

34227
CONTRACT #15-28-0005-VEAP

BETWEEN

COUNTY OF ORANGE

AND

**CITY OF LONG BEACH ADMINISTERING ENTITY FOR
PACIFIC GATEWAY WORKFORCE INVESTMENT NETWORK**

FOR

**VETERAN'S EMPLOYMENT-RELATED ASSISTANCE
PROGRAM (VEAP) SERVICES**

CFDA:

17.258 WIA/WIOA Adult Programs
17.278 WIA/WIOA Dislocated Worker Formula Grants
17.261 WIA/WIOA Pilots, Demonstrations and Research Projects
17.277 WIOA National Dislocated Worker Grants/
WIA National Emergency Grants

Funding Agency:

Department of Labor
Department of Labor
Department of Labor
Department of Labor



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- Attachment A – General Program Requirements
- Attachment B – Scope of Services
- Attachment C – Budget Schedule
- Attachment D – Performance Standards
- Exhibit 1 – Child Support Enforcement Provision
- Exhibit 2 – Drug Free Workplace Certificate
- Exhibit 3 – Debarment and Suspension Certification
- Exhibit 4 – Certification Regarding Lobbying
- Exhibit 5 – Disclosure from to Report Lobbying
- Exhibit 6 – EDD Independent Contractor Reporting Requirements
- Exhibit 7 – OC Community Resources Contract Reimbursement Policy
- Exhibit 8 – Authorized Signature Form

This Agreement #15-28-0005-VEAP, hereinafter referred to as "CONTRACT," is made and entered into as of the date fully executed by and between the County of Orange, a political subdivision of the State of California; hereinafter referred to as "COUNTY," and "City of Long Beach administering entity for Pacific Gateway Workforce Investment Network (PGWIN)" with a place of business at 3447 Atlantic Avenue, Long Beach, CA 90807, hereinafter referred to as "CONTRACTOR," with COUNTY and CONTRACTOR sometimes referred to as "PARTY," or collectively as "PARTIES."

RECITALS

WHEREAS, Congress enacted the "Workforce Investment Act of 1998," subsequently reauthorized on July 22, 2014 as the Workforce Innovation and Opportunity Act (WIOA), hereinafter referred to as "the Act," to provide workforce investment activities, through statewide and local workforce investment systems, that increase employment, retention and earnings of participants, and increase occupational skill attainment by participants, and, as a result, improve the quality of the workforce and enhance the productivity and competitiveness of the Nation; Workforce Investment Act citations, rules, and regulations cited herein shall continue to be implemented until such time Workforce Innovation and Opportunity Act issued guidance and/or regulations supersede such citations, rules, and regulations; and

WHEREAS, CONTRACTOR responded to a Request for Proposal (RFP) for FY 2013-14 Comprehensive/Satellite One-Stop Centers and Business Centers and was deemed eligible for funding; and

WHEREAS, COUNTY, acting as the Administrator of the Act funds, is empowered to make a portion of the funds available pursuant to the Act (hereinafter referred to as "GRANT FUNDS") to CONTRACTOR, for the purpose of implementing the provisions of the Act; and

WHEREAS, COUNTY, approved an allocation of \$200,000.00 (Two Hundred Thousand Dollars and 00 Cents) in Program funding to CONTRACTOR for FY 2015-16 to carry out certain services/activities; and

WHEREAS, CONTRACTOR, in order to receive GRANT FUNDS, is agreeable to the terms and conditions hereinafter set forth;

NOW, THEREFORE, the PARTIES mutually agree as follows:

TERMS AND CONDITIONS

1. **Coordination/Administration of Contract:** COUNTY'S OC Community Resources Director or designee (hereinafter referred to as "DIRECTOR"), and OC Community Services/Community Investment Division/Orange County Workforce Investment Board Executive Director or Designee (hereinafter referred to as "COUNTY'S PROJECT MANAGER") shall assume responsibilities through coordinating the grant under the Act, its Regulations, and the WIA/WIOA One-Stop Service Delivery System Services provided by the COUNTY. The COUNTY'S Contract Manager (hereinafter referred to as "CONTRACT MANAGER") shall administer this CONTRACT as is necessary or reasonable to comply with COUNTY policies.
2. **Purpose:** The purpose of the program funded by this CONTRACT is to provide workforce investment activities that increase employment, retention, earnings and occupations skill attainment through local workforce investment systems to those seeking employment. Additionally, this program is funded to increase the effectiveness of local and regional business through business improvement and development activities, job matching, and other services. All services are intended to improve the quality of the workforce and enhance the productivity and competitiveness of Orange County and the United States. CONTRACTOR shall ensure that the program funded hereby shall comply with this purpose.
3. **Term of Contract:** The effective term of this CONTRACT shall commence on July 1, 2015, and terminate on June 30, 2016, subject to the provisions of this CONTRACT; however, CONTRACTOR shall perform such duties extending beyond this term, including but not limited to obligations with respect to indemnification, audits, monitoring, reporting and accounting. CONTRACTOR and CONTRACT MANAGER may mutually agree in writing to extend the CONTRACT for a period of up to six (6) months, provided that COUNTY'S maximum obligation stated in this CONTRACT does not increase as a result, and on the same terms and conditions upon mutual CONTRACT of the PARTIES in writing without further Board action. CONTRACTOR and CONTRACT MANAGER may mutually agree in writing to renew for two (2) consecutive one-year periods as allowable under the WIA/WIOA. Pursuant to the provisions contained herein, the CONTRACT may be terminated earlier.
4. **Contingency of Funds:** CONTRACTOR acknowledges that the obligations of COUNTY under this CONTRACT are contingent upon the availability of Federal and/or State funds as applicable and inclusion of sufficient funds for the services hereunder remains in effect or operation. In the event that such funding is terminated or reduced, CONTRACT MANAGER may immediately terminate this CONTRACT, reduce COUNTY'S maximum obligation, or modify this CONTRACT, without penalty. The decision of CONTRACT MANAGER shall be binding on CONTRACTOR. CONTRACT MANAGER shall provide CONTRACTOR with written notification of such determination. CONTRACTOR shall immediately comply with DIRECTOR's or CONTRACT MANAGER's decision.
5. **Program Income:** COUNTY'S maximum obligation herein shall be reduced by the amount of any program income earned by CONTRACTOR, from sources other than COUNTY, as a result of this CONTRACT or the services provided by CONTRACTOR pursuant to this CONTRACT.

It shall be the responsibility of CONTRACTOR to inform the COUNTY in writing of any income earned as a result of this CONTRACT.

It is mutually understood that the State or Federal agency responsible for providing the funding for this CONTRACT may designate certain revenue of CONTRACTOR as Program Income. To be designated as Program Income and therefore, as other than a cost off-set. CONTRACTOR shall do all of the following:

- A. Submit a plan to the PROJECT MANAGER for use of any all proposed Program Income; and
- B. Set-up and maintain a separate bank account for any proposed Program Income and account for any and all such income received;
- C. Report to PROJECT MANAGER any and all Program Income received no later than thirty (30) days from the date of receipt; record the amount received on Internal financial records; and indicate the amount received on the monthly claim submitted to PROJECT MANAGER.

PROJECT MANAGER shall then forward the plan for the requested use of the proposed Program Income to the appropriate State and/or Federal agencies for approval.

CONTRACTOR shall not spend any of the proposed Program Income unless or until such time as PROJECT MANAGER obtains authorization for the use of the Program Income from the responsible State and/or Federal agency and provides CONTRACTOR with prior written approval for the use of the funds.

PROJECT MANAGER may, in its sole discretion, issue future policy statements and/or instructions with respect to Program Income. CONTRACTOR shall immediately comply with such policy statements and/or instructions.

6. Fiscal Appropriations: This CONTRACT is subject to and contingent upon applicable budgetary appropriations being approved by the County of Orange Board of Supervisors for each fiscal year during the term of this CONTRACT. If such appropriations are not approved, the CONTRACT will be terminated, without penalty to the COUNTY.

7. Fiscal Accountability:

A. Financial Management System: CONTRACTOR shall establish and maintain a sound financial management system, based upon generally accepted accounting principles. CONTRACTOR's system shall provide fiscal control and accounting procedures that will include the following:

- i. Information pertaining to tuition rates, payments, and educational assistance payments; and
- ii. Source documentation to support accounting records; and
- iii. Proper charging of costs and cost allocation.

B. CONTRACTOR's Record: CONTRACTOR's records shall be sufficient to:

- i. Permit preparation of required reports; and
- ii. Permit tracking of funds to a level of expenditure adequate to establish that funds have not been used in violation of the applicable restrictions on the use of such funds; and
- iii. Permit the tracking of program income, or profits earned, and any costs incurred (such as stand-in costs) that are otherwise allowable except for;
- iv. Permit tracking and reporting of leveraging as required by SB734.

- C. Costs Charged: Cost shall be charged to this CONTRACT only in accordance with the following:
- i. The Act; and
 - ii. 20 C.F.R. Part 667; and
 - iii. State implementing legislation;
 - iv. Requirements of Other Funding Sources
8. **Non-Supplantation of Funds**: CONTRACTOR shall not supplant any federal, State, or COUNTY funds intended for the purposes of this CONTRACT with any funds made available under this CONTRACT. CONTRACTOR shall not claim reimbursement from COUNTY for, or apply sums received from COUNTY with respect to, that portion of its obligations which have been paid by another source of revenue. CONTRACTOR agrees that it shall not use funds received pursuant to this CONTRACT, either directly or indirectly, as a contribution or compensation for the purposes of obtaining federal, State, or COUNTY funds under any federal, State, or COUNTY program without prior written approval from COUNTY.
9. **Amendments–Changes/Extra Work**: The CONTRACTOR shall make no changes to this CONTRACT without the COUNTY'S written consent. In the event that there are new or unforeseen requirements, the COUNTY with the CONTRACTOR's concurrence has the discretion to request official changes at any time without changing the intent of this CONTRACT.

If COUNTY-initiated changes or changes in laws or government regulations affect price, the CONTRACTOR's ability to deliver services, or the CONTRACT schedule, the CONTRACTOR shall give the COUNTY written notice no later than seven (7) calendar days from the date the law or regulation went into effect or the date the change was proposed by the COUNTY and the CONTRACTOR was notified of the change. Such changes shall be agreed to in writing and incorporated into a CONTRACT Amendment; said Amendment shall be issued by the CONTRACT MANAGER, shall require the mutual consent of all PARTIES, and may be prohibit the CONTRACTOR from proceeding with the work as set forth in this CONTRACT.

10. **Nondiscrimination and Compliance Provisions:**

- A. CONTRACTOR shall comply fully with the nondiscrimination and equal opportunity provisions; the Nontraditional Employment for Women Act of 1991; Title VI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975, as amended; the Americans with Disabilities Act of 1990; Title IX of the Education Amendments of 1972, as amended; the Equal Opportunity provisions in Executive Order 11246, as amended by Executive Order 11375 and supplemented by the requirements of 41 C.F.R. Part 60; and with all applicable requirements imposed by or pursuant to regulations or Executive Order implementing those laws, including, but not limited to, 29 C.F.R. Parts 33 and 37. The United States, the State of California and COUNTY have the right to seek judicial enforcement of this requirement.
- B. CONTRACTOR shall comply with the provisions of the Fair Employment and Housing Act (California Government Code, Section 12900 et seq.) and the regulations promulgated there under (California Code of Regulations, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set

forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations are incorporated into this CONTRACT by reference and made a part hereof as if set forth in full.

- C. In the performance of this CONTRACT, CONTRACTOR and its subcontractors shall not deny the CONTRACT's benefits to any person on the basis of race, ancestry, national origin, religion, color, ethnic group identification, sex, age, mental or physical disability (including HIV and AIDS), medical condition (including cancer), marital status, denial of family care leave, political affiliation or belief, nor will they unlawfully discriminate, harass or allow harassment against any employee or applicant for employment because of race, ancestry, national origin, religion, color, ethnic group identification, sex, age, mental or physical disability (including HIV and AIDS), medical condition (including cancer), marital status, denial of family care leave, political affiliation or belief. CONTRACTOR shall insure that the evaluation and treatment of employees and applicants for employment are free from such discrimination and harassment.
- D. CONTRACTOR will include the non-discrimination and compliance provisions of this Paragraph 10 of the CONTRACT in all subcontracts to perform work under this CONTRACT.
- E. CONTRACTOR will give written notice of its obligations under this Paragraph 10 of the CONTRACT to labor organizations with which CONTRACTOR has a collective bargaining or other CONTRACT.
- F. CONTRACTOR shall furnish any and all information requested by PROJECT MANAGER and shall permit PROJECT MANAGER access, during business hours, to books, records and accounts in order to ascertain CONTRACTOR's compliance with the above non-discrimination requirements.

11. **Payments:** CONTRACTOR agrees that any and all funds received under this CONTRACT shall be disbursed or encumbered on or before June 30, 2016, and that any and all funds remaining as of June 30, 2016, which have not been disbursed shall be returned by CONTRACTOR to COUNTY within thirty (30) days of the expiration or earlier termination of the CONTRACT as provided herein. No expense of CONTRACTOR will be reimbursed by COUNTY if incurred after June 30, 2016. No CONTRACTOR expenses shall be paid if billing is received by COUNTY after July 31, 2016.

Upon the effective date of this CONTRACT, COUNTY shall make payments to CONTRACTOR in accordance with the following payment schedule:

- A. Monthly Payments. Beginning, upon receipt and approval by OC Community Services/Community Investment Division (CID) of CONTRACTOR's invoice showing the prior month's actual expenditures, COUNTY shall make monthly reimbursement payments based on CONTRACTOR's invoice so long as the total payments under this CONTRACT do not exceed \$200,000.00 (Two Hundred Thousand Dollars and 00 Cents).
- B. COUNTY Discretion. At the sole discretion of COUNTY, payments to CONTRACTOR may be made more frequently than monthly, but such payments shall always be in arrears and not in advance of the provision of services by CONTRACTOR.
- C. Advance. Notwithstanding (b) above, upon written request and justification from CONTRACTOR, COUNTY may advance to CONTRACTOR a sum not to exceed one sixth (1/6) of COUNTY'S maximum obligation hereunder. PROJECT MANAGER shall reduce the amount of monthly payments in the seventh, eighth,

ninth, tenth, and eleventh months by twenty percent (20%) of any advance payment, under (A) above, to recover any outstanding advance or part thereof. Such recovery may not exceed the total of all outstanding advances. No monthly payment shall be made to CONTRACTOR which would result in less money remaining unpaid to CONTRACTOR than the total of advances made to CONTRACTOR.

D. Invoices. COUNTY will reimburse CONTRACTOR for eligible CONTRACT-related costs only. CONTRACTOR shall submit requests for reimbursement to COUNTY on a monthly basis beginning on July 1, 2015, and must provide adequate documentation as required by COUNTY in accordance with the OC Community Resources Contract Reimbursement Policy for documenting CONTRACTOR costs, incorporated herein by reference as Exhibit 7. Failure to provide any of the required documentation will cause COUNTY to withhold all or a portion of a request for reimbursement, or return the entire reimbursement package to CONTRACTOR, until such documentation has been received and approved by COUNTY. Invoices are due on the 20th of each month, for prior month's expenses.

12. **Performance Standards:** CONTRACTOR shall comply with and adhere to the performance accountability standards and general program requirements described in Sections 136 (Performance Accountability System) and 195 (General Program Requirements) of the Act and applicable regulations and as contained in Attachment D. Should the Performance Requirements defined in the CONTRACT between the State of California and the County of Orange be changed, COUNTY shall have the right to unilaterally modify this CONTRACT to meet such requirements.

13. **Satisfactory Work:** Services rendered hereunder are to be performed to the written satisfaction of PROJECT MANAGER. COUNTY'S staff will interpret all reports and determine the quality, acceptability and progress of the services rendered.

14. **Modification of Program Components and Service Levels:** The PARTIES hereto agree that those program components and service levels detailed in Attachments A, B, C and D may be modified upon mutual written agreement of the DIRECTOR and CONTRACTOR so long as the total payments under this CONTRACT are not increased and the basic goals and objectives of the program are not altered. Should the Federal Government and/or the State of California modify any program component and/or service level detailed in Attachments A, B, C and/or D then the COUNTY shall have the right to unilaterally modify this CONTRACT to meet such requirements.

A. CONTRACT MANAGER may at any time, by written change order to CONTRACTOR, make changes within the general scope of this CONTRACT, including, in the definition of services and tasks to be performed, the manner in which services are performed, the time and place of performance thereof and additional related provisions, and CONTRACT term. Such change orders may be made when necessitated by changes in the WIAWIOA Orange County One-Stop System operations or performance, the operations or performance of CONTRACTOR, or changes in applicable statutes, regulations or State of California or federal mandates or directives.

