | within instrument and acknowledged to me that  |
| Orange County  | he/seecuted the same in his/seecuted authorized  |
| Mr. Comm. English Jan 13. 2016.  | capacity( ), and that by his/ signature( ) on the  |
| - 5 € .  | instrument the person(a), or the entity upon behalf of   |
| MARKET MA | which the person() acted, executed the instrument.   |
| BARBARA J. BENDER<br>Commission # 1801899  | I certify under PENALTY OF PERJURY under the law   |
| Notary Public - California   | of the State of California that the foregoing paragraph i  |
| Orange County  | true and correct.  |
| My Comm. Expires Jul 13, 2012  |  |
|  | WITNESS my hand and official seal.   |
|  | Has has all motion   |
| Place Notary Seal Above  | Signature Signature of Noterly Public  |
|  | - OPTIONAL -   |
|  | ed by law, it may prove valuable to persons relying on the document poval and reattachment of this form to another document. |
| Description of Attached Document   |  |
| Title or Type of Document:PERFORM  |  |
| Document Date: 8-5-08  | Number of Pages: ONE (1)   |
| Signer(s) Other Than Named Above: ALL  | AMERICAN ASPHALT   |
| Capacity(ies) Claimed by Signer(s)   |  |
| Signer's Name: <u>OWEN M. BROWN</u>  | Signer's Name:   |
| Individual   | ☐ Individual   |
| Corporate Officer — Title(s):  | Corporate Officer — Title(s):  |
| Partner — 🗆 Limited 🗀 General  | THUMBPRINT Partner — DLimited General  |
| Attorney in Fact   | F SIGNER   |
|  | ☐ Irustee  |
| Guardian or Conservator  | ☐ Guardian or Conservator  |
| Other:   | □ Other:   |
| Signer Is Representing:IDELITY AND DEPOSIT   | Signer Is Representing:  |
| OMPANY OF MARYLAND   |  |

# LABOR AND MATERIAL BOND BOND NO. 089 03 566 EXECUTED IN TWO (2) PARTS

KNOW ALL MEN BY THESE PRESENTS: That we, ALL AMERICAN ASPHALT, a California corporation, as PRINCIPAL, and \*\*\*SEE BELOW , located at \*\*\*SEE BELOW , admitted as a surety in the state of California, and authorized to transact business in the State of California, as SURETY, are held and firmly bound unto the CITY OF LONG BEACH, a municipal corporation, in the sum of FIVE HUNDRED FIFTT-TWO THOUSANT DOLLARS (\$552,000.00). lawful money of the United States of America, for the payment of which sum, well and truly to be made, we bind ourselver, our respective heirs, administrators, executors, successors and assigns, jointly and severally, fixmly by these presents. \*\*\*FIDELITY AND DEPOSIT COMPANY OF MARYLAND

THE CONDITION OF THIS OBLIGATION IS SUCH THAT:

801 NO. BRAND BLVD, GLENDALE, CA. 91203

WHEREAS, said Principal has been awarded and is about to enter the annexed contract (incorporated herein by this reference) with said City of Long Beach for The Improvement of Orange Avenue at Alamitos Avenue from 15th Street to Barcelona Place in the City of Long Beach, California and is required by law and by said City to give this bond in connection with the execution of said contract;

NOW, THEREFORE, if said Principal, as Contractor of said contract, or any subcontractor of said Principal, fails to pay for any materials, provisions, equipment, or other supplies, used in upon, for or about the performance of the work contracted to be done, or for any work or labor done thereon, of any kind, or for amounts due under the Unemployment Insurance Act, during the original term of said contract and any extensions thereof, and during the life of any guaranty required under the contract, or shall fail to pay for any materials, provisions, equipment, or other supplies, used in, upon, for or about the performance of the work to be done under any authorized modifications of said contract that may hereafter be made, or for any work or labor done of any kind, or for amounts due under the Unemployment Insurance Act, under said modification, said Surety will pay the same in an amount not exceeding the sum of money hereinshove specified and, in case suit is brought upon this bond, a reasonable attorney's fee, to be fixed by the court: otherwise this obligation shall be void;

PROVIDED, that any modifications, alterations or changes which may be made in said contract, or in any of the work or labor required to be done thereunder, or in any of the materials, provisions, equipment, or other supplies required to be furnished pursuant to said contract, or the giving by the City of any extension of time for the porformance of said contract, or the giving of any other forbearance upon the part of either the City or the Principal to the other, shall not in any way release the Principal or Surety, or either of them, or their respective heirs, administrators, executors, successors or assigns, from any liability arising hereunder, and notice to the Surety of any such modifications, alterations, changes, extensions or forbearance is hereby waived. No premature payment by said City to said Principal shall release or exomerate the Surety, unless the officer of the City ordering the payment shall have actual notice at the time the order is made that the payment in fact premature, and then only to the extent that such payment shall result in actual loss to the Surety, but in no event in an amount more than the amount of such premature payment.