CONTRACTOR and CONTRACT MANAGER shall make a good faith effort to reach agreement with respect to change orders, which affect the price of services under the CONTRACT. CONTRACTOR's protest or failure to agree to the amount of any adjustment to be made as a result of a change order shall be a dispute for which an appeal may be made pursuant to this CONTRACT.

Notwithstanding the foregoing, the price of services under this CONTRACT shall not be increased except by written modification of this CONTRACT indicating the new services and price of this CONTRACT if applicable. Until the parties reach agreement, CONTRACTOR shall not be obligated to assume increased performance under the change order beyond the limitation of funds established within this CONTRACT.

- B. CONTRACTOR may request changes in the scope of performance or services under this CONTRACT, by submitting a written request to PROJECT MANAGER describing the request and its impact on the Scope of Services and Budget Schedule. PROJECT MANAGER will review the request and respond in writing within ten (10) business days. PROJECT MANAGER's decision whether to approve the request or request Board of Supervisors' approval shall be final. COUNTY CONTRACT MANAGER may approve a request that meets all of the following criteria:
- i. It does not materially change the terms of this CONTRACT; and
 - ii. It is supported by adequate consideration to COUNTY. Board of Supervisors' action is necessary to approve a request from CONTRACTOR that does not satisfy all of the criteria listed above.

15. Access and Records:

- A. Access. COUNTY, the State of California and the United States Government and/or their representatives, shall have access, for purposes of monitoring, auditing, and examining, to CONTRACTOR's activities, books, documents and papers (including computer records and emails) and to records of CONTRACTOR's subcontractors, consultants, contracted employees, bookkeepers, accountants, employees and participants related to this CONTRACT. CONTRACTOR shall insert this condition in each contract between CONTRACTOR and a subcontractor that is pursuant to this CONTRACT shall require the subcontractor to agree to this condition. Such agencies or representatives shall have the right to make excerpts, transcripts and photocopies of such records and to schedule on site monitoring at their discretion. Monitoring activities also may include, but are not limited to, questioning employees and participants and entering any premises or onto any site in which any of the services or activities funded hereunder are conducted or in which any of the records of CONTRACTOR are kept. CONTRACTOR shall make available its books, documents, papers, financial records, etc., within twenty four (24) hours after receipt of written demand by DIRECTOR which shall be deemed received upon date of sending. In the event CONTRACTOR does not make the above referenced documents available within the County of Orange, California, CONTRACTOR agrees to pay all necessary and reasonable expenses incurred by COUNTY, or COUNTY'S designee, in conducting any audit at the location where said records and books of account are maintained.
- B. Records Retention. All accounting records and evidence pertaining to all costs of CONTRACTOR and all documents related to this CONTRACT shall be kept available at CONTRACTOR'S office or place of business for the duration of this CONTRACT and thereafter, as specified in 29 CFR 97.42 or 29 CFR 95.53, whichever is more restrictive. Records which relate to: (1) complaints, claims, administrative proceedings or litigation arising out of the performance of this CONTRACT; or (2) costs and expenses of this CONTRACT to which COUNTY or any other governmental agency takes exception, shall be retained until final resolution or disposition of such appeals, litigation, claims, or exceptions.

- C. Liability. CONTRACTOR shall pay to COUNTY the full amount of COUNTY'S liability to the State or federal government or any agency thereof resulting from any disallowance or other audit exceptions to the extent that such liability is attributable to CONTRACTOR'S failure to perform under this CONTRACT.
16. **Breach of Contract:** The failure of the CONTRACTOR to comply with any of the provisions, covenants or conditions of this CONTRACT shall be a material breach of this CONTRACT. In such event the COUNTY may, and in addition to any other remedies available at law, in equity, or otherwise specified in this CONTRACT:
- A. Terminate the CONTRACT immediately, pursuant to Paragraph K herein;
 - B. Afford the CONTRACTOR written notice of the breach and ten calendar days or such shorter time that may be specified in this CONTRACT within which to cure the breach;
 - C. Discontinue payment to the CONTRACTOR for and during the period in which the CONTRACTOR is in breach; and
 - D. Offset against any monies billed by the CONTRACTOR but yet unpaid by the COUNTY those monies disallowed pursuant to the above.
17. **CONTRACTOR-Change in Ownership:** The CONTRACTOR agrees that if there is a change in ownership prior to completion of this CONTRACT, the new owner will be required, under terms of sale, to assume this CONTRACT and complete it to the satisfaction of the COUNTY.
18. **Conditions Affecting Work:** CONTRACTOR shall be responsible for taking all steps reasonably necessary, to ascertain the nature and location of the work to be performed under this CONTRACT; and to know the general conditions which can affect the work or the cost thereof. Any failure by the CONTRACTOR to do so will not relieve CONTRACTOR from responsibility for successfully performing the work without additional cost to the COUNTY. The COUNTY assumes no responsibility for any understanding or representations concerning the nature, location(s) or general conditions made by any of its officers or agents prior to the execution of this CONTRACT, unless such understanding or representations by the COUNTY are expressly stated in the CONTRACT.
19. **Conflict of Interest – CONTRACTOR'S Personnel:** The CONTRACTOR shall exercise reasonable care and diligence to prevent any actions or conditions that could result in a conflict with the best interests of the COUNTY. This obligation shall apply to the CONTRACTOR; the CONTRACTOR's employees, agents, and relatives; sub-tier contractors; and third parties associated with accomplishing work and services hereunder. The CONTRACTOR's efforts shall include, but not be limited to establishing precautions to prevent its employees or agents from making, receiving, providing or offering gifts, entertainment, payments, loans or other considerations which could be deemed to appear to influence individuals to act contrary to the best interests of the COUNTY.
20. **Conflict of Interest – COUNTY Personnel:** The County of Orange Board of Supervisors policy prohibits its employees from engaging in activities involving a conflict of interest. The CONTRACTOR shall not, during the period of this CONTRACT, employ any COUNTY employee for any purpose.

21. **Consulting Contract-Follow-On Work:** No person or firm or subsidiary thereof who has been awarded a consulting services contract or a contract which includes a consulting component may be awarded a contract for the provision of services, the delivery of goods or supplies, or the provision of any other related action which is required, suggested, or otherwise deemed appropriate as an end product of the consulting services contract. Therefore, any consultant that contracts with a COUNTY agency/department to develop a feasibility study or to provide formal recommendations is precluded from contracting for any work recommended in the study or included in the recommendations.

22. **Contingent Fees:** The CONTRACTOR warrants that no person or selling agency has been employed or retained to solicit or secure this CONTRACT upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees of the CONTRACTOR or bona fide established commercial or selling agencies maintained by the CONTRACTOR for the purpose of securing business.

For breach or violation of this warranty, the COUNTY shall have the right to terminate this CONTRACT, in accordance with the termination clause and at its sole discretion to deduct from the CONTRACT price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee from the CONTRACTOR.

23. **CONTRACTOR Bankruptcy/Insolvency:** If the CONTRACTOR should be adjudged bankrupt or should have a general assignment for the benefit of its creditors or if a receiver should be appointed on account of the CONTRACTOR'S insolvency, the COUNTY may terminate this CONTRACT.

24. **CONTRACTOR Personnel:** The CONTRACTOR warrants that all persons employed to provide service under this CONTRACT have satisfactory past work records indicating their ability to accept the kind of responsibility anticipated under this CONTRACT.

25. **CONTRACTOR'S PROJECT MANAGER and Key Personnel:** The CONTRACTOR'S PROJECT MANAGER shall be assigned to this CONTRACT for the duration of this CONTRACT and shall pursue all work and services to meet the CONTRACT timelines. Key personnel are those individual who report directly to the CONTRACTOR'S PROJECT MANAGER.

COUNTY'S PROJECT MANAGER shall have the discretion to direct replacement or reassignment of any CONTRACTOR personnel during the term of this CONTRACT to ensure that all services and deliverables are provided in accordance with Attachment B, Scope of Services.

26. **County of Orange Child Support Enforcement:** In order to comply with the child support enforcement requirements of the County of Orange, within ten (10) days of notification of selection of award of CONTRACT but prior to official award of CONTRACT, the selected CONTRACTOR agrees to furnish to the CONTRACT MANAGER, the Purchasing Agent, or the agency/department deputy purchasing agent:

A. In the case of an individual CONTRACTOR, his/her name, date of birth, Social Security number, and residence address;

- B. In the case of a CONTRACTOR doing business in a form other than as an individual, the name, date of birth, Social Security number, and residence address of each individual who owns an interest of ten (10) percent or more in the contracting entity;
- C. A certification that the CONTRACTOR has fully complied with all applicable federal and state reporting requirements regarding its employees; and
- D. A certification that the CONTRACTOR has fully complied with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment and will continue to so comply.

Failure of the CONTRACTOR to timely submit the data and/or certifications required may result in the CONTRACT being awarded to another CONTRACTOR. In the event a CONTRACT has been issued, failure of the CONTRACTOR to comply with all federal, state, and local reporting requirements for child support enforcement or to comply with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment shall constitute a material breach of the CONTRACT. Failure to cure such breach within sixty (60) calendar days of notice from the COUNTY shall constitute grounds for termination of the CONTRACT.

27. Data–Title To: All materials, documents, data or information obtained from the COUNTY data files or any COUNTY medium furnished to the CONTRACTOR in the performance of this CONTRACT will at all times remain the property of the COUNTY. Such data or information may not be used or copied for direct or indirect use by the CONTRACTOR after completion or termination of this CONTRACT without the express written consent of the COUNTY. All materials, documents, data or information, including copies, must be returned to the COUNTY at the end of this CONTRACT (or final renewal), as applicable.

28. Intellectual Property:

- A. Federal Funding. In any CONTRACT funded in whole or in part by the federal government, COUNTY may acquire and maintain the Intellectual Property rights, title, and ownership, which result directly or indirectly from the CONTRACT, except as provided in 37 Code of Federal Regulations part 401.14. CONTRACTOR agrees to grant the COUNTY, federal and state governments a royalty-free, non-exclusive, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.
- B. Ownership.
 - i. Except where COUNTY has agreed in a signed writing to accept a license, COUNTY shall be and remain, without additional compensation, the sole owner of any and all rights, title and interest in all intellectual property, from the moment of creation, whether or not jointly conceived, that are made, conceived, derived from, or reduced to practice by CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT.
 - ii. For the purposes of this CONTRACT, Intellectual Property means recognized protectable rights and interest such as: patents, (whether or not issued) copyrights, trademarks, service marks, applications for any of the foregoing, inventions, trade secrets, trade dress, logos, insignia, color combinations, slogans, moral rights, right of publicity, author's rights, contract and licensing rights, works, mask works, industrial design rights,

rights of priority, know how, design flows, methodologies, devices, business processes, developments, innovations, good will, any data or information maintained, collected or stored in the ordinary course of business by COUNTY, and all other legal rights protecting intangible proprietary information as may exist now and/or hereafter come into existence, and all renewals and extensions, regardless of whether those rights arise under the laws of the United States, or any other state, country or jurisdiction.

a. For the purposes of the definition of Intellectual Property, "works" means all literary works, writings and printed matter including the medium by which they are recorded or reproduced, photographs, art work, pictorial and graphic representations and works of a similar nature, film, motion pictures, digital images, animation cells, and other audiovisual works including positives and negatives thereof, sound recordings, tapes, educational materials, interactive videos, computer software and any other materials or products created, produced, conceptualized and fixed in a tangible medium of expression. It includes preliminary and final products and any materials and information developed for the purposes of producing those final products. "Works" does not include articles submitted to peer review or reference journals or independent research projects.

iii. In the performance of this CONTRACT, CONTRACTOR may exercise and utilize certain of its Intellectual Property in existence prior to the effective date of this CONTRACT. In addition, under this CONTRACT, CONTRACTOR may access and utilize certain of COUNTY'S Intellectual Property in existence prior to the effective date of this CONTRACT. Except as otherwise set forth herein, CONTRACTOR shall not use any of COUNTY'S Intellectual Property now existing or hereafter existing for any purposes without the prior written permission of COUNTY. Except as otherwise set forth herein, neither the CONTRACTOR nor COUNTY shall give any ownership interest in or rights to its Intellectual Property to the other PARTY. If, during the term of this CONTRACT, CONTRACTOR accesses any third-party Intellectual Property that is licensed to COUNTY, CONTRACTOR agrees to abide by all license and confidentiality restrictions applicable to COUNTY in the third-party's license Contract.

iv. CONTRACTOR agrees to cooperate with COUNTY in establishing or maintaining COUNTY'S exclusive rights in the Intellectual Property, and in assuring COUNTY'S sole rights against third parties with respect to the intellectual Property. If the CONTRACTOR enters into any contracts or subcontracts with other parties in order to perform this CONTRACT, CONTRACTOR shall require the terms of the contract(s) to include all Intellectual Property provisions of Paragraphs twenty-eight (28)(A) through twenty-eight (28)(I). Such terms must include, but are not limited to, the subcontractor assigning and agreeing to assign to COUNTY all rights, title and interest in Intellectual Property made, conceived, derived from, or reduced to practice by the subcontractor, CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT or any subcontract.

- v. Pursuant to Paragraph twenty-eight (28)(B)(iv) of the Intellectual Property Provisions of this CONTRACT, the requirement for the CONTRACTOR to include all Intellectual Property Provisions of Paragraphs twenty-eight (28)(A) through twenty-eight (28)(I). of the Intellectual Property Provisions in all contracts and subcontracts it enters into with other parties does not apply to contracts or subcontracts that are for customized and on-the-job training as authorized under 20 CFR 663.700-730.
 - vi. CONTRACTOR further agrees to assist and cooperate with COUNTY in all reasonable respects, and execute all documents and, subject to reasonable availability, give testimony and take all further acts reasonably necessary to acquire, transfer, maintain, and enforce COUNTY'S Intellectual Property rights and interests.
- C. Retained Rights/License Rights
- i. Except for Intellectual Property made, conceived, derived from, or reduced to practice by CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT, CONTRACTOR shall retain title to all of its Intellectual Property to the extent such Intellectual Property is in existence prior to the effective date of this CONTRACT. CONTRACTOR hereby grants to COUNTY, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose of CONTRACTOR's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this CONTRACT, unless CONTRACTOR assigns all rights, title and interest in the Intellectual Property as set forth herein.
 - ii. Nothing in this provision shall restrict, limit, or otherwise prevent CONTRACTOR from using any ideas, concepts, know-how, methodology or techniques related to its performance under this CONTRACT, provided that CONTRACTOR's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of COUNTY or third party, or result in a breach or default of any provisions of Paragraphs twenty-eight (28)(A) through twenty-eight (28)(I). or result in a breach of any provisions of law relating to confidentiality.
- D. Copyright.
- i. CONTRACTOR agrees that for purposes of copyright law, all works (as defined in Ownership, Paragraph twenty-eight (28)(B)(ii) (a) of authorship made by or on behalf of CONTRACTOR in connection with CONTRACTOR's performance of this CONTRACT shall be deemed "works made for hire." CONTRACTOR further agrees that the work of each person utilized by CONTRACTOR in connection with the performance of this CONTRACT will be a "work made for hire," whether that person is an employee of CONTRACTOR or that person has entered into a contract with CONTRACTOR to perform the work. CONTRACTOR shall enter into a written contract with any such person that (i) all work performed for CONTRACTOR shall be deemed a "work made for hire" under the Copyright Act and (ii) that person shall assign all right, title, and

interest to COUNTY to any work product made, conceived, derived from or reduced to practice by CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT.

- ii. All materials, including, but not limited to, computer software, visual works or text, reproduced or distributed pursuant to this CONTRACT that include Intellectual Property made, conceived, derived from, or reduced to practice by CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT may not be reproduced or disseminated without prior written permission from COUNTY.

E. Patent Rights.

With respect to inventions made by CONTRACTOR in the performance of this CONTRACT, which did not result from research and development specifically included in the CONTRACT's Scope of Services, CONTRACTOR hereby grants to COUNTY a license as described under Paragraph twenty-eight (28)(C) for devices or material incorporating, or made through the use of such inventions. If such inventions result from research and development work specifically included within the CONTRACT's Scope of Services, then CONTRACTOR agrees to assign to COUNTY, without additional compensation, all its right, title and interest in and to such inventions and to assist COUNTY in securing United States and foreign patents with respect thereto.

- F. Third-Party Intellectual Property. Except as provided herein, CONTRACTOR agrees that its performance of this CONTRACT shall not be dependent upon or include any Intellectual Property of CONTRACTOR or third party without first: (i) obtaining COUNTY'S prior written approval; and (ii) granting to or obtaining for COUNTY'S, without additional compensation, a license, as described in Paragraph twenty-eight (28)(C), for any of CONTRACTOR's or third-party's Intellectual Property in existence prior to the effective date of this CONTRACT. If such a license upon these terms is unattainable, and COUNTY determines that the Intellectual Property should be included in or is required for CONTRACTOR's performance of this CONTRACT, CONTRACTOR shall obtain a license under terms acceptable to COUNTY.

G. Warranties.

- i. CONTRACTOR represents and warrants that:
 - a. CONTRACTOR has secured and will secure all rights and licenses necessary for its performance of this CONTRACT.
 - b. Neither CONTRACTOR's performance of this CONTRACT, nor the exercise by either PARTY of the rights granted in this CONTRACT, nor any use, reproduction, manufacture, sale, offer to sell, import, export, modification, public and private display/performance, distribution, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT will infringe upon or violate any Intellectual Property right, non-disclosure obligation, or other proprietary right or interest of any third-party or entity now existing under the laws of, or hereafter existing or issued by, any state, the United States, or any foreign country. There are currently no actual or threatened claims by any such third party based on an alleged violation of any such right by CONTRACTOR.

- c. Neither CONTRACTOR's performance nor any part of its performance will violate the right of privacy of, or constitute a libel or slander against any person or entity.
 - d. CONTRACTOR has secured and will secure all rights and licenses necessary for Intellectual Property including, but not limited to, consents, waivers or releases from all authors of music or performances used, and talent (radio, television and motion picture talent), owners of any interest in and to real estate, sites locations, property or props that may be used or shown.
 - e. CONTRACTOR has not granted and shall not grant to any person or entity any right that would or might derogate, encumber, or interfere with any of the rights granted to COUNTY in this CONTRACT.
 - f. CONTRACTOR has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this CONTRACT for the acquisition, operation or maintenance of computer software in violation of copyright laws.
 - g. CONTRACTOR has no knowledge of any outstanding claims, licenses or other charges, liens or encumbrances of any kind or nature whatsoever that could affect in any way CONTRACTOR's performance of this CONTRACT.
- ii. COUNTY MAKES NO WARRANTY, THAT THE INTELLECTUAL PROPERTY RESULTING FROM THIS CONTRACT DOES NOT INFRINGE UPON ANY PATENT, TRADEMARK, COPYRIGHT OR THE LIKE, NOW EXISTING OR SUBSEQUENTLY ISSUED.

H. Intellectual Property Indemnity

- i. CONTRACTOR shall indemnify, defend and hold harmless COUNTY and its licensees and assignees, and its officers, DIRECTOR, employees, agents, representatives, successors, and users of its products, ("INDEMNITEES") from and against all claims, actions, damages, losses, liabilities (or actions or proceedings with respect to any thereof), whether or not rightful, arising from any and all actions or claims by any third party or expenses related thereto (including, but not limited to, all legal expenses, court costs, and attorney's fees incurred in investigating, preparing, serving as a witness in, or defending against, any such claim action, or proceeding, commenced or threatened) to which any of the INDEMNITEES may be subject, whether or not CONTRACTOR is a party to any pending or threatened litigation, which arise out of or are related to;
 - a. The incorrectness or breach of any of the representations, warranties, covenants or contracts of CONTRACTOR pertaining to Intellectual Property; or,
 - b. Any Intellectual Property infringement, or any other type of actual or alleged infringement claim, arising out of COUNTY'S use, reproduction, manufacture, sale, offer to sell, distribution, import, export, modification, public and private performance/display, license, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by CONTRACTOR or COUNTY and which result directly or indirectly from this CONTRACT. This indemnity obligation shall apply irrespective of whether the infringement claim is based on a patent, trademark or copyright registration that was issued after the effective date of this CONTRACT. COUNTY reserves the

right to participate in and/or control, at CONTRACTOR's expense, any such infringement action brought against COUNTY.

- ii. Should any Intellectual Property licensed by the CONTRACTOR to COUNTY under this CONTRACT become the subject of an Intellectual Property infringement claim CONTRACTOR will exercise its authority reasonably and in good faith to preserve COUNTY'S right to use the licensed Intellectual Property in accordance with this CONTRACT at no expense to COUNTY. COUNTY shall have the right to monitor and appear through its own counsel (at CONTRACTOR's expense) in any such claim or action. In the defense or settlement of the claim, CONTRACTOR may obtain the right for COUNTY to continue using the licensed intellectual Property or, replace or modify the licensed Intellectual Property, so that the replaced or modified Intellectual Property becomes non-infringing provided that such replacement or modification is functionally equivalent to the original licensed Intellectual Property. If such remedies are not reasonably available, COUNTY may be entitled to a refund of all monies paid under this CONTRACT, without restriction or limitation of any other rights and remedies available at law or in equity.
 - iii. CONTRACTOR agrees that damages alone would be inadequate to compensate COUNTY for breach of any term of these Intellectual Property provisions of paragraphs twenty-eight (28)(A) through twenty-eight (28)(I) by CONTRACTOR. CONTRACTOR acknowledges COUNTY would suffer irreparable harm in the event of such breach and agrees COUNTY shall be entitled to obtain equitable relief, including without limitation an injunction, from a court of competent jurisdiction, without restriction or limitation of any other rights and remedies available at law or in equity.
- I. Survival.
The provisions set forth herein shall survive any termination or expiration of this CONTRACT or any CONTRACT schedule.

29. Disputes-Contract:

- A. The PARTIES shall deal in good faith and attempt to resolve potential disputes informally. If the dispute concerning a question of fact arising under the terms of this CONTRACT is not disposed of in a reasonable period of time by the CONTRACTOR'S PROJECT MANAGER and the COUNTY'S PROJECT MANAGER, such matter shall be brought to the attention of the COUNTY'S PROJECT MANAGER by way of the following process:
 - i. The CONTRACTOR shall submit to the agency/department assigned COUNTY'S PROJECT MANAGER a written demand for a final decision regarding the disposition of any dispute between the PARTIES arising under, related to, or involving this CONTRACT, unless the COUNTY, on its own initiative, has already rendered such a final decision.
 - ii. The CONTRACTOR's written demand shall be fully supported by factual information, and, if such demand involves a cost adjustment to the CONTRACT, the CONTRACTOR shall include with the demand a written statement signed by a senior official indicating that the demand is made in good faith, that the supporting data are accurate and complete, and

that the amount requested accurately reflects the Contract adjustment for which the CONTRACTOR believes the COUNTY is liable.

- B. Pending the final resolution of any dispute arising under, related to, or involving this CONTRACT, the CONTRACTOR agrees to proceed with the provision of services under this CONTRACT. The CONTRACTOR's failure to proceed shall be considered a material breach of this CONTRACT.

Any final decision of the COUNTY shall be expressly identified as such, shall be in writing, and shall be signed by the CONTRACT MANAGER. If the COUNTY fails to render a decision within ninety (90) days after receipt of the CONTRACTOR's demand, it shall be deemed a final decision adverse to the CONTRACTOR's contentions. Nothing in this Paragraph shall be construed as affecting the COUNTY'S right to terminate the CONTRACT for Cause or Terminate for Convenience as stated in Paragraph K herein.

30. **Complaint Handling Procedures:** CONTRACTOR shall comply with grievance procedures, as defined by the program's funding stream. CONTRACTOR shall advise participants of their right to file complaints and of the procedures for resolution of complaints. CONTRACTOR shall follow program's procedures for handling complaints which is available from the COUNTY'S PROJECT MANAGER for alleging a violation of regulations, grants or other agreements. Any decision of the COUNTY, the State or the federal government relating to the complaint shall be binding on CONTRACTOR.
31. **EDD Independent Contractor Reporting Requirements:** Effective January 1, 2001, the County of Orange is required to file federal Form 1099-Misc for services received from a "service provider" to whom the COUNTY pays \$600 or more or with whom the COUNTY enters into a contract for \$600 or more within a single calendar year attached hereto as Exhibit 6 and incorporated herein by this reference. The purpose of this reporting requirement is to increase child support collection by helping to locate parents who are delinquent in their child support obligations.

The term "service provider" is defined in California Unemployment Insurance Code Section 1088.8, subparagraph B.2 as "an individual who is not an employee of the service recipient for California purposes and who received compensation or executes a contract for services performed for that service recipient within or without the state." The term is further defined by the California Employment Development Department to refer specifically to independent contractors. An independent contractor is defined as "an individual who is not an employee of the ... government entity for California purposes and who receives compensation or executes a contract for services performed for that ... government entity either in or outside of California."

The reporting requirement does not apply to corporations, general partnerships, limited liability partnerships, and limited liability companies.

Additional information on this reporting requirement can be found at the California Employment Development Department web site located at www.edd.ca.gov/txir.htm.

32. **Gratuities:** The CONTRACTOR warrants that no gratuities, in the form of entertainment, gifts or otherwise, were offered or given by the CONTRACTOR or any agent or representative of the CONTRACTOR to any officer or employee of the COUNTY with a view toward securing the CONTRACT or securing favorable treatment with respect to any determinations concerning the performance of the CONTRACT. For breach or violation of this warranty, the COUNTY shall have the right to terminate the CONTRACT, either in whole or in part, and any loss or damage sustained by the COUNTY in procuring on the open market any services which the CONTRACTOR agreed to supply shall be borne and paid for by the CONTRACTOR. The rights and remedies of the

COUNTY provided in the clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under the CONTRACT.

33. **Sectarian Activities:** CONTRACTOR certifies that this CONTRACT does not aid or advance any religious sect, church or creed for a purpose that is sectarian in nature, nor does it help to support or sustain any school, college, university, hospital or other institution controlled by any religious creed, church, or sectarian denomination.
34. **Drug Free Workplace:** CONTRACTOR shall execute and abide by the Drug Free Workplace Certification attached hereto as Exhibit 2 and incorporated herein by this reference.
35. **Debarment:** CONTRACTOR shall execute and abide by the Debarment & Suspension Certification, attached hereto as Exhibit 3 and incorporated herein by this reference, and by so doing declares that it is not debarred or suspended or otherwise excluded from or ineligible for participation in Federal/State assistance programs in accordance with 29 C.F.R. Part 98.
36. **Lobbying:**
 - A. CONTRACTOR shall execute and abide by the terms of the "Certification Regarding Lobbying," which is attached hereto as Exhibit 4 and incorporated herein by this reference. CONTRACTOR shall complete and immediately forward to the COUNTY'S PROJECT MANAGER the "Disclosure Form to Report Lobbying," a copy of which is attached hereto as Exhibit 5 and incorporated herein by this reference, if CONTRACTOR, or any person, firm or corporation acting on CONTRACTOR's behalf, engaged or engages in lobbying any federal office, employee, elected official or agency with respect to this CONTRACT or funds to be received by CONTRACTOR pursuant to this CONTRACT.
 - B. CONTRACTOR agrees that the funds provided herein shall not be used to promote, directly or indirectly, any political party, political candidate or political activity, except as permitted by law.
 - C. CONTRACTOR shall be in compliance with the Byrd Anti-Lobbying Amendment (31 U.S.C. 1352 and 29 CFR Part 93).
37. **Fraud:** CONTRACTOR shall immediately report all suspected or known instances and facts concerning possible fraud, abuse or criminal activity under this CONTRACT.
38. **Standards of Conduct:**
 - A. **General Assurance.** Every reasonable course of action will be taken by CONTRACTOR in order to maintain the integrity of this expenditure of public funds and to avoid favoritism and questionable or improper conduct.

This CONTRACT will be administered in an impartial manner, free from efforts to attain personal, financial or political gain. CONTRACTOR, its officers and employees, in administering this CONTRACT, will avoid situations which give rise to a suggestion that any decision was influenced by prejudice, bias, special interest or desire for personal gain.
 - B. **Employment of Former State or COUNTY Employees.** CONTRACTOR will ensure that any of its employees who were formerly employed by the State of California or COUNTY, in a position that could have enabled such individuals to impact policy regarding or implementation of programs covered by this CONTRACT, will not be assigned to any part or phase of the activities conducted pursuant to this CONTRACT for a period of not less than two years following the termination of such employment.

- C. Conducting Business Involving Relatives. No relative by blood, adoption or marriage of any executive or employee of CONTRACTOR will receive favorable treatment when considered for enrollment in programs provided by, or employment with CONTRACTOR.
- D. Conducting Business Involving Close Personal Friends and Associates. Executives and employees of CONTRACTOR will be particularly aware of the varying degrees of influence that can be exerted by personal friends and associates and, in administering this CONTRACT, will exercise due diligence to avoid situations which give rise to an assertion that favorable treatment is being granted to friends and associates. When it is in the public interest for CONTRACTOR to conduct business with a friend or associate of an executive or employee of CONTRACTOR or an elected official in the area or a staff person or consultant who is a member or officer of the Board of Directors or other official governing body of CONTRACTOR, a permanent record of the transaction will be retained.
- E. Avoidance of Conflict of Economic Interest. No executive or employee of CONTRACTOR, elected official in the area, or any staff person or consultant who is a member or officer of the Board of Directors or other official governing body of CONTRACTOR will solicit or accept money or any other consideration from a third person, for the performance of an act reimbursed in whole or part by CONTRACTOR or COUNTY.

39. News/Information Release: The CONTRACTOR agrees that it will not issue any news releases in connection with either the award of this CONTRACT or any subsequent amendment of or effort under this CONTRACT without first obtaining review and written approval of said news releases from the COUNTY through COUNTY'S PROJECT MANAGER.

40. Notices: Any and all notices, requests demands and other communications contemplated, called for, permitted, or required to be given hereunder shall be in writing, except through the course of the PARTIES' routine exchange of information and cooperation during the terms of the work and services. Any written communications shall be deemed to have been duly given upon actual in-person delivery, if delivery is by direct hand, or upon delivery on the actual day of receipt or no greater than four calendar days after being mailed by US certified or registered mail, return receipt requested, postage prepaid, whichever occurs first. The date of mailing shall count as the first day. All communications shall be addressed to the appropriate PARTY at the address stated herein or such other address as the PARTIES hereto may designate by written notice from time to time in the manner aforesaid.

For COUNTY:
 County of Orange
 OC Community Resources
 Community Investment Division
 PROJECT MANAGER
 1300 South Grand Ave., Bldg. 'B', 3rd Flr.
 Santa Ana, CA 92705-4407

For CONTRACTOR:
 Pacific Gateway Workforce Investment Network
 3447 Atlantic Avenue
 Long Beach, CA 90807

41. Literature/Publicity: Any literature distributed by CONTRACTOR for the purpose of apprising businesses, participants, or the general public of its programs under this CONTRACT shall state that its program, wholly or in part, is funded through COUNTY, State and federal government funds; are supported by the County of Orange and the

Orange County Workforce Investment Board and shall state that the program is an "equal opportunity employer/program" and that "auxiliary aids and services are available upon request to individuals with disabilities."

42. **Ownership of Documents:** The COUNTY has permanent ownership of all directly connected and derivative materials produced under this CONTRACT by the CONTRACTOR. All documents, reports and other incidental or derivative work or materials furnished hereunder shall become and remains the sole property of the COUNTY and may be used by the COUNTY as it may require without additional cost to the COUNTY. None of the documents, reports and other incidental or derivative work or furnished materials shall be used by the CONTRACTOR without the express written consent of the COUNTY.
43. **Precedence:** The CONTRACT documents consist of this CONTRACT and its Attachments and Exhibits. In the event of a conflict between or among the CONTRACT documents, the order of precedence shall be the provisions of the main body of this CONTRACT, i.e., those provisions set forth in the Recitals and Paragraphs of this CONTRACT, and then the Attachments and Exhibits.
44. **COUNTY'S PROJECT MANAGER:** The COUNTY shall appoint a PROJECT MANAGER to act as liaison between the COUNTY and the CONTRACTOR during the term of this CONTRACT. The COUNTY'S PROJECT MANAGER shall coordinate the activities of the COUNTY staff assigned to work with the CONTRACTOR.
45. **Reports/Meetings:** The CONTRACTOR shall develop reports and any other relevant documents necessary to complete the services and requirements as set forth in this CONTRACT. The COUNTY'S PROJECT MANAGER and the CONTRACTOR'S PROJECT MANAGER will meet on reasonable notice to discuss the CONTRACTOR'S performance and progress under this CONTRACT. If requested, the CONTRACTOR'S PROJECT MANAGER and other CONTRACT personnel shall attend all meetings. The CONTRACTOR shall provide such information that is requested by the COUNTY for the purpose of monitoring progress under this CONTRACT.

CONTRACTOR shall maintain records and submit such records, data and information regarding the performance of CONTRACTOR'S services, activities, cost or other data relating to this CONTRACT, in the form and at such time as PROJECT MANAGER may require. PROJECT MANAGER may modify the provisions of this Paragraph without further Board of Supervisors action upon written notice to CONTRACTOR.