This Bond shall inure to the benefit of any and all persons, companies and corporations entitled by law to file claims so as to give a right of action to them or their assigns in any suit brought upon this bond.

| ALL AMERICAN ASPHALT                            | FIDELITY AND DEPOSIT COMPANY OF MARYLAND                           |
|---|--|
| Contractor                                      | SURETY, admitted in California                                     |
| By: Markey                                      | Ву:  |
| Name: LOBOLT BLADLEY                            | Name: OWEN M. BROWN  |
| Title: VICE PRESIDENT                           | Title: ATTORNEY-IN-FACT  |
| By: 11/4  | Surety Telephone: 818-409-2800<br>Agent: 714-935-1112              |
| WARE: MARK LUER                                 | Agent: 714-933-1112  |
| Title: StokeTARY                                |  |
| Approved as to form this 18 day ofAugust, 2008. | Approved as to sufficiency this 14th day of August 2008.           |
| ROBERT E. SHANNON, City Attorney                |  |
| By:   | By:  |
| Deputy City Attorney                            | City Managor/City Engineer   |
| NOTE: 1. Secution of the bond must be ackn      | owledged by both PRINCIPAL and SURETY before a Notary Public and a |

A componation must execute the bond by 2 authorized officers or, if executed by a person not listed in

Sec. 313, Calif. Corp. Code, then a certified copy of a resolution of its Board of Directors

LT:bg A08-02238 L:\Apps\ClyLaw32\WPDocm\D028\P007\00135400.DQC

outhorizing execution must be attached.

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

| State of California  |  |   |  |
|--|--|---|--|
| County of Riverside  | _  |   |  |
| On August 13, 2008   | before me,   | Brenda L. Royster, Notary Public  |  |
| Date   |  | Here Insert name and Title of the Officer   |  |
| personally appeared  |  | adley and Mark Luer   |  |
|  | N  | ame(s) of Signer(s)   |  |
|  |  |   | <b>9</b>   |
| BRENDA L. ROYSTER Commission # 1700922 Notary Public - California Riverside County My Comm. Expires Oct 26, 2010 | person(s) and acknown his/her/the signature(control of which the street of which the signature of which the signat | ed to me on the basis of satisfactory en<br>whose name(s) is/are subscribed to the<br>owledged to me that he/she/they execu-<br>ir authorized capacity(ies), and that<br>s) on the instrument the person(s), or the<br>ne person(s) acted, executed the instrument<br>order PENALTY OF PERJURY under the latest | within instrument<br>ted the same in<br>by his/her/their<br>entity upon behalf<br>nt.<br>aws of the State of |
| Place Notary Seal Above  | WITNESS Signature_   | my hand and official seal.  Signature of Notary Public  | oysti  |
| Though the information helps   | — OPTIONAL —   | it may prove valuable to person relying on the  | document   |
|  |  | reattachment of this form to another document.  |  |
| Description of Attached Docume   | ent  |   |  |
| Title or Type of Document  | Labor and Mate   | rial Bond – City of Long Beach  |  |
| Document Date: Augus   | st 5, 2008   | Number of Pages: 2  |  |
| Signer(s) Other Than Named Abov  | ve: Owen M   | . Brown, Attorney-In-Fact   |  |
| Capacity(ies) Claimed by Signer  | (s)  |   |  |
| Signer's Name: Robert  |  | Signer's Name: <u>Mark Luer</u>   |  |
| □ Individual   |  | □ Individual  |  |
| X Corporate Officer — Title(s):  | Vice President   | X Corporate Officer — Title(s): <u>Secr</u>   | etary  |
| □ Partner □ Limited □ General  | RIGHT THUMBPRINT   | □ Partner – □ Limited □ General   | RIGHT THUMBPRINT   |
| ■ Attorney in Fact   | OF SIGNER Top of thumb here  | ☐ Attorney in Fact  | OF SIGNER Top of thumb here  |
| □ Trustee  | top of thems have  | □ Trustee   |  |
| Other:   |  | □ Other:  |  |
| Signer is Representing: All American Asphalt   |  | Signer is Representing:  All American Asphalt   |  |