46. **Termination-Orderly:** After receipt of a termination notice from the County of Orange, the CONTRACTOR shall submit to the COUNTY a termination claim, if applicable. Such claim shall be submitted promptly, but in no event later than sixty (60) days from the effective date of the termination, unless one or more extensions in writing are granted by the COUNTY upon written request of the CONTRACTOR. Upon termination COUNTY agrees to pay the CONTRACTOR for all services performed prior to termination which meet the requirements of the CONTRACT, provided, however, that such compensation plus previously paid compensation shall not exceed the total compensation set forth in the CONTRACT. Upon termination or other expiration of this CONTRACT, each PARTY shall promptly return to the other PARTY all papers, materials, and other properties of the other held by each for purposes of execution of the CONTRACT. In addition, each PARTY will assist the other PARTY in orderly termination of this CONTRACT and the transfer of all aspects, tangible and intangible, as may be necessary for the orderly, non-disruptive business continuation of each PARTY.

CONTRACTOR may terminate this CONTRACT without penalty after ninety (90) days written notice, unless otherwise specified. Notice shall be deemed served on the date of mailing. Exercise by CONTRACTOR to terminate the CONTRACT shall relieve

CONTRACTOR of all further obligations after the ninety (90) day written notice; but does not release CONTRACTOR of any provision of this Agreement which imposes any obligation described herein up to or after termination of this Agreement that shall survive the termination or expiration of this Agreement.

47. **Errors and Omissions:** All reports, files and other documents prepared and submitted by CONTRACTOR shall be complete and shall be carefully checked by the professional(s) identified by CONTRACTOR as PROJECT MANAGER and key personnel attached hereto, prior to submission to the COUNTY. CONTRACTOR agrees that COUNTY review is discretionary and CONTRACTOR shall not assume that the COUNTY will discover errors and/or omissions. If the COUNTY discovers any errors or omissions prior to approving CONTRACTOR'S reports, files and other written documents, the reports, files or documents will be returned to CONTRACTOR for correction. Should the COUNTY or others discover errors or omissions in the reports, files or other written documents submitted by CONTRACTOR after COUNTY approval thereof, COUNTY approval of CONTRACTOR's reports, files or documents shall not be used as a defense by CONTRACTOR in any action between the COUNTY and CONTRACTOR, and the reports, files or documents will be returned to CONTRACTOR for correction.

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Program Specific Terms and Conditions

48. Participants

- A. **Eligibility.** Only participants who have been determined to meet all federal eligibility requirements to receive training hereunder shall be enrolled by CONTRACTOR in any occupational training. Determinations that participants meet federal eligibility requirements shall be made by One Stop Centers funded by COUNTY, and, when applicable, by WIA/WIOA Youth Service Providers
- B. **Benefits.** Benefits shall be provided to participants in accordance with the standards and requirements set forth in the Act, including Section 181.
- C. **Rights and Privileges.** All participants enrolled in courses pursuant to the CONTRACT shall be entitled to all the rights and privileges to which other CONTRACTOR students are entitled, including, but not limited to, special instruction, use of facilities on CONTRACTOR's premises such as the libraries and learning centers, counseling, student body activities, and veterans' benefits. CONTRACTOR's representatives will provide academic counseling for participants and inform them of CONTRACTOR's services available to them.
- D. **Labor Standards.** CONTRACTOR shall adhere to the Labor Standards described in the Act, including Section 181 of the Act, and all other applicable codes and regulations.

49. Pell Grants/HEA Title IV: If CONTRACTOR provides any services under this CONTRACT to applicants for or recipients of Pell Grants or awards pursuant to Title IV of the Higher Education Act, CONTRACTOR shall cooperate with COUNTY'S PROJECT MANAGER in coordinating these grants and awards with WIA/WIOA funding in accordance with 20 C.F.R. 663.320 and Section 134 (d) of the Act. CONTRACTOR shall inform COUNTY'S PROJECT MANAGER in writing of the amounts and disposition of any Pell Grants, Higher Education Act Title IV awards and other financial aid granted to each WIA/WIOA participant under this CONTRACT.

50. Policies and Procedures: CONTRACTOR shall monitor its program for compliance with the provisions of this CONTRACT. CONTRACTOR shall also comply with all applicable parts of COUNTY'S WIA/WIOA Policies and Procedures for recruitment, intake, assessment and referral, copies of which are available from COUNTY'S PROJECT MANAGER.

51. Budget Schedule

CONTRACTOR agrees that the expenditures of any and all funds under this CONTRACT Attachment C and which by this reference is incorporated herein and made a part hereof as if fully set forth.

52. Modification of Budget Schedule

- A. Upon written approval of CONTRACT MANAGER shall have the authority to transfer allocated program funds from one category of the overall program budget to any other category of the overall program budget, as long as the amount of the total contract is not increased and the basic goals and objectives of the program are not altered. No such transfer may be made without the express prior written approval of CONTRACT MANAGER. A modification of the Budget Schedule may include the addition of any new budget category.

Approval of the Budget Modification by CONTRACT MANAGER includes approval of the new Budget Category. Frequencies of Budget modification

request in request initiated by CONTRACTOR are outlined in specified CID policy. Budget modifications are limited to once each Quarter. Budget modification requests will not be processed after April 30.

- B. CONTRACTOR may submit a program or budget modification request in response to the modification of program components and/or service levels which significantly alter CONTRACTOR's Scope of Services. Without further Board action, DIRECTOR may execute amendments to this CONTRACT modifying CONTRACTOR's services in amounts that do not collectively increase by more than ten percent (10%) the price of said services under this CONTRACT when originally executed. Increases in excess of ten percent (10%) of the original CONTRACT price, must be approved by the COUNTY'S Board of Supervisors. The COUNTY may execute amendments to decrease the amount of the CONTRACT without obtaining approval by the Board of Supervisors.

53. **Sweat-free Code of Conduct:** All CONTRACTORS contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, or supplies have been furnished to the CONTRACTOR from sources that include sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The CONTRACTOR further declares under penalty of perjury that they adhere to the Sweat-free Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

The CONTRACTOR agrees to cooperate fully in providing reasonable access to the CONTRACTOR's records, documents, agents or employees, or premises if reasonably required by authorized officials of the State or COUNTY, the Department of Industrial Relations, or the Department of Justice to determine the CONTRACTOR's compliance with the requirements under Paragraph A of the Sweat-free Code of Conduct.

54. **Annual Audit:** CONTRACTOR shall arrange for an independent audit to be performed by a Certified Public Accountant, which shall include an audit of the WIA/WIOA funds received from COUNTY, in accordance with the Act, 20 C.F.R. Section 667.200, and 29 CFR Parts 96 and 99. CONTRACTOR shall submit two (2) copies of each required audit report to COUNTY within thirty (30) days after the date received by CONTRACTOR.
55. **Corporate Status:** All corporate CONTRACTORS shall be registered with the California Secretary of State and shall be in good standing, without suspension by the California Secretary of State, Franchise Tax Board, or Internal Revenue Service. Any change in corporate status or suspension shall be reported by CONTRACTOR immediately in writing to COUNTY'S PROJECT MANAGER.
56. **Equipment:** All computer-related and electronic equipment purchased with funds provided under this CONTRACT or which are furnished to CONTRACTOR by COUNTY shall be considered Equipment. This includes, but not limited to laptops, desktop computers, iPads, cell phones, PDAs, cameras, and DVD players. Title to all items of Equipment purchased vests and will remain in COUNTY as such shall be designated by COUNTY'S PROJECT MANAGER. The use of such items of Equipment is limited to

the performance of this CONTRACT. Upon the termination of this CONTRACT, CONTRACTOR shall immediately return any items of Equipment to COUNTY or its representatives, or dispose of them in accordance with the directions of COUNTY'S PROJECT MANAGER.

CONTRACTOR further agrees to the following:

- A. To maintain all items of Equipment in good working order and condition, except for normal wear and tear.
- B. To label all items of Equipment, do periodic inventories as required by COUNTY'S PROJECT MANAGER and to maintain an inventory list showing where and how the Equipment is being used, in accordance with procedures developed by COUNTY'S PROJECT MANAGER. All such lists shall be submitted to COUNTY'S PROJECT MANAGER within ten (10) days of the request therefore. Inventory lists must be maintained for four (4) years after final disposition of property.
- C. To report in writing to COUNTY'S PROJECT MANAGER immediately after discovery, the loss or theft of any items of Equipment. For stolen items, the local law enforcement agency must be contacted and a copy of the police report submitted to COUNTY'S PROJECT MANAGER.
- D. To purchase a policy or policies of insurance covering loss or damage to any and all Equipment purchased under this CONTRACT, in the amount of the full replacement value thereof, providing protection against the classification of fire, extended coverage, vandalism, malicious mischief and special extended perils (all risks) covering the parties' interests as they appear.
- E. The purchase of any Equipment by CONTRACTOR shall be requested by CONTRACTOR in writing, shall require the prior written approval of DIRECTOR and shall fulfill the provisions of this CONTRACT which are appropriate and directly related to CONTRACTOR's service or activity under the terms of this CONTRACT. COUNTY may refuse reimbursement for any costs resulting from Equipment purchased, which are incurred by CONTRACTOR, if prior written approval has not been obtained from COUNTY'S PROJECT MANAGER.

57. Publication: No copies of sketches, schedules, written documents, computer based data, photographs, maps or graphs, including graphic art work, resulting from performance or prepared in connection with this CONTRACT, are to be released by CONTRACTOR and/or anyone acting under the supervision of CONTRACTOR to any person, partnership, company, corporation, or agency, without prior written approval by the COUNTY, except as necessary for the performance of the services of this CONTRACT. All press releases, including graphic display information to be published in newspapers, magazines, etc., are to be administered only by the COUNTY unless otherwise agreed to by both PARTIES.

58. Other Requirements – Program Confidentiality

- A. Without prejudice to any other section of this CONTRACT, CONTRACTOR shall, where applicable, maintain the confidential nature of information provided to it concerning participants in accordance with the requirements of federal and State law. However, CONTRACTOR shall submit to COUNTY, the State of California and/or the United States government or their representatives, all records requested for administrative purposes, including audits, examinations, monitoring and verification of reports submitted by CONTRACTOR, costs incurred and services rendered hereunder.

B. CONTRACTOR shall require all of its employees, agents, subcontractors and volunteer staff who may provide services to CONTRACTOR under this CONTRACT to sign an agreement with CONTRACTOR before commencing the provision of any such services, to maintain the confidentiality of any and all materials and information with which they may come into contact, or the identities or any identifying characteristics or information with respect to any and all participants referred to CONTRACTOR by COUNTY, except as may be required to provide services under this CONTRACT or to those specified in this CONTRACT as having the capacity to audit CONTRACTOR, and as to the latter, only during such audit. CONTRACTOR shall provide reports and any other information required by COUNTY in the administration of this CONTRACT, and as otherwise permitted by law.

C. The State of California Information Practices Act of 1977 sets forth certain requirements and safeguards regarding records pertaining to individuals, including the rights of access by the subject individual and by third parties. The disclosure of information from student records is governed by the Federal Family Educational Rights and Privacy Act (FERPA) and in part by the State of California Education Code and CONTRACTOR Policies Applying to the Disclosure of Information and Student Records. It is the purpose of these policies to provide reasonable interpretations of those laws and to protect the student's right to privacy. The Federal Family Educational Rights and Privacy Act (FERPA) is a U.S. federal law that protects the privacy of student records. Generally, this law states schools must have written permission from the student in order to release any information from a student's education record.

The CONTRACTOR shall be guided by the following principles: (1) the release of any personally identifiable student information to any third parties shall be managed in ways that are in compliance with FERPA and (2) the information in the student's file should be disclosed to the student upon request. Therefore, CONTRACTOR shall procure the written consent from students enrolled through the COUNTY allowing CONTRACTOR to disclose to the participants' employer, County of Orange, State of California, or U.S. Department of Labor student information such as grades, academic disputes and other matters related to a student's status as a student. Such consent shall be obtained materially in the form, titled Family Educational Rights and Privacy Act (FERPA) Authorization to Release Information to a Designated Third Party.

D. CONTRACTOR agrees that any and all subcontracts entered into shall be subject to the confidentiality requirements of this CONTRACT.

59. Compliance with Law – CONTRACT:

In its performance under this CONTRACT, CONTRACTOR shall fully comply with the requirements of the following, whether or not otherwise referred to in this CONTRACT:

- A. The Act and all applicable federal statutes, regulations, policies, procedures and directives, including but not limited to, 20 C.F.R. Parts 652 and 660 through 671.
 - i. All applicable standards and orders and requirements issued under Section 306 of the Clean Air Act, Section 508 of the Clean Water Act and Environmental Protection Agency regulations in contracts in excess of \$100,000.
 - ii. All mandatory standards and policies relating to energy efficiency as particularized in the state Energy Conservation Plan (Title 20, California Code of Regulations), as required by the U.S. Energy Policy and

Conservation Act (P.L. 94-163) as each may now exist or be thereafter amended;

- B. All applicable State statues, regulations, policies, procedures and directives;
- C. All applicable COUNTY policies, procedures and directives;
- D.
- E. All applicable local ordinances and requirements, including use permits and licensing;
- F. Court orders applicable to CONTRACTOR's operations; and
- G. The terms and conditions of this CONTRACT, including Attachments and Exhibits.

60. Scope of Services: This CONTRACT specifies the Contractual terms and conditions by which the COUNTY will procure services from CONTRACTOR as further detailed in Attachment B and Attachment D which are part of this CONTRACT and which by this reference is incorporated herein and made a part hereof as if fully set forth.

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General Terms and Conditions:

- A. **Governing Law and Venue:** This CONTRACT has been negotiated and executed in the State of California and shall be governed by and construed under the laws of the State of California. In the event of any legal action to enforce or interpret this CONTRACT, the sole and exclusive venue shall be a court of competent jurisdiction located in Orange COUNTY, California, and the PARTIES hereto agree to and do hereby submit to the jurisdiction of such court, notwithstanding Code of Civil Procedure Section 394. Furthermore, the parties specifically agree to waive any and all rights to request that an action be transferred for trial to another COUNTY.
- B. **Entire Contract:** This CONTRACT, including Attachments A, through D, and Exhibits 1, through 8 which are attached hereto and incorporated herein by this reference, when accepted by the CONTRACTOR either in writing or by the shipment of any article or other commencement of performance hereunder, contains the entire CONTRACT between the PARTIES with respect to the matters herein, and there are no restrictions, promises, warranties or undertakings other than those set forth herein or referred to herein. No exceptions, alternatives, substitutes or revisions are valid or binding on COUNTY unless authorized by COUNTY in writing. Electronic acceptance of any additional terms, conditions or supplemental contracts by any COUNTY employee or agent, including but not limited to installers of software, shall not be valid or binding on COUNTY unless accepted in writing by CONTRACT MANAGER.
- C. **Amendments:** No alteration or variation of the terms of this CONTRACT shall be valid unless made in writing and signed by the parties; no oral understanding or agreement not incorporated herein shall be binding on either of the parties; and no exceptions, alternatives, substitutes or revisions are valid or binding on COUNTY unless authorized by COUNTY in writing.
- D. **Taxes:** "Intentionally Left Blank"
- E. **Delivery:** Time of delivery of services is of the essence in this CONTRACT. COUNTY reserves the right to refuse any services and to cancel all or any part of the descriptions or services that do not conform to the prescribed Scope of Services . Delivery shall not be deemed to be complete until all services have actually been received and accepted in writing by COUNTY.
- F. **Acceptance/Payment:** Unless otherwise agreed to in writing by the COUNTY, 1) acceptance shall not be deemed complete unless in writing and until all the services have actually been received to the satisfaction of COUNTY, and 2) payment shall be made in arrears after satisfactory acceptance.
- G. **Warranty:** CONTRACTOR expressly warrants that the services covered by this CONTRACT are fit for the particular purpose for which they are intended. Acceptance of this order shall constitute an agreement upon CONTRACTOR's part to indemnify, defend and hold COUNTY and COUNTY INDEMNITEES as identified in Paragraph HH below, and as more fully described in Paragraph HH, harmless from liability, loss, damage and expense, including reasonable counsel fees, incurred or sustained by COUNTY by reason of the failure of the services to conform to such warranties, faulty work performance, negligent or unlawful acts, and non-compliance with any applicable state or federal codes, ordinances, orders, or statutes, including the Occupational Safety and Health Act (OSHA) and the California Industrial Safety Act. Such remedies shall be in addition to any other remedies provided by law.

- H. **Patent/Copyright Materials/Proprietary Infringement:** Unless otherwise expressly provided in this CONTRACT, CONTRACTOR shall be solely responsible for clearing the right to use any patented or copyrighted materials in the performance of this CONTRACT. CONTRACTOR warrants that any software as modified through services provided hereunder will not infringe upon or violate any patent, proprietary right, or trade secret right of any third party. CONTRACTOR agrees that, in accordance with the more specific requirement contained in Paragraph HH below, it shall indemnify, defend and hold COUNTY and COUNTY INDEMNITEES harmless from any and all such claims and be responsible for payment of all costs, damages, penalties and expenses related to or arising from such claim(s), including, but not limited to, attorney's fees, costs and expenses.
- I. **Assignment or Sub-Contracting:** The terms, covenants, and conditions contained herein shall apply to and bind the heirs, successors, executors, administrators and assigns of the parties. Furthermore, neither the performance of this CONTRACT nor any portion thereof may be assigned or sub-contracted by CONTRACTOR without the express written consent of COUNTY. Any attempt by CONTRACTOR to assign or sub-contract the performance or any portion thereof of this CONTRACT without the express written consent of COUNTY shall be invalid and shall constitute a breach of this CONTRACT.
- J. **Non-Discrimination:** In the performance of this CONTRACT, CONTRACTOR agrees that it will comply with the requirements of Section 1735 of the California Labor Code and not engage nor permit any subcontractors to engage in discrimination in employment of persons because of the race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, or sex of such persons. CONTRACTOR acknowledges that a violation of this provision shall subject CONTRACTOR to all the penalties imposed for a violation of Section 1720 et seq. of the California Labor Code.
- K. **Termination:** In addition to any other remedies or rights it may have by law, COUNTY has the right to terminate this CONTRACT without penalty immediately with cause or after 30 days' written notice without cause, unless otherwise specified. Cause shall be defined as any breach of CONTRACT, any misrepresentation or fraud on the part of the CONTRACTOR. Exercise by COUNTY of its right to terminate the CONTRACT shall relieve COUNTY of all further obligations.
- L. **Consent to Breach Not Waiver:** No term or provision of this CONTRACT shall be deemed waived and no breach excused, unless such waiver or consent shall be in writing and signed by the PARTY claimed to have waived or consented. Any consent by any PARTY to, or waiver of, a breach by the other, whether express or implied, shall not constitute consent to, waiver of, or excuse for any other different or subsequent breach.
- M. **Remedies Not Exclusive:** The remedies for breach set forth in this CONTRACT are cumulative as to one another and as to any other provided by law, rather than exclusive; and the expression of certain remedies in this CONTRACT does not preclude resort by either PARTY to any other remedies provided by law.
- N. **Independent CONTRACTOR:** CONTRACTOR shall be considered an independent CONTRACTOR and neither CONTRACTOR nor its employees; nor anyone working under CONTRACTOR shall be considered an agent or an employee of COUNTY. Neither CONTRACTOR nor its employees; nor anyone working under CONTRACTOR shall qualify for workers' compensation or other fringe benefits of any kind through COUNTY.

O. **Performance:** CONTRACTOR shall perform all work under this CONTRACT, taking necessary steps and precautions to perform the work to COUNTY'S satisfaction. CONTRACTOR shall be responsible for the professional quality, technical assurance, timely completion and coordination of all documentation and other services furnished by the CONTRACTOR under this CONTRACT. CONTRACTOR shall perform all work diligently, carefully, and in a good and workman-like manner; shall furnish all labor, supervision, machinery, equipment, materials, and supplies necessary therefore; shall at its sole expense obtain and maintain all permits and licenses required by public authorities, including those of COUNTY required in its governmental capacity, in connection with performance of the work; and, if permitted to subcontract, shall be fully responsible for all work performed by subcontractors.

P. **Insurance:**

Insurance Provisions

Prior to the provision of services under this contract, the CONTRACTOR agrees to purchase all required insurance at CONTRACTOR's expense, including all endorsements required herein, necessary to satisfy the COUNTY that the insurance provisions of this CONTRACT have been complied with. CONTRACTOR agrees to keep such insurance coverage, Certificates of Insurance, and endorsements on deposit with the COUNTY during the entire term of this Contract. In addition, all sub-CONTRACTORS performing work on behalf of CONTRACTOR pursuant to this contract shall obtain insurance subject to the same terms and conditions as set forth herein for CONTRACTOR.

CONTRACTOR shall ensure that all sub-CONTRACTOR performing work on behalf of CONTRACTOR pursuant to this Contract shall be covered under CONTRACTOR's insurance as an Additional Insured or maintain insurance subject to the same terms and conditions as set forth herein for CONTRACTOR. CONTRACTOR shall not allow sub-CONTRACTORS to work if sub-CONTRACTORS have less than the level of coverage required by COUNTY from CONTRACTOR under this Contract. It is the obligation of CONTRACTOR to provide notice of the insurance requirements to every sub-CONTRACTOR and to receive proof of insurance prior to allowing any sub-CONTRACTOR to begin work. Such proof of insurance must be maintained by CONTRACTOR through the entirety of this Contract for inspection by COUNTY representative(s) at any reasonable time.

All self-insured retentions (SIRs) and deductibles shall be clearly stated on the Certificate of Insurance. If no SIRs or deductibles apply, indicate this on the Certificate of Insurance with a zero (0) by the appropriate line of coverage. Any self-insured retention (SIR) or deductible in an amount in excess of \$25,000 (\$5,000 for automobile liability), which shall specifically be approved by the COUNTY Executive Office (CEO)/Office of Risk Management upon review of CONTRACTOR'S current audited financial report..

If the CONTRACTOR fails to maintain insurance acceptable to the COUNTY for the full term of this contract, the COUNTY may terminate this contract.

Qualified Insurer

The policy or policies of insurance must be issued by an insurer with a minimum rating of A- (Secure A.M. Best's Rating) and VIII (Financial Size Category as determined by the most current edition of the **Best's Key Rating Guide/Property-Casualty/United States**

or **ambest.com**). It is preferred, but not mandatory, that the insurer be licensed to do business in the state of California (California Admitted Carrier).

If the insurance carrier does not have an A.M. Best rating of A-/VIII, the CEO/Office of Risk Management retains the right to approve or reject a carrier after a review of the company's performance and financial ratings.

The policy or policies of insurance maintained by the CONTRACTOR shall provide the minimum limits and coverage as set forth below:

Coverage	Minimum Limits
Commercial General Liability	\$1,000,000 per occurrence; \$2,000,000 aggregate
Automobile Liability including coverage for owned, non-owned and hired vehicles	\$1,000,000 per occurrence
Workers' Compensation	Statutory
Employers' Liability Insurance	\$1,000,000 per occurrence
Professional Liability Insurance	\$1,000,000 per claims made; \$1,000,000 aggregate
Sexual Misconduct Liability	\$1,000,000 per occurrence

Required Coverage Forms

The Commercial General Liability coverage shall be written on Insurance Services Office (ISO) form CG 00 01, or a substitute form providing liability coverage at least as broad.

The Business Auto Liability coverage shall be written on ISO form CA 00 01, CA 00 05, CA 0012, CA 00 20, or a substitute form providing coverage at least as broad.

Required Endorsements

The Commercial General Liability policy shall contain the following endorsements, which shall accompany the Certificate of insurance:

- 1) An Additional Insured endorsement using ISO form CG 2010 or CG 2033 or a form at least as broad naming the County of Orange, its elected and appointed officials, officers, employees, agents as Additional Insureds.
- 2) A primary non-contributing endorsement evidencing that the CONTRACTOR's insurance is primary and any insurance or self-insurance maintained by the County of Orange shall be excess and non-contributing.

The Workers' Compensation policy shall contain a waiver of subrogation endorsement waiving all rights of subrogation against the State of California, County of Orange, its elected and appointed officials, officers, agents and employees.

All insurance policies required by this contract shall waive all rights of subrogation against the County of Orange and members of the Board of Supervisors, its elected and appointed officials, officers, agents and employees when acting within the scope of their appointment or employment.

CONTRACTOR shall notify COUNTY in writing within thirty (30) days of any policy cancellation and 10 days for non-payment of premium and provide a copy of the cancellation notice to COUNTY. Failure to provide written notice of cancellation may constitute a material breach of the Contract, upon which the COUNTY may suspend or terminate this Contract.

If CONTRACTOR's Professional Liability policy is a "claims made" policy, CONTRACTOR shall agree to maintain Professional Liability coverage for two (2) years following completion of contract.

The Commercial General Liability policy shall contain a severability of interests clause also known as a "separation of insureds" clause (standard in the ISO CG 0001 policy).

Insurance certificates should be forwarded to the agency/department address listed on the solicitation.

If the CONTRACTOR fails to provide the insurance certificates and endorsements within seven (7) days of notification to OC Community Resources/Contract Development & Management, award may be made to the next qualified vendor.

COUNTY expressly retains the right to require CONTRACTOR to increase or decrease insurance of any of the above insurance types throughout the term of this CONTRACT. Any increase or decrease in insurance will be as deemed by County of Orange Risk MANAGER as appropriate to adequately protect COUNTY.

COUNTY shall notify CONTRACTOR in writing of changes in the insurance requirements. If CONTRACTOR does not deposit copies of acceptable certificates of insurance and endorsements with COUNTY incorporating such changes within thirty (30) days of receipt of such notice, this CONTRACT may be in breach without further notice to CONTRACTOR, and COUNTY shall be entitled to all legal remedies.

The procuring of such required policy or policies of insurance shall not be construed to limit CONTRACTOR's liability hereunder nor to fulfill the indemnification provisions and requirements of this CONTRACT, nor act in any way to reduce the policy coverage and limits available from the insurer.

- Q. **Bills and Liens:** This Paragraph was intentionally left blank.
- R. **Changes:** CONTRACTOR shall make no changes in the work or perform any additional work without the COUNTY'S specific written approval.
- S. **Change of Ownership:** CONTRACTOR agrees that if there is a change or transfer in ownership of CONTRACTOR's business prior to completion of this CONTRACT, the new owners shall be required under terms of sale or other transfer to assume CONTRACTOR's duties and obligations contained in this CONTRACT and complete them to the satisfaction of COUNTY.
- T. **Force Majeure:** CONTRACTOR shall not be assessed with liquidated damages or unsatisfactory performance penalties during any delay beyond the time named for the performance of this CONTRACT caused by any act of God, war, civil disorder,

employment strike or other cause beyond its reasonable control, provided CONTRACTOR gives written notice of the cause of the delay to COUNTY within thirty-six (36) hours of the start of the delay and CONTRACTOR avails himself of any available remedies.

- U. **Confidentiality:** CONTRACTOR agrees to maintain the confidentiality of all COUNTY and COUNTY-related records and information pursuant to all statutory laws relating to privacy and confidentiality that currently exist or exist at any time during the term of this CONTRACT. All such records and information shall be considered confidential and kept confidential by CONTRACTOR and CONTRACTOR's staff, agents and employees.
- V. **Compliance with Laws:** CONTRACTOR represents and warrants that services to be provided under this CONTRACT shall fully comply, at CONTRACTOR's expense, with all standards, laws, statutes, restrictions, ordinances, requirements, and regulations (collectively "laws"), including, but not limited to those issued by COUNTY in its governmental capacity and all other laws applicable to the services at the time services are provided to and accepted by COUNTY. CONTRACTOR acknowledges that COUNTY is relying on CONTRACTOR to ensure such compliance, and pursuant to the requirements of Paragraph HH below, CONTRACTOR agrees that it shall defend, indemnify and hold COUNTY and COUNTY INDEMNITEES harmless from all liability, damages, costs, and expenses arising from or related to a violation of such laws.
- W. **Freight (F.O.B):** "Intentionally Left Blank"
- X. **Pricing:** The CONTRACT bid price shall include full compensation for required goods in accordance with required specifications, or services, as specified herein or when applicable, in Attachment B, the Scope of Service attached to this CONTRACT, and no additional compensation will be allowed therefore, unless otherwise provided for in this CONTRACT.
- Y. **Waiver of Jury Trial:** "Intentionally Left Blank"
- Z. **Terms and Conditions:** CONTRACTOR acknowledges that it has read and agrees to all terms and conditions included in this CONTRACT.
- AA. **Headings:** The various headings and numbers herein, the grouping of provisions of this CONTRACT into separate clauses and paragraphs, and the organization hereof are for the purpose of convenience only and shall not limit or otherwise affect the meaning hereof.
- BB. **Severability:** If any term, covenant, condition, or provision of this CONTRACT is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remainder of the provisions hereof shall remain in full force and effect and shall in no way be affected, impaired or invalidated thereby.
- CC. **Calendar Days:** Any reference to the word "day" or "days" herein shall mean calendar day or calendar days, respectively, unless otherwise expressly provided.
- DD. **Attorney Fees:** In any action or proceeding to enforce or interpret any provision of this CONTRACT, or where any provision hereof is validly asserted as a defense, each PARTY shall bear its own attorney's fees, costs and expenses.
- EE. **Interpretation:** This CONTRACT has been negotiated at arm's length and between persons sophisticated and knowledgeable in the matters dealt with in this CONTRACT. In addition, each PARTY has been represented by experienced and knowledgeable independent legal counsel of their own choosing or has knowingly declined to seek such counsel despite being encouraged and given the opportunity to do so. Each PARTY further acknowledges that they have not been influenced to any extent whatsoever in executing this CONTRACT by any other PARTY hereto or by any person representing

them, or both. Accordingly, any rule or law (including California Civil Code Section 1654) or legal decision that would require interpretation of any ambiguities in this CONTRACT against the PARTY that has drafted it is not applicable and is waived. The provisions of this CONTRACT shall be interpreted in a reasonable manner to affect the purpose of the PARTIES and this CONTRACT.

FF. **Authority:** The PARTIES to this CONTRACT represent and warrant that this CONTRACT has been duly authorized and executed and constitutes the legally binding obligation of their respective organization or entity, enforceable in accordance with its terms.

GG. **Employee Eligibility Verification:** The CONTRACTOR warrants that it fully complies with all Federal and State statutes and regulations regarding the employment of aliens and others and that all its employees performing work under this CONTRACT meet the citizenship or alien status requirement set forth in Federal statutes and regulations. The CONTRACTOR shall obtain, from all employees, consultants and subcontractors performing work hereunder, all verification and other documentation of employment eligibility status required by Federal or State statutes and regulations including, but not limited to, the Immigration Reform and Control Act of 1986, 8 U.S.C. §1324 et seq., as they currently exist and as they may be hereafter amended. The CONTRACTOR shall retain all such documentation for all covered employee, consultants and subcontractors for the period prescribed by the law. The CONTRACTOR shall indemnify, defend with counsel approved in writing by COUNTY, and hold harmless, the COUNTY, its agents, officers, and employees from employer sanctions and any other liability which may be assessed against the CONTRACTOR or the COUNTY or both in connection with any alleged violation of any Federal or State statutes or regulations pertaining to the eligibility for employment of any persons performing work under this CONTRACT.

HH. **Mutual Indemnification Provisions:**

- i. CONTRACTOR agrees to indemnify, defend with counsel approved in writing by COUNTY, and hold COUNTY, the State of California, and the Orange County Workforce Investment Board, their elected and appointed officials, officers, employees, agents and those special districts and agencies which COUNTY'S Board of Supervisors acts as the governing Board ("COUNTY INDEMNITEES") harmless from any claims, demands or liability of any kind or nature, including but not limited to personal injury or property damage, arising from or related to the services, products or other performance provided by CONTRACTOR pursuant to this CONTRACT.
- ii. COUNTY agrees to indemnify, defend and hold harmless CONTRACTOR, its officers, employees and agents harmless from any claims, demands or liability of any kind or nature, including but not limited to personal injury or property damage, arising from or related to the services, products or other performance provided by COUNTY pursuant to this CONTRACT.
- iii. If judgment is entered against CONTRACTOR and COUNTY by a court of competent jurisdiction because of the concurrent active negligence of COUNTY or COUNTY INDEMNITEES, CONTRACTOR and COUNTY agree that liability will be apportioned as determined by the court. Neither PARTY shall request a jury apportionment.

II. **Audits/Inspections:** CONTRACTOR agrees to permit the COUNTY'S Audit-Controller or the Auditor-Controller's authorized representative (including auditors from a private auditing firm hired by the COUNTY) access during normal working hours to all books, accounts, records, reports, files, financial records, supporting documentation, including payroll and accounts payable/receivable records, and other papers or property of

CONTRACTOR for the purpose of auditing or inspecting any aspect of performance under this CONTRACT. The inspection and/or audit will be confined to those matters connected with the performance of the CONTRACT including, but not limited to, the costs of administering the CONTRACT. The COUNTY will provide reasonable notice of such an audit or inspection.

The COUNTY reserves the right to audit and verify the CONTRACTOR's records before final payment is made.

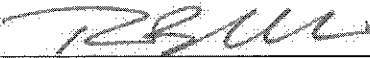
CONTRACTOR agrees to maintain such records for possible audit for a minimum of three years after final payment, unless a longer period of records retention is stipulated under this CONTRACT or by law. CONTRACTOR agrees to allow interviews of any employees or others who might reasonably have information related to such records. Further, CONTRACTOR agrees to include a similar right to the COUNTY to audit records and interview staff of any subcontractor related to performance of CONTRACT.

Should the CONTRACTOR cease to exist as a legal entity, the CONTRACTOR's records pertaining to this CONTRACT shall be forwarded to the surviving entity in a merger or acquisition or, in the event of liquidation, to the COUNTY'S PROJECT MANAGER.