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT <del></del> State of California ORANGE County of \_\_\_\_ 8-5-08 before me. BARBARA J. BENDER, NOTARY PUBLIC Here Insert Name and Title of the Officer OWEN M. BROWN personally appeared \_\_\_\_\_ Name(s) of Signer(s) who proved to me on the basis of satisfactory evidence to BARBARA J. BENDER be the person(s) whose name(s) is/see subscribed to the Commission # 1801899 within instrument and acknowledged to me that Votary Public - California he/see executed the same in his/see authorized **Orange County** capacity(in), and that by his/hardinair signature(o) on the instrument the person(), or the entity upon behalf of which the person(a) acted, executed the instrument. BARBARA J. BENDER I certify under PENALTY OF PERJURY under the laws Commission # 1801899 Notary Public - California of the State of California that the foregoing paragraph is **Orange County** true and correct. v Comm. Expires Jul 13, 2012 WITNESS my band and official se Signature\_ Place Notary Seal Above OPTIONAL -Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document. **Description of Attached Document** LABOR & MATERIAL BOND NO. 089 03 566 Title or Type of Document: \_\_\_ Number of Pages: ONE (1) 8-5-08 Document Date: ALL AMERICAN ASPHALT Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Signer's Name: <u>OWEN M. BROWN</u> ☐ Individual Individual □ Corporate Officer — Title(s): \_\_\_ □ Corporate Officer — Title(s): Partner — ☐ Limited ☐ General ☐ Partner — ☐ Limited ☐ General XXX Attorney in Fact ☐ Attorney in Fact Top of thumb here Top of thumb here ☐ Trustee Trustee □ Guardian or Conservator Guardian or Conservator Other: Other: \_\_\_\_\_\_ Signer Is Representing: \_\_\_ Signer Is Representing: FIDELITY AND DEPOSIT

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COMPANY OF MARYLAND

### Power of Attorney FIDELITY AND DEPOSIT COMPANY OF MARYLAND

KNOW ALL MEN BY THESE PRESENTS: That the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, a corporation of the State of Maryland, by WILLIAM J. MILLS, Vice President, and ERIC D. BARNES, Assistant Secretary, in pursuance of authority granted by Article VI, Section 2, of the By-Laws of said Company, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, does hereby nominate, constitute and appoint Owen M. BROWN, of Anaheim, California, its true and lawfor scent and Attorney in Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and need. January and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of hase presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they taid been duly executed and acknowledged by the regularly elected officers of the Company at its office in Baltimore Mall in their purposers persons. This power of attorney revokes that issued on behalf of Owen M. BROWN dated February 477 2003

The said Assistant Secretary does bereby cortify that the extract set forth on the reverse side hereof is a true copy of Article VI, Section 2, of the By-Large of haid Company, and is now in force.

IN WITNESS WHEREOF, the said Vice-President and Assistant Secretary have hereunto subscribed their names and affixed the Corporate Seal of the said FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 27th day of December, A.D. 2004.

Juie D. Bairf

ATTEST:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND



Eric D. Barnes Assistant Secretary

William J. Mills

Vice President

State of Maryland ss: City of Baltimore

On this 27th day of December, A.D. 2004, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, came WILLIAM J. MILLS, Vice President, and ERIC D. BARNES, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and they each acknowledged the execution of the same, and being by me duly sworn, severally and each for himself deposeth and saith, that they are the said officers of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and that the said Corporate Seal and their signatures as such officers were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Maria D. Adamski

My Commission Expires: July 8, 2011

naria D. alama

APPENDIX "A"