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IN WITNESS WHEREOF, the PARTIES hereto certify that they have read and understand all the terms and conditions contained herein and have hereby cause this CONTRACT to be executed.


***CITY OF LONG BEACH, Administering Entity for
PACIFIC GATEWAY WORKFORCE INVESTMENT NETWORK**

By:  By: _____
Name: PATRICK H. WEST Assistant City Manager Name: _____
Title: CITY MANAGER EXECUTED PURSUANT TO SECTION 301 OF THE CITY CHARTER. Title: _____
Dated: October 20, 2015 Dated: _____

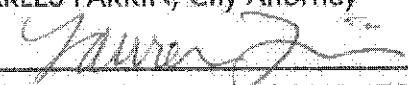
*For Contractors that are corporations, signature requirements are as follows: 1) One signature by the Chairman of the Board, the President or any Vice President; and 2) One signature by the Secretary, any Assistant Secretary, the Chief Financial Officer or an Assistant Treasurer.

For Contractors that are not corporations, the person who has authority to bind the contractor to a contract, must sign on one of the lines above.

COUNTY OF ORANGE
A Political Subdivision of the State of California

By:  Dated: 12-3-15
Steve Franks
OC Community Services

**APPROVED AS TO FORM
COUNTY COUNSEL**

APPROVED AS TO FORM AND RETURNED
October 14, 2015
CHARLES PARKIN, City Attorney
By: 
LAUREN MISAJON, DEPUTY CITY ATTORNEY



GENERAL PROGRAM REQUIREMENTS

These General Program Requirements have been designed to provide the framework wherein the CONTRACTOR will provide or will coordinate the provision of services for the Orange County One-Stop System, as identified in Attachment B.

1. Governance

CONTRACTOR agrees to comply, remain informed, and deliver services consistent with the provisions of the Workforce Innovation and Opportunity Act (WIOA), Orange County Workforce Investment Board (OCWIB) Policy, Orange County Workforce Investment Area's Strategic Five-Year Plan, applicable sections of the Welfare and Institutions Code, the California Education Code, the Rehabilitation Act, negotiated Memoranda of Understanding, Title V of the Older Americans Act, federal and state governance documents and/or any other appropriate statutes or requirements, related to the services provided in this Agreement.

Where local policy has not been set, CONTRACTOR agrees to adhere to state or federal policy, as appropriate.

2. Governance References

- A. Workforce Innovation and Opportunities Act (WIOA) of 2014
- B. Department of Labor, Employment and Training Administration, 20 CFR Parts 601, 651, 652, et al. WIOA; Notice of Proposed Rulemaking; Proposed Rules, Department of Labor, Employment and Training Administration, 20 CFR Part 676, 677 and 678 WIOA; Joint Rule for Unified and Combined State Plans, Performance Accountability, and the One-Stop System Joint Provisions; Notice of Proposed Rulemaking; Proposed Rules
- C. Additional state and federal agencies that provide funding to the Orange County Workforce Investment Board that may be incorporated herein.
- D. Information Bulletins, Directives and any other federal and state guidance documents pertaining to the WIOA.
- E. All actions, directives, and policy and procedures issued by OC Community Services/Community Investment Division/Orange County Workforce Investment Board (OCWIB) or staff relevant to this CONTRACT, specifically MIS Policies and Procedures, Monitoring Guide Policy and Procedure, Audit Requirements Policy and Procedure and Selective Service Policy and Procedure.
- F. County of Orange policies, as applicable.

3. Orange County One-Stop System

Contractor agrees to partner and to provide access to services provided by the mandated WIOA One-Stop partners as described in the Act as well as any additional partners identified by the OCWIB or the Orange County Board of Supervisors.

4. **One-Stop Principles**

Contractor agrees to integrate to the fullest extent possible, the following principles into the delivery of services:

- A. **Streamline services** through an integration of multiple programs, including Wagner-Peyser and WIOA, at the service level through One-Stop service centers;
- B. **Empower individuals** with information and resources they need to manage their own careers;
- C. **Employer Services** that recognize businesses as a customer as well as a source for jobs leading to meaningful employment;
- D. **Universal access** for all job seekers to a core set of career decision-making and job search tools;
- E. **Increase accountability** of the delivery system to achieve improved results regarding skill gains, credentials earned, job placement rates, earnings and retention in employment;
- F. **State and local flexibility** to ensure that delivery systems are responsive to the needs of individual communities; and
- G. **Strong role for local boards** and the private sector to impact the design and operation of delivery systems.

SCOPE OF SERVICES

VETERANS' EMPLOYMENT-RELATED ASSISTANCE PROGRAM (VEAP)

I. COORDINATION

A. General Overview

The Workforce Innovation and Opportunity Act (WIOA) (Pub. L. 113-128) establishes comprehensive legislation that reforms and modernizes the public workforce system. WIOA replaces the Workforce Investment Act of 1998 (WIA).

WIOA reaffirms the role of the public workforce system, and brings together and enhances several key employment, education, and training programs. It ensures that the workforce system operates as a comprehensive, integrated and streamlined system to provide pathways to prosperity for those it serves and continuously improves the quality and performance of its services.

WIOA retains much of the structure of WIA and the One-Stop Center(s) will continue to serve as the service delivery system for programs funded under the WIOA and its partner programs.

WIOA is not an entitlement program, and selection for participation in this program is based upon an assessment of the participant's needs, interests, abilities, motivation, and prospect for successfully completing the program. In accordance with local policies and procedures, prioritization of services is also taken into consideration.

CONTRACTOR shall conform to anticipated WIOA final regulations and future policy guidance issuances by the DOL, the State and OCWIB during the term of this Contract. Applicable new WIOA provisions and requirements supersede any replaced WIA provisions in existing policies cited in this Contract

- B. VEAP Service Delivery:** To comply with the requirements of this Contract, Pacific Gateway Workforce Investment Network – PGWIN (CONTRACTOR) must effectively and efficiently deliver employment and training services to eligible veterans under the Veterans' Employment-Related Assistance Program (VEAP). The VEAP grant is awarded by the California Employment Development Department (EDD) to the Orange County Workforce Investment Board (OCWIB) in collaboration with PGWIN. Under this project, CONTRACTOR will serve recently separated veterans that have been honorably discharged from the armed forces within the last four years as well as other eligible veteran target groups enumerated in the grant. The CONTRACTOR shall provide outreach, recruitment, assessment, case management, training, job placement, support services and follow-up services to ensure the long-term success of the veterans served in this program. CONTRACTOR shall maintain and continue to improve the current system of service delivery by clearly demonstrating positive outcomes.

This VEAP project addresses the region's lack of sufficient services and coordinated efforts to meet the employment and service needs of recently separated veterans and other veterans with special needs (e.g., physical or behavioral challenges). The project will continue to resolve the challenges contributing to these unmet needs of portability of services, resource boundaries, and lack of collaboration/comprehensive service delivery. The project will continue and enhance services to Recently Separated Veterans, Service-Connected Disabled Veterans, Campaign Veterans, Veterans with Significant Barriers, and Eligible Veteran Spouses. This project will employ three fundamental strategies to ensure veterans can access training, jobs and supportive services unique to their skillsets and career goals: 1) training programs, job placement services and support services linked together in many locations across the large geographic area of Orange County; 2) the availability of mental health referral services that are seamlessly made available by training providers and job placement counselors; and 3) job training certification programs that are short in duration and align with the growing industry clusters of Orange County. Training utilizing On-the-Job Training (OJT) and Work Experience (WEX), job placement services, and support services must be linked together in many locations across the large geographic area of PGWIN and the OCWIB.

The focus for the project will be in two industry clusters with the greatest demand and projected workforce growth: healthcare and professional services. These industries and occupations targeted by the project have been selected based on local job demand identified by the project partners through direct contact with local businesses; information provided by research partners; and jobs for which there are a steady flow of requests into the One-Stop system. According to the 2014 Comprehensive Economic Development Strategy Report of Orange County, Professional and Business services sectors had notable gains with 7,800 jobs added, and Health services added 4,500 jobs. The report also states that occupations requiring short to moderate on-the-job training make up 34 of the 50 occupations on the list of occupations with the most projected growth. The same report states occupations with the most job openings in Orange County are projected to be in Professional and Business Services, Education Service and Health Care.

II. SERVICE GUIDELINES

A. Target Population: The purpose of this Contract is to provide employment and training related services to veterans as specified above. Though the focus is on recently separated veterans, other veterans will not be excluded. Veterans served must be in at least one of the priority groups listed below: Recently Separated Veterans; Service-Connected Disabled Veterans; Campaign Veterans; Veterans with Significant Barriers and Eligible Spouses.

- 1. Recently Separated Veterans:** Veterans who have separated from the military within the 48-months prior to application and received a DD214 for conditions other than dishonorable. Applicants must follow the participant eligibility guidelines outlined in WIOA (Public Law 113-128) Section 3 and 134, Title 20 *Code of Federal Regulations* (CFR) "WIOA, Notice of Proposed Rule Making" (NPRM) Sections 680.110-130, 680.630, 680.660, For Priority and Special

Populations, NPRM sections 680.600, 680.610 and 680.650 and any updates and Guidance under WIOA.

2. **Service-Connected Disabled Veterans:** Veterans who are entitled to compensation under laws administered by the Department of Veterans' Affairs, or individuals who were discharged or released from active duty because of a service-connected disability.
3. **Campaign Veteran:** Veterans that served on active duty in the United States Armed Forces during a war or in a campaign or expedition for which a campaign badge or medal has been authorized.
4. **Veterans with Significant Barriers:** "Significant Barrier(s)" is defined as: characteristics that may hinder an individual's hiring, promotion, or participation in the labor force. Some examples of individuals who may face barriers to employment include: single parents; displaced homemakers; youth; public assistance recipients; older workers; substance abusers; teenage parents; and those with limited English speaking ability; criminal record; mental health barriers; and/or lack of education, work experience, credentials, child care arrangements, or transportation.

Other "Significant Barriers" include: special disabled or disabled; homelessness; recently separated from service (unemployed for 27 weeks of last 12 months); offender (currently incarcerated or recently released from incarceration), school dropout; poor work history; and/or low income.

5. **Eligible Spouses:** As defined in the Jobs for Veterans Act, Public Law 107-288.

B. Performance Target: Under this Contract, CONTRACTOR shall enroll and serve forty (40) eligible veterans; twenty (20) under Adult and twenty (20) under Dislocated Worker Funding. The project will target veterans with significant needs for training, employment assistance and support services to achieve and maintain stable employment. Recently-separated (Iraq and Afghanistan War veterans) and other veterans entering or returning to the civilian workforce may face significant challenges and barriers, such as limited education, training and occupational skills, and social barriers. CONTRACTOR shall also accommodate veterans and those who are living below the poverty level. Fifty percent (50%) of the Afghanistan and Iraq war veterans enrolled in the Veterans Affairs (VA) health care system were treated for behavioral health conditions according to the VA Department. These factors highlight the unique challenges facing the veteran population.

C. Target Industries:

The focus for the project will be in two industry clusters with the greatest demand and projected workforce growth: healthcare and professional services. These industries and occupations targeted by the project have been selected based on local job demand identified by the project partners through direct contact with local businesses,

information provided by research partners and jobs for which there are a steady flow of requests into the One-Stop system. These occupations require short to moderate on-the-job training on the list of occupations with the most projected growth.

These industries recognize certification programs that are short in duration and align with many of the skillsets of recently separated veterans. Many different types of training, certification and job types are available in these sectors ranging from emergency medical responders to information technology and communications professionals.

The skills and abilities needed for these jobs are focused in: Customer & Personal Service; English Language; Active Listening; Reading Comprehension; Time Management; Problem Sensitivity; Oral Expression; Oral Comprehension; and Speech Clarity. The skill gap for veterans to obtain employment in healthcare largely lies in obtaining specified training and the corresponding certifications. For veterans pursuing careers in the healthcare field, many may already have basic skills that are well aligned for jobs such as Emergency Medical Technician, Nursing, Paramedic and Medical Assistant. The skill gap for veterans to obtain employment in business services is tied to growing the skillsets in computers and communications to professional certifications for veterans. For example, many veterans have computer skills, staff/team leadership and management experience but need the formal training and certification to qualify for employment positions.

Veterans, particularly those who have recently separated, often face challenges in attempting to translate and redirect the skills they acquired in the military to civilian applications. CONTRACTOR shall utilize classifications from the Military Occupational Specialties (MOS) Manual as the basis for quantifying skill sets used in military applications. Skills transferable from these applications will be determined by using the O*NET online assessment. Assessment results will be utilized by CONTRACTOR's career planners and veterans to determine civilian jobs matching the skills developed during their military experience by evaluating MOS and finding the equivalent in civilian jobs. As part of the process, CONTRACTOR will combine the use of objective assessments to identify the educational requirements necessary to bridge the gaps that may exist between veterans' skills sets and those needed to secure employment in the civilian employment.

Occupations in the targeted industries have job opportunities for which training will be provided that is suitable for individuals with a wide range of work experience as long as they demonstrate necessary aptitudes during the service planning process. However, a few of the target occupations (e.g., team management, computer/software technology) require some work experience and related background. CONTRACTOR will work with training providers to screen candidates to ensure that they possess requisite existing skills sets to build upon, so that they can participate successfully in training and become sufficiently prepared for civilian employment.

The project will focus on identifying technical training programs that are more likely to lead to jobs with higher starting salaries. Below is a sampling of available training offered because of their high starting wages and alignment with transferrable military

skillsets:

<i>Training Component</i>	<i>Industry-recognized certificate or degree</i>
<i>Medical Assistant</i>	<i>CCMA through NHA</i>
<i>Medical Assistant: Front Office</i>	<i>CMAA through NHA</i>
<i>ASHP Pharmacy Technician</i>	<i>CPhT through PTCB</i>
<i>Project Management Certificate</i>	<i>PMP through PMI</i>
<i>Medical Coding Specialist</i>	<i>Certificate</i>
<i>Small Business Specialization</i>	<i>Certificate</i>
<i>Network Security Specialist</i>	<i>Certificate</i>
<i>Mobile Applications Development</i>	<i>Certificate of Achievement</i>
<i>Registered Nurse</i>	<i>AS degree</i>
<i>Human Services</i>	<i>Certificate or AA</i>
<i>Emergency Medical Technician</i>	<i>Occupational Skills Award</i>
<i>Health Information Technology</i>	<i>Certificate or AA</i>
<i>Medical Assisting</i>	<i>Certificate or AA</i>
<i>Medical Lab Technician</i>	<i>Certificate or AS degree</i>
<i>Paramedic</i>	<i>Certificate or AS</i>
<i>Phlebotomist-Laboratory Assistant</i>	<i>Occupational Skills Award</i>

D. Earn and Learn VEAP Strategy

As income is very important to prevent homelessness, project emphasis is placed on On-the-Job-Training (OJT). CONTRACTOR will identify employers to ensure OJTs are lined up for participants as they become work ready. Central to the project's strategy is the recognition that participants must re-enter the labor market fully armed with skills and competencies that make them true assets to employers. If a participant needs classroom occupational skills training, career planners will help participants become enrolled. All training plans will account for abilities and learning styles. Training can be delivered via a traditional classroom environment, web-based instruction, work-based learning, seminars and short courses and work experience. The list of courses participants can choose from is vast and career planners will assist participants in selecting the courses, contacting the education provider and registering for classes.

Relationships with labor will support the project by identifying employers and providing a means for participants to enter apprenticeship projects and work-based training. CONTRACTOR shall leverage, access and develop relationships with internship programs that assist and link Veterans to opportunities. Two additional work-based training tools will be leveraged for this project:

1. The Orange County Internship Matching System. The OCWIB, in partnership with Vital Link, has developed an online internship matching system, linking employers to interns through a web based, online "matching" system. The Website allows employers to enter the types of interns they seek and interns are able to register and list their skills and the type of internships they are seeking.
2. In partnership with the Saddleback College Veterans Resource Center, the OCWIB has created a Veteran Internship Pipeline for local employers who desire to have veterans serve in internships at their companies. The Center helps link veterans with employers and provides work-readiness counseling, case

management and supportive services to help the veteran be successful in the internship.

- E. Collaborative Partner Services:** CONTRACTOR shall facilitate working relationships and manage collaborative partnerships with other agencies that will enhance the delivery of services to veterans. Partner agencies provide various services including, but not limited to, access to housing, transportation, child care, and community resources such as food, emergency funds, utilities and clothing. These needed services shall be provided by Community Based Organizations, Faith Based Organizations, and Governmental Agencies located throughout Los Angeles and Orange Counties. The VEAP Collaborative will rely on the active collaboration of these public and non-profit organizations to augment the training and educational components that will provide the foundation for re-entry into the workforce. CONTRACTOR will work with all partners under this grant including but not limited to: the OCWIB, OC One-Stop Centers through ResCare, ProPath and OC One-Stop Business Services, Long Beach City College, US Vets, Department of Veterans Affairs – VA Long Beach Health System Authority and other agencies and employers.
- F. Continuous Quality Improvement:** The VEAP's goal is to strive for continuous improvement in all aspects of service delivery, thereby having a positive effect on System performance. Improvement will be facilitated by the CONTRACTOR through a constant review of policies and procedures, increased sensitivity to the needs of customers, improvement in the level of knowledge of partner services, identification and fulfillment of training needs and through the implementation of best practices.
- G. Policies and Procedures:** CONTRACTOR shall provide services consistent with applicable OCWIB policies to ensure full compliance with WIOA. **CONTRACTOR shall submit any agency/program specific policies and procedures for OCWIB review and approval prior to use.**

III. SERVICES

A. Services Provided to Veterans:

CONTRACTOR will offer veterans comprehensive employment and training services, including a resource center with access to computers, fax machines, copiers, and telephones. Other services including a resume distribution program, career resource library, labor market information, networking opportunities, job search workshops, on-site interviews with local employers, transferable skills information, job leads and training programs.

CONTRACTOR shall provide the services described to those veterans who can benefit from and who are in most need of such services, as appropriate, and within the funding and eligibility guidelines. Specialized workforce development services shall be designed to meet the unique needs of the recently-separated and other veteran populations. All veterans enrolled in VEAP will receive training that will lead to industry-recognized certificate or degree. In addition, the enrolled veterans will be given the opportunity to receive On-the-Job Training (OJT) through employers that the

CONTRACTOR has identified. CONTRACTOR shall provide services both directly and/or through sub-contracts with prior OCWIB approval. CONTRACTOR shall assume responsibility for and meet established benchmarks and performance outcomes as outlined in Attachment D of this Contract (Adult (Grant Code 029)–15% and Dislocated Worker (Grant Code 030)– 25%, Participant Plan; Budget, Expenditure and In-kind Contribution Plan; respectively).

VEAP Participant Services can be broken down into three basic categories:

1. Recruitment and Enrollment:

- a. CONTRACTOR shall have dedicated staff and designated VEAP information at America's Job Center of California (AJCC)/One-Stop locations. These established sites shall be open during regular business hours allowing veterans access to employment and training related services.
- b. CONTRACTOR shall conduct individual and/or group informational orientation sessions to veterans at their facilities. Orientations shall identify services available on-site and through the AJCC/One-Stop System.
- c. CONTRACTOR shall provide information on industry specific occupations. CONTRACTOR shall provide Labor Market Information and career path information on emerging occupations.
- d. CONTRACTOR, along with collaborative partners and the local veteran's employment representatives, shall develop a coordinated marketing campaign designed to enhance ongoing recruitment and outreach efforts.
- e. CONTRACTOR, along with collaborative partners, shall develop material to promote the VEAP with local employers and businesses to generate additional support in hiring veterans. CONTRACTOR shall provide brochures to EDD Veterans Services staff to be used in informational packets and mailings to recently-separated and other veterans. CONTRACTOR shall coordinate efforts with EDD Veterans Representatives.
- f. CONTRACTOR shall adhere to the provisions of State Directives WSD 10-1, WSD-08, OCWIB Informational Notice 08-OCWDA-17 and 08-OCWDA-17 Addendum #2 and 10-OCWDA-08 and 10-OCWDA-08 Addendum #2 and any subsequent updates and/or revisions for specific information regarding accessibility information to include on all printed materials.
- g. CONTRACTOR shall target homeless shelters and transitional living sites to provide program information. CONTRACTOR shall conduct outreach to the Veterans Administration, AMVETS, US Vets, Inc. and other veteran's community groups.
- h. CONTRACTOR shall attend coordinated veterans' Job Fairs during the term of the project in conjunction with OCWIB, One-Stop, and Business Services and provide hiring events that all veterans will be invited to attend. CONTRACTOR shall provide information about services available, assistance with job search activities and details concerning other federal,

state and local social services to which the participating veterans may be entitled.

- i. **Initial Assessment:** CONTRACTOR shall conduct an online O*NET-based job skills Assessment in the Virtual One-Stop (VOS) System. Veterans recruited to the project will first participate in a WIOA eligibility determination process. Following enrollment, veterans will participate in an objective assessment to identify interests and aptitudes for training and careers. This will include an evaluation of transferability of military skills to civilian applications. The Assessment shall match military education and job training with current civilian workplace needs through a crosswalk search of a comprehensive database of occupational skills, knowledge, and other occupational characteristics. The depth of the Assessment may vary depending on the needs, and the nature of personal and employment barriers of the veteran.

2. Employment Readiness:

- a. **Individualized Employment Plan (IEP):** Based on military and other work experience, level of educational attainment, assessment results, personal circumstances and expressed interests, the veteran and the career planner shall develop an IEP to plot out training and services to lead the participant to his/her employment objectives. The IEP shall address basic needs (housing, transportation, food, clothing, behavioral health/substance abuse counseling), motivation issues, work history, previous education/training, income requirements, barriers to employment, and needed supportive services.

The IEP is a live document and as the needs of the veteran change, the IEP shall be updated to reflect the changes. Since the approach to case management is to provide individualized attention, the veteran's changing needs shall be identified quickly. A revised action plan shall be developed along with detailed progress notes indicating how the needs are being met. Post-employment services shall be documented on the IEP, including job retention strategies and other needed follow-up services.

- b. **Comprehensive Case Management:** The CONTRACTOR shall provide case management services to all veterans enrolled through the VEAP. Case management is considered a key component of service delivery and critical to the ultimate success of the veteran. Case management is necessary to assure that all of the needs of the veteran are met and that information is collected and reported. If a veteran is enrolled in more than one funding stream, differentiated services provided for each program shall be documented and justified. CONTRACTOR shall use the VOS for veteran case management.

The responsibilities of the Career Planner are as follows:

- i. Career Planner shall contact veterans at least one time per month and substantial service shall be provided and documented in the VOS. Services shall include, but are not limited to: staff-assisted job development; case management and short term pre-vocational services;

- ii. Career Planner shall be responsible for tracking the veteran's progress, assistance with identifying and overcoming any barriers, providing career and motivational counseling, acting as an advocate on behalf of the veteran and making other referrals for services and other resources that are identified in the IEP;
 - iii. Career Planner shall assist the veteran in improving job seeking skills such as interviewing skills, utilizing job search engines, and communicating effectively with potential employers;
 - iv. Career Planner shall enter the information collected into the VOS System;
 - v. Career Planner shall monitor all program offerings and ultimately performance outcomes;
 - vi. Career Planner shall be responsible for assisting the veterans in obtaining and retaining employment;
 - vii. Career Planner shall provide access to specialized job readiness workshops including but not limited to Resume Preparation and Critique, Interviewing Techniques, Job Search Techniques, Dressing for Success, and Workplace Etiquette; and
 - viii. Career Planner shall provide veterans access to electronic job search tools, self-help references and labor market information. This information shall be used to direct the veteran into high wage, high growth careers relevant to their surrounding job market so that training dollars are used in the most effective manner.
- c. Supportive Services Coordination:** CONTRACTOR shall identify and provide supportive services and/or referrals as appropriate. Supportive services shall include, but are not limited to, transportation, clothing, childcare and other costs that may be a barrier to an individual's job search, training or placement. Supportive services shall only be issued after a need has been identified and when no other funding is available to pay for such services. CONTRACTOR shall be responsible for managing the provision of supportive services in as cost efficient manner as possible to ensure fair distribution and non-duplication of resources and services.
- d. Training:** All veterans enrolled in VEAP shall receive training in an industry-certified program. Career Planners shall describe the employment opportunities for which the project will provide training. Participants shall be encouraged to conduct sufficient career exploration and review of training programs to make informed decisions about their career choices. Once a training selection is made, the start of training will be scheduled. CONTRACTOR shall provide training in high-demand occupations for industry sectors. CONTRACTOR shall be responsible for referring each veteran to the most appropriate training activity for the individual as determined from the assessment and documented in the IEP. Various options for training shall be explored so an informed choice can be made that

will ultimately lead toward attainment of a diploma or credential, job placement and successful job retention.

It is critical to the success of the veterans that appropriate training for job placement and career development is made available. Information on training content, length, schedules, requirements and anticipated outcomes will be detailed within each veteran's IEP. Through a variety of partnerships with local businesses, participants will also be offered customized, work-based on-the-job-training. Due to the broad spectrum of training offered, participants will have the opportunity to receive certificates in short term programs and then pursue employment immediately. Additionally, participants will have the opportunity to leverage the certificate training into continued education in 2-4 year degrees through the GI Bill training partners.

The categories below list the training services that may be made available to individuals showing an assessed need:

- i. Classroom training, customized training, leveraged training, and On-the-Job Training, apprenticeship opportunities, specifically targeting the high growth industry sectors, as planned and offered by VEAP partners. All training must lead to industry-recognized certificates;
- ii. Occupational Skills Training may be offered through Individualized Training Accounts (ITA). ITAs permit a wide range of choices to veterans and are locally monitored to offer training in only demand occupations. Occupational skills training can include training for nontraditional employment;

An ITA shall be issued only after meeting all of the established guidelines and criteria as established by the CONTRACTOR. The approved training vendor may provide only the specified training outlined on the training approval, which is to pay the costs of tuition, books and other required training materials. The training vendor is responsible for providing this training and ensuring that the veteran develops the needed competencies to be employed in the specific field. All other needs of the veteran shall be met through the Career Planner. The veteran shall receive supportive services, counseling or other assistance they might need in order to have successful completion of training, followed by successful placement into unsubsidized employment. CONTRACTOR shall monitor veterans' attendance and progress in all training activities;

- iii. On-the-Job Training (OJT) sponsored by an employer that is provided to a paid participant while engaged in productive work in a job that provides knowledge or skills essential to the full and adequate performance of the job;
- iv. Customized training conducted with a commitment by an employer or group of employers, such as in a specific recruitment, to employ an individual upon successful completion of the training;

- v. Industry targeted, high-growth, high-wage training under initiatives and partnerships developed by the OCWIB and CONTRACTOR will be provided by community colleges, employers and other training providers;
- vi. Training Programs that combine workplace training with related instruction may include cooperative education programs;
- vii. Skill upgrading and retraining, entrepreneurial training, job readiness, and training programs operated by the private sector;
- viii. CONTRACTOR may also provide, either in-house, or through referral, classroom training, adult education and literacy training; and
- ix. CONTRACTOR shall seek other non-WIOA funded training, whenever possible, and shall use these sources to offset WIOA funds where applicable. These leveraged training costs can be tracked under the in-kind/match contribution.

3. Employment and Follow-up:

- a. CONTRACTOR shall work with veterans to ensure their resume is updated with information about recently-acquired training and employment experience;
- b. CONTRACTOR shall work with veterans to prepare them to interview successfully and to follow-up with the veteran after the interview to provide feedback on how to strengthen verbal responses to interview questions;
- c. CONTRACTOR shall provide job development services to assist the veterans in obtaining gainful employment that allows them to attain financial independence and successful integration into the community. CONTRACTOR shall work with area businesses to identify employment opportunities in companies that target the recruitment of veterans. Additionally, CONTRACTOR shall encourage and support the hiring of veterans in the industry clusters identified as high-growth and high-demand. Business Service team members will send resumes to targeted employers, schedule interviews and follow-up with employers;
- d. Following job placement, CONTRACTOR shall assist veterans and their respective employers with job retention. CONTRACTOR shall provide follow-up activities, as needed, and document any services provided in the case notes of the client file. The purpose of these follow-up services and activities is to ensure that exited veterans have overcome any problems that may arise during this critical period and to ensure further progress toward long-term employment and self-sufficiency. Follow-up services may include, but are not limited to, providing on-going case management, behavioral health support, and supportive services as needed; and
- e. During the retention period, CONTRACTOR shall ensure that the veteran remains employed and if they lose their job during this time, shall immediately work with the veteran so they can secure new employment. CONTRACTOR shall provide one-on-one counseling during follow-up contacts that focus on

identifying and eliminating any barriers that may be preventing the veteran from successfully retaining a job. Veterans shall be encouraged to attend workshops related to skill enhancement, job retention, and fostering and attaining self-sufficiency. EDD Veteran's Representatives will continue to be key partners, and will work closely with staff to provide additional job placement and follow-up services.

IV. SERVICE STANDARDS

A. Service Delivery Area

1. Pacific Gateway Workforce Investment Network One-Stop Centers

- Career Transition Center
3447 Atlantic Avenue, Long Beach, CA 90807
- Harbor Gateway WorkSource Center
1851 N. Gaffey Street, Suite F, San Pedro, CA 90731

2. Orange County WIB One-Stop Centers and Los Alamitos Joint Forces Training Base

- One-Stop Center, Garden Grove
7077 Orangewood Avenue #200 Garden Grove, CA 92841
- One-Stop Center, Irvine
125 Technology Drive #200 Irvine, CA 92618
- Satellite location One-Stop, Buena Park
6281 Beach Blvd #302 Buena Park, CA 90621
- Veteran's Service Center at Joint Forces Training Base (JFTB)
11206 Lexington Drive Building 244 Los Alamitos, CA 90720

B. Hours of Operation and Schedules

- 1. Regular Hours of Operation:** All center(s) shall be fully staffed during all scheduled hours of operation. Hours of operation shall effectively serve the needs of its customers. One-Stop Centers shall be open, at minimum from 8:00 to 5:00 PM. Hours of operation shall effectively serve the needs of its customers.
- 2. Holiday Operation Schedules** CONTRACTOR's offices shall be closed for the following holidays as established by the City of Long Beach during term of this Contract. CONTRACTOR shall ensure that arrangements are made to keep full

service delivery available throughout the year with limited closings as detailed below for the following holidays:

- Independence Day
- Labor Day
- Columbus Day
- Veteran's Day Observed
- Thanksgiving
- Day after Thanksgiving
- Christmas Day
- New Year's Day
- Martin Luther King Jr. Day
- Lincoln's Day
- President's Day
- Memorial Day

C. Website and Website Tools

Website: CONTRACTOR's website shall allow customer's access to information about the VEAP program. CONTRACTOR shall ensure information is accurate and up to date. All virtual resources and tools as well as design and layout changes regarding VEAP shall be approved by the OCWIB prior to development and launch.

D. Communication, Distributed Material and Postings, and Physical and Program Access Standards

1. All outreach and recruitment materials shall be submitted to the OCWIB administrative office for review and approval prior to use. The OCWIB will require a minimum of three (3) working days to review and approve. **All published materials shall promote the VEAP and the Orange County and PGWIN One-Stops.** These materials should also include appropriate America's Job Center of California tagline consistent with the local AJCC branding standards. CONTRACTOR may keep their logo on the site but it should be secondary to the OCWIB logo.
2. All logos and naming conventions shall be provided by the OCWIB to maintain quality and consistency. Logos shall be of sufficiently high resolution to be fully legible on all media deployed.
3. Language requirements for all printed material and other information at the One-Stop Center(s) shall be provided in English, Spanish and Vietnamese. Whenever feasible, language barriers shall be removed so that all visitors to the One-Stop Center(s) feel welcomed and have a positive experience.
4. Notice and communication requirements where materials indicate that the CONTRACTOR may be reached by telephone, the telephone number of any TDD/TTY or relay service used by the CONTRACTOR shall be indicated. If the CONTRACTOR does not have a TDD/TTY, the California Relay Service (CRS) (1-800/735-2922) is an alternative. [29CFR Part 37; WSD 10-1; 10-OCWDA-08 and Addendum #2 to 10-OCWDA-08; WIOA Section 188 and proposed 20 CFR Part 683.285]

5. Information and services accessed electronically shall be established by the CONTRACTOR policy and procedure which assures that the notice requirements of Title 29 CFR Part 37 are met. [29 CFR Part 37; WSD10-1; 10-OCWDA-08 and Addendum #2 to 10-OCWDA-08; WIOA Section 188 and proposed 20 CFR Part 683.285.]
6. Distributed publications, broadcasts, and other communications, which promote WIA programs or activities, shall include the following specific taglines:
'This WIOA Title I financially assisted program or activity is an equal opportunity employer/program. Auxiliary aids and services are available upon request to individuals with disabilities.'

CONTRACTOR shall include the following tagline on all flyers, notices, websites and other communication promoting, advertising and/or informing the public of meetings, workshops, seminars, job fairs, etc. sponsored by or offered by the CONTRACTOR:
'If you need special assistance to participate in this _____ (meeting, workshop, etc.), call _____. Please call 48 hours in advance to allow the One-Stop Center to make reasonable arrangements to ensure accessibility to this _____ (meeting, workshop etc.)'