Please Type or Print Clearly. Read instructions on reverse before completing this form. SECTION I - BUSINESS INFORMATION SALEGAUSE TAX PERMIT NUMBER CONSUMER USE YAX ACCOUNT NUMBER If applicant is applying for either a sales/use tax permit or a consumer use tax account in addition to a use tax direct payment permit check here CITY, STATE & ZIP CODE NAME UNDER WHICH BUSINESS IS TO BE TRANSACTED IF DIFFERENT THAN ABOVE SECTION II - MULTIPLE BUSINESS LOCATIONS LIST BELOW THE BUSINESS AND MAILING ADDRESSES OF ALL LOCATIONS WHERE PROPERTY PURCHASED UNDER A USE TAX DIRECT PAYMENT CERTIFICATE WILL BE USED. IF ADDITIONAL SPACE IS NEEDED, ATTACH A SEPARATE SHEET 1. BUSINESS ACCRESS 4 BUSINESS ADDRESS MAILING ADDRESS MAILING ADDRESS 2. BUSINESS ADDRESS S BUSINESS ADDRESS MAILING ADDRESS MAILING ADDRESS 3. BUSINESS ADDRESS 8. BUSINESS ADDRESS MAILING ADDRESS MAILING ADDRESS SECTION III - CERTIFICATION STATEMENT I hereby certify that I qualify for a Use Tax Direct Payment Permit for the following reason: (Please check one of the following) I have purchased or leased for my own use langible personal property subject to use tax at a cost of five hundred thousand dollars (\$500,000) or more in the aggregate, during the calendar year immediately preceding this application for the permit. I have attached a Statement of Cash Flows or other comparable financial statements acceptable to the Board for the calendar year immediately preceding the date of application and a separate statement attesting that the qualifying purchases were purchases that were subject to use tax. I am a county, city, city and county, or edevelopment agency. pay directly to the Board of Equalization any use tax liability incurred pursuant to my use of a Use Tax I also agree to self-assess apply Direct Payment Permit. The above statements are hereby certified to be correct to the knowledge and belief ار علام undersigned, who is duly authorized to sign this application. SIGNATURE NAME (typed or printed)

### USE TAX DIRECT PAYMENT PERMIT

(General Information and Filing Instructions)

Revenue and Taxation Code Section 7051.3 authorizes the State Board of Equalization to issue a "Use Tax Direct Payment Permit" to qualified applicants. This permit allows purchasers and lessees of tangible personal property (other than lessees of motor vehicles the lease of which is subject to the terms of Section 7205.1 of the Sales and Use Tax Law) to self-assess and pay use taxes directly to the Board instead of to the vendor or lessor from whom the property is purchased or leased.

Permit holders will be provided with a use tax direct payment exemption certificate which they can issue to retailers and lessors when they purchase tangible personal property subject to use tax or make qualified leases of tangible personal property. Vendors who timely take the certificate in good faith from a permit holder are relieved of the duty to collect use taxes on the sales for which the certificate was issued. Permit holders who acquire property under a certificate must self-assess and report the use taxes directly to the Board on their tax returns, and allocate the local taxes to the county, city, city and county, or redevelopment agency in which the property is first used. Permit holders who fail to property pay any use taxes that are due on property for which a certificate was given are subject to interest and penalties assessments in addition to their tax liability.

To qualify for a use tax direct payment permit, an applicant must meet the following conditions:

- (1) The applicant must agree to self-assess and pay directly to the Board any use tax which is due on property for which a use tax direct payment exemption certificate was given; and
- (2) The applicant must certify to the Board either of the following:
- (A) The applicant has purchased or leased for its own use tangible personal property subject to use tax which cost five hundred thousand dollars (\$500,000) or more in the aggregate, during the calendar year immediately preceding the application for the permit; or
  - (B) The applicant is a county, city, city and county, or redevelopment agency.

Persons wishing to obtain a use tax direct payment permit must be pre-qualified and either hold a California seller's permit or a consumer use tax account.

Persons other than governmental entities who currently hold either a California seller's permit or a consumer use tax account must complete the application for a use tax direct payment permit, sign the certification statement attesting that they qualify for a permit under conditions of Part (2)(A) above, and submit a "Statement of Cash Flows" or other comparable financial statements acceptable to the board for the calendar year immediately preceding the date of application which discloses total purchases of property and equipment for own use and a separate statement under company letterhead certifying that five hundred thousand dollars (\$500,000) or more of such purchases were subject to use tax.

Persons other than governmental entities who are not required to hold a seller's permit and who do not currently hold a consumer use tax account must obtain a consumer use tax account and then complete the application for a use tax direct payment permit, sign the certification statement attesting that they qualify for a permit under the conditions of Part (2)(A) above and submit a "Statement of Cash Flows" or other comparable financial statements acceptable to the board for the calendar year immediately preceding the date of application which discloses total purchases of property and equipment for own use and a separate statement under company letterhead certifying that five hundred thousand dollars (\$500,000) or more of such purchases were subject to use tax.

Governmental entities who currently hold either a California seller's permit or a consumer use tax account must complete the application for a use tax direct payment permit, sign the certification statement attesting that they qualify for a permit under the conditions of Part (2)(B) above, and submit an additional statement to that effect under official letterhead and signed by an authorized governmental representative.

Governmental entities who do not hold a California seller's permit or a consumer use tax account must obtain a consumer use tax account and then complete the application for a use tax direct payment permit, sign the certification statement attesting that they qualify for a permit under the conditions of Part (2)(B) above, and submit an additional statement to that effect under official letterhead and signed by an authorized governmental representative.

The completed use tax direct payment application, certification statement, and qualifying documentation should be returned to the address shown below. Upon determination that the applicant qualifies, a use tax direct payment permit and exemption certificate will be maited to the applicant.

If you would like additional information regarding the use tax direct payment permit or need assistance in completing this application, you can call (916) 324-2883, or write to the Board of Equalization, Public Information and Administration Section, MIC-44, PO Box 942879, Sacramento, CA 94279-0044.

## Use Tax Direct Payment Exemption Certificate

|  | es and Use Tax Law Section 7051.3 and that I am authorized to ate the applicable use tax with respect to the property described  |  |
|--|--|--|
|  |  |  |
| (Name of Vendor)   |  |  |
|  | (Address of Vendor)  |  |
| In the event that I fail to timely rethat in addition to the tax liability, subject to penalties.  | port and pay the applicable tax to the State, I understand and agree, I will be liable for applicable interest and the amount due may be   |  |
| Description of property to be pure   | hased:   |  |
| Purchaser:   | Date certificate given:  |  |
| Signature and Title of Purchaser o   | r Authorized Agent:  |  |
| . <b>I</b> V   | MPORTANT NOTICE TO VENDORS   |  |
| payment permit relieves a vendor f<br>of tangible personal property (other<br>the Sales and Use Tax Law) to the p<br>SALES TAX obligations. Generally<br>lessors of tangible personal proper | imely taken in good faith from a person who holds a use tax direct from the requirement to collect and remit USE TAX on sales or leases or than leases of motor vehicles subject to the terms of Section 7205.1 of person who issued the certificate. It does NOT relieve a vendor of any this certificate should be accepted only by out-of-state vendors or by the other than motor vehicle lessors. Sellers can claim a deduction on any sales made under this certificate. |  |
| Vendors must retain a completed copy of this certificate in their files for a period of not less than four years to substantiate the exempt status of sales made under its authority.        |  |  |
| This Exemption Certificate has b   | een approved by the California State Board of Equalization.  |  |
| Approved By: (Deputy Director, Sal   | Date:  |  |

Questions regarding this form should be directed to 800 400-7115, or write to the Board of Equalization, Audit Evaluation and Planning Section, MIC 40, P.O. Box 942879, Sacramento, Ca 94279-0040.

# NOTICE TO INDIVIDUALS REGARDING INFORMATION FURNISHED TO THE BOARD OF EQUALIZATION

The Information Practices Act of 1977 and the Federal Privacy Act requires this agency to provide the following notice to individuals who are asked by the State Board of Equalization (Board) to supply information, including the disclosure of the individual's social security account number.

Individuals applying for permits, certificates, or licenses, or filing tax returns, statements, or other forms prescribed by this agency, are required to include their social security numbers for proper identification. [See Title 42 United States Code §405(c)(2)(C)(i)]. It is mandatory to furnish all the appropriate information requested by applications for registration, applications for permits or licenses, tax returns and other related data. Failure to provide all of the required information requested by an application for a permit or license could result in your not being issued a permit or license. In addition, the law provides penalties for failure to file a return, failure to furnish specific information required, failure to supply information required by law or regulations, or for furnishing fraudulent information.

Provisions contained in the following laws require persons meeting certain requirements to file applications for registration. applications for permits or licenses, and tax returns or reports in such form as prescribed by the State Board of Equalization: Alcoholic Beverage Tax, Sections 32001-32556; Childhood Lead Poisoning Prevention Fee, Sections 43001-43651, Health & Safety Code, Sections 105275-105310; Cigarette and Tobacco Products Tax, Sections 30001-30481; Diesel Fuel Tax, Sections 60001-60709; Emergency Telephone Users Surcharge, Sections 41001-41176; Energy Resources Surcharge, Sections 40001-40216; Hazardous Substances Tax, Sections 43001-43651; Integrated Waste Management Fee, Sections 45001-45984; International Fuel Tax Agreement, Sections 9401-9433; Motor Vehicle Fuel License Tax, Sections 7301-8405; Occupational Lead Poisoning Prevention Fee, Sections 43001-43651, Health & Safety Code, Sections 105175-105197; Oil Spill Response, Prevention, and Administration Fees, Sections 46001-46751, Government Code, Sections 8670.1-8670.53; Publicly Owned Property, Sections 1840-1841; Sales and Use Tax, Sections 6001-7279.6; State Assessed Property, Sections 721-868, 4876-4880, 5011-5014; Tax on Insurers, Sections 12001-13170; Timber Yield Tax, Sections 38101-38908; Tire Recycling Fee, Sections 55001-55381, Public Resources Code, Sections 42860-42895; Underground Storage Tank Maintenance Fee, Sections 50101-50161, Health & Safety Code, Sections 25280-25299.96; Use Fuel Tax, Sections 8601-9355.

The principal purpose for which the requested information will be used is to administer the laws identified in the preceding paragraph. This includes the determination and collection of the correct amount of tax. Information you furnish to the Board may be used for the purpose of collecting any outstanding tax liability.

As authorized by law, information requested by an application for a permit or license could be disclosed to other agencies, including, but not limited to, the proper officials of the following: 1) United States governmental agencies: U.S. Attorney's Office; Bureau of Alcohol, Tobacco and Firearms; Depts. of Agriculture, Defense, Justice; Federal Bureau of Investigation; General Accounting Office; Internal Revenue Service; the Interstate Commerce Commission; 2) State of California governmental agencies and officials: Air Resources Board; Dept. of Alcoholic Beverage Control; Auctioneer Commission; Employment Development Department; Energy Commission; Exposition and Fairs; Food & Agriculture; Board of Forestry; Forest Products Commission; Franchise Tax Board; Dept. of Health Services; Highway Patrol; Dept. of Housing & Community Development; California Parent Locator Service; 3) State agencies outside of California for tax enforcement purposes; and 4) city attorneys and city prosecutors; county district attorneys, sheriff departments.

As an individual, you have the right to access personal information about you in records maintained by the State Board of Equalization. Please contact your local Board office listed in the white pages of your telephone directory for assistance. If the local Board office is unable to provide the information sought, you may also contact the Disclosure Office in Sacramento by telephone at (916) 445-2918. The Board officials responsible for maintaining this information, who can be contacted by telephone at (916) 445-6464, are: Sales and Use Tax, Deputy Director, Sales and Use Tax Department, 450 N Street, MIC:43, Sacramento, CA 95814; Excise Taxes, Fuel Taxes and Environmental Fees, Deputy Director, Special Taxes Department, 450 N Street, MIC:31, Sacramento, CA 95814; Property Taxes, Deputy Director, Property Taxes Department, 450 N Street, MIC:63, Sacramento, CA 95814.

<sup>&#</sup>x27;All references are to the California Revenue and Taxation Code unless otherwise indicated.

CALIFORNIA STATE BOARD OF EQUALIZATION

### USE TAX DIRECT PAYMENT PERMIT

ACCOUNT NUMBER





THIS PERMIT DOES NOT AUTHORIZE THE HOLDER TO ENGAGE IN AN BUSINESS CONTRAD TO LAWS REGULATING THAT BUSINESS OR TO POSSESS OR OPERATE ANY ILLEGAL DEVICE.

IS HEREBY AUTHORIZED PURSUANT TO SALES AND USE TAX LAW SECTION 7051 3 TO SELF-ASSESS AND PAY USE TAX DIRECTLY TO THE STATE OF CALIFORNIA THIS PERMIT IS NOT A SELLER PERMIT TO ENGAGE W SALES OF PERSONAL PORPERTY

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THIS PERMIT IS VALID UNTIL REVOKED OR CANCELED BUT S NOT TRANSFERABLE. IF YOU SILL YOUR BUSINESS OR DROP OUT OF A PARTNERSHIP, NOTIFY US OR YOU COULD BE RESPONSIBLE FOR SALES AND USE TAXES OWED BY THE NEW OPERATOR OF THE SUSPICES.

BOE-442-DPLZ (1-98)

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