7. CONTRACTOR shall be responsible to post the "Equal Opportunity Is The Law" and the "Summary of Rights and Program Grievance and Complaint Procedures" in prominent locations and in appropriate formats to individuals with visual impairments. Postings shall be provided in English, Spanish and Vietnamese. Participant acknowledgement forms (EO and Programmatic Grievance) must be made available in English, Spanish and Vietnamese. [WSD10-1; 10-OCWDA—08 and Addendum #2 to 10-OCWDA-08; WSD 08-4; 08-OCWDA-17 and Addendum #2 to 08-OCWDA-17; WIOA Sections 181 and 188 and proposed 20 CFR Part 683.285 and 20 CFR Part 683.600].
8. **All marketing and collateral materials must be submitted to the OCWIB for final approval by the OCWIB.**

E. General Staffing Requirements

1. There shall be the requisite number of CONTRACTOR staff hired to operate program services as provided for in the budget attached to this Contract. The budget reflects the maximum level of staffing and the fringe benefits approved for reimbursement.
2. CONTRACTOR shall be responsible for filling any vacancies, which may occur during the term of this Contract in order to ensure the continuous and efficient delivery of services to customers. CONTRACTOR shall fill vacancies with individuals with the appropriate experience and levels of education required for the job.
3. CONTRACTOR is required to gather, understand and provide relevant and useful labor market information to businesses and job seekers. CONTRACTOR shall use the available resources to assist job seekers in making informed decisions about job

training and career pathways. It is the CONTRACTOR's responsibility to have on hand at all times current, relevant and useful labor market information.

4. CONTRACTOR shall provide a copy of Attachment B (Scope of Services) to all program staff and a copy of the contract fiscal requirements to all fiscal staff. CONTRACTOR shall require all staff to sign a statement indicating that they have received the applicable sections of this Contract and have reviewed and understand the contractual requirements and programmatic objectives.

F. Documentation and File Maintenance

1. **Case Files** shall be maintained for every enrolled customer. Electronic files will be accepted as long as they can be made available anytime, if requested by OCWIB. At a minimum, the case file along with notes and their CalJOBS electronic file, as applicable, shall include documentation of the following:

- Program eligibility/determination of need;
- Customer signature evidence of Equal Opportunity and programmatic grievance forms;
- All source documents needed for validation (as referenced in applicable OCWIB Policies and State Directives and Information Notices e.g., OCWIB Policy 10-OCWDA-04 and applicable WIOA provisions);
- All MIS forms as outlined in Section V of this Attachment;
- Initial and/or Comprehensive Assessments, as applicable;
- Individual Employment Plan (IEP), including all updates of services provided and completed;
- Completed resume for customers being enrolled into Individualized Career Services;
- Approved Individual Training Account (ITA) voucher (if applicable);
- Progress reports, time and attendance if receiving WIOA funded training. For Non-WIOA funded training; CONTRACTOR shall require the customer to provide attendance verification from the school as a condition of receiving other WIOA services;
- Signed Employer or Worksite Agreement, timesheets, certificate, and other related documents for On-the-Job Training (OJT) or Transitional Jobs, if applicable;
- Supportive Services documentation; and
- Printed case notes showing provision of all substantial services provided.

2. **Confidential Information:** Personally Identifiable Information (PII) and information designated as sensitive (both in hardcopy and electronic format) relating to services received by WIOA customers including information regarding medical and/or substance abuse treatments shall be subject to federal and state privacy/confidentiality guidelines. CONTRACTOR shall ensure that staff and subcontractors are properly trained and updated for safeguarding protected PII and information designated as sensitive. Staff and subcontractors shall be trained regarding the proper treatment, release and security of the records. Access and

release of records shall be in accordance with applicable guidelines. All staff shall follow the guidelines on the OCWIB release of information form and shall obtain an original of the form prior to releasing information to anyone other than the participant.

3. Security: CONTRACTOR shall maintain all customer files in a locked cabinet accessible only to authorized personnel. In addition, CONTRACTOR shall maintain an Information Technology (IT) usage policy to support security obligations regarding all confidential and sensitive information. Emails containing Personally Identifiable Information (PII) must be transmitted through secure emails.

4. Services for Persons with Disabilities: CONTRACTOR shall assist persons with disabilities to access the wide variety of programs available to support their successful entry or re-entry into the workforce, connect such individuals to those programs, benefits, services and/or supports they provide and follow up to ensure that each individual is receiving the level of benefits, services and/or supports needed.

G. Collaborative Partner Relations: In order to ensure effective and efficient service delivery by all partnering agencies under the VEAP, all associated staff shall meet on a quarterly basis during the first year of the project and twice per year thereafter to discuss project operations, share information and best practices, review outcomes and discuss methods for improving service delivery to the veteran population in Southern California.

V. PERFORMANCE

A. Performance Measures: The WIOA requires a comprehensive accountability system to determine the effectiveness of services provided. CONTRACTOR shall agree to meet or exceed required federal, state and local standards, measurements and outcomes of all funding streams received in the operation and administration of the project.

Under this Contract, at minimum, CONTRACTOR shall enroll forty (40) eligible veterans; twenty (20) veterans served under the Adult – 15% eligibility and twenty (20) served under the Dislocated Worker – 25% eligibility.

CONTRACTOR shall enroll all (100%) veterans in training. CONTRACTOR can access training through available VEAP funds, leveraged from partners or from other projects, leveraged from formula funding, On-the-Job Training (OJT) and other resources. CONTRACTOR shall work and consult with OCWIB regarding additional training strategies to ensure the project meets the performance goals.

A minimum of sixteen (16) of the twenty (20) veterans enrolled under Adult and Dislocated worker eligibilities, for a project-wide total of thirty-two (32), will complete the training. At least thirteen (13) under each Adult and DW eligibility, will be placed in unsubsidized employment, for a project total of no less than twenty-six (26) of those placed in unsubsidized employment, at least eighteen (18) shall be training-related. All forty (40) enrolled under this contract, shall be exited at the end of the project term.

CONTRACTOR shall target at least fourteen (14), to retain employment for a minimum of six (6) months.

CONTRACTOR's performance shall be evaluated on a quarterly basis and is expected to meet or exceed established performance measures outlined in Attachment D.

B. MIS Submission/Reporting: CONTRACTOR shall adhere to MIS procedures for data entry, timelines and reporting requirements. Refer to the latest OCWIB policies, State Information Notices, State Directives and subsequent updates for complete information and guidance under WIOA.

- a. CONTRACTOR shall submit timely MIS paperwork for all participant activities and necessary updates in participant information and activities for input into the data reporting system as defined in OCWIB Policy 14-OCWDA-05. Failure to submit timely information may result in penalties including de-obligation of funds or revocation of this Contract;
- b. **CONTRACTOR shall use the most current templates provided by the OCWIB.** Incorrect and/or incomplete forms will be returned for re-submittal. All updates and corrections shall be clearly identified;
- c. CONTRACTOR shall review and approve all paperwork submitted to the OCWIB;
- d. CONTRACTOR shall comply with the Common Measures as defined in TEGL 17-05, WIOA Section 116 and proposed 20 CFR Part 677.150-210;
- e. CONTRACTOR shall comply with data verification requirements listed in the latest OCWIB policy and any subsequent updates;
- f. CONTRACTOR shall immediately implement and adhere to future policy revisions and changes or enhancements in procedures and processes pertaining to MIS submission and reporting;
- g. CONTRACTOR shall provide a complete and comprehensive data extraction report to COUNTY by the 10th of each month. **The report shall include all activity funded by this contract; and shall be included with the monthly performance report by the tenth day (10th) of each month.**
- h. Should CONTRACTOR elect to use a proprietary MIS/case management system, information must be reconciled to CalJOBS no less than once per quarter.

C. Corrective Action Plans: Performing below any individual performance measure for any quarter shall be subject to the following corrective action:

1. Technical assistance and assessment of the causes of the low performance;
2. Development and implementation of appropriate corrective action plan(s) to ensure contractual compliance;
3. Monitoring of subsequent performance to assess the impact of the corrective action plan(s); and
4. Corrective action plans shall include a date for responding to observations, questions, concerns and findings.

CONTRACTOR's performance is not limited to Common Measures and individual program requirements and performance measurements. CONTRACTOR is responsible for all commitments made in the RFP Proposal. CONTRACTOR's

performance trends and corrective action plans will be critical to decisions regarding Contract renewal. Failure to achieve the goals set forth in the Corrective Action Plan may result in penalties such as de-obligation of funds or revocation of this Contract.

D. Internal Monitoring: CONTRACTOR shall be responsible for on-going internal monitoring of the Veterans Program funded under this contract to ensure compliance with legislation, regulations, bulletins and directives. Results of internal monitoring must be in writing and must be available to OCWIB staff upon request. Internal monitoring shall include, but is not limited to the following:

1. Review of all VOS or hardcopy files to determine that eligibility criteria have been met;
2. Random VOS file review for IEP updates, case note documentations, attendance verification, training approval and enrollment, OJT employer agreement, training completion and certification, placement verification, supportive service documentation and delivery, and post placement follow-up;
3. Review of gaps in service delivery;
4. Ongoing review of participant rosters to ensure accuracy of data;
5. Verification of veterans attendance, performance and employment information

E. Accounting and Fiscal Controls:

1. CONTRACTOR shall operate program in accordance with 2 CFR 2900, et al. (Department of Labor – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards), all applicable provisions of 2 CFR Part 200, et al., all applicable OMB Circulars; Generally Accepted Accounting Principles (GAAP) and CFRs as indicated in Exhibit 7 of this Contract.
2. Authorized signatures for execution of documents, amendments, budget modifications and invoices are limited to those individuals identified in Exhibit 8.
3. Invoice templates shall be provided by the OCCR Accounting Department.
4. All program invoices including two original sets with wet signatures are due to the Orange County Community Resources (OCCR) Accounting Office by the twentieth day (20th) following the month being reported.
5. Invoices with multiple errors will be returned to CONTRACTOR for correction and re-submission.
6. Failure to provide timely and accurate invoices can result in reduction or elimination of this contract.

VI. DELIVERABLES

Limited funding and limited fund requires that expenditures and participant information be reported in a timely and accurate manner. CONTRACTOR shall submit the reports and data as detailed within the Scope of Services.

A. MIS: All data entry shall be completed and submitted to the OCWIB by the tenth day (10th) of each month for the previous month's activities. CONTRACTOR shall be responsible for data verification and contract performance compliance.

- B. Invoices:** CONTRACTOR shall submit monthly invoices to the Orange County Community Resources (OCCR) Accounting Office by the twentieth day (20th) following the month being reported.
- C. Match/In Kind Contribution:** CONTRACTOR shall track in-kind and/or cash match on a monthly basis. The amount of the contribution shall be indicated on Exhibit D. Matching funds will be subject to the reporting requirements contained in WIA Directive WSD12-3, Quarterly and Monthly Financial Reporting Requirements.
- D. Monthly Performance Report:** CONTRACTOR shall submit a Monthly Performance Report no later than by the tenth day (10th) each month for the previous month. The report shall include the following:
1. Participants enrolled
 2. Participants that entered training
 3. Participants that completed training
 4. Participants that attained certificate
 5. Participants that entered other training
 6. Participants that entered OJT
 7. Participants that completed OJT
 8. Participants that entered employment
 9. Participants that obtained training related employment
 10. Participants that were exited
 11. Partnerships developed for the targeted industry dynamics and the specific workforce needs
 12. Types of supportive services provided
 13. How the Earn & Learn and other training models were used to promote marketable skills attainment and career pathways
 14. Type of employment obtained
 15. Average wage for the employment placements
- E. Meetings:** CONTRACTOR shall participate in scheduled monthly, quarterly meetings including kick-off, VEAP partner and project activity, monitoring, special scheduled meetings, job fairs, recruitment, outreach and resource meetings. Attendance and representation at these meetings is critical for program success and will be tracked.

Attachment C - Budget
Pacific Gateway Workforce Investment Network (PGWIN)
VEAP 7 SERVICES
PY 15-16

PROGRAM NAME: VEAP 7 - Adult PGWIN		Budget Categories			In-Kind
		Career Services	Training	Total	Total
Allocation Percentage				0%	0%
PROGRAM	Direct Client Related Services:				
	Participant Supportive Services	\$ 4,500		\$ 4,500	
	Salaries	\$ 34,795		\$ 34,795	\$ 20,000
	Benefits	\$ 17,006		\$ 17,006	
	Travel/Mileage	\$ 200		\$ 200	
	Publishing/Marketing Materials/Printing	\$ 500		\$ 500	\$ 25,000
	Meetings/Conferences			\$ -	
	Customized Training (OJT/Other CT)		\$ 20,000	\$ 20,000	\$ 15,000
	Individual Training Account (ITA)		\$ 20,000	\$ 20,000	
	Consultant			\$ -	\$ 40,000
	Direct Client Services Subtotal	\$ 57,001	\$ 40,000	\$ 97,001	\$ 100,000
	Operations Activities:				
	Facility Rent			\$ -	\$ -
	Lease Termination and other fees			\$ -	\$ -
	Maintenance			\$ -	\$ -
	Utilities			\$ -	\$ -
	Insurance			\$ -	\$ -
	IT Services			\$ -	\$ -
	Telephone			\$ -	\$ -
	Professional Memberships			\$ -	\$ -
	Subscriptions			\$ -	\$ -
	Equipment			\$ -	\$ -
	Equipment Lease			\$ -	\$ -
	Drug Testing			\$ -	\$ -
	Office Supplies			\$ -	\$ -
	Postage			\$ -	\$ -
	Operations Subtotal	\$ -	\$ -	\$ -	\$ -
	All Program Subtotal	\$ 57,001	\$ 40,000	\$ 97,001	\$ 100,000
ADMIN	Administration				
	Salaries	\$ 2,024		\$ 2,024	
	Benefits	\$ 975		\$ 975	
	Audit			\$ -	\$ -
Administration Subtotal	\$ 2,999	\$ -	\$ 2,999	\$ -	
Total Budget		\$ 60,000	\$ 40,000	\$ 100,000	\$ 100,000

Attachment C - Budget
Pacific Gateway Workforce Investment Network (PGWIN)
VEAP 7 SERVICES
PY 15-16

PROGRAM NAME: VEAP 7 - DW PGWIN		Budget Categories			In-Kind
		Career Services	Training	Total	Total
Allocation Percentage				0%	0%
PROGRAM	Direct Client Related Services:				
	Participant Supportive Services	\$ 4,500		\$ 4,500	
	Salaries	\$ 34,795		\$ 34,795	\$ 20,000
	Benefits	\$ 17,006		\$ 17,006	
	Travel/Mileage	\$ 200		\$ 200	
	Publishing/Marketing Materials/Printing	\$ 500		\$ 500	\$ 25,000
	Meetings/Conferences			\$ -	
	Customized Training (OJT/Other CT)		\$ 20,000	\$ 20,000	\$ 15,000
	Individual Training Account (ITA)		\$ 20,000	\$ 20,000	
	Consultant			\$ -	\$ 40,000
	Direct Client Services Subtotal	\$ 57,001	\$ 40,000	\$ 97,001	\$ 100,000
	Operations Activities:				
	Facility Rent			\$ -	\$ -
	Lease Termination and other fees			\$ -	\$ -
	Maintenance			\$ -	\$ -
	Utilities			\$ -	\$ -
	Insurance			\$ -	\$ -
	IT Services			\$ -	\$ -
	Telephone			\$ -	\$ -
	Professional Memberships			\$ -	\$ -
	Subscriptions			\$ -	\$ -
	Equipment			\$ -	\$ -
	Equipment Lease			\$ -	\$ -
	Drug Testing			\$ -	\$ -
	Office Supplies			\$ -	\$ -
	Postage			\$ -	\$ -
	Operations Subtotal	\$ -	\$ -	\$ -	\$ -
	All Program Subtotal	\$ 57,001	\$ 40,000	\$ 97,001	\$ 100,000
ADMIN	Administration				
	Salaries	\$ 2,024		\$ 2,024	
	Benefits	\$ 975		\$ 975	
	Audit			\$ -	\$ -
Administration Subtotal	\$ 2,999	\$ -	\$ 2,999	\$ -	
Total Budget		\$ 60,000	\$ 40,000	\$ 100,000	\$ 100,000

Pacific Gateway Workforce Investment Network (PGWIN)
 WIOA PERFORMANCE
 Veterans Employment Assistance Program - ADULT, PY 2015-16

Cumulative	Performance Goals												TOTAL
	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	
1. Total Participants ENROLLED:	0	3	5	10	15	20	20	20	20	20	20	20	20
2. Placement in Education or Training	0		3	5	10	15	20	20	20	20	20	20	20
3. Completion of Education or Training	0		0	0	0	0	1	2	4	6	12	16	16
4. Placement in Unsubsidized Employment	0		0	0	0	0	0	0	3	5	6	13	13
a. Training related													
b. Other exits													
5. Total Participants EXITED:	0		0	0	0	0	0	0	5	10	15	20	20
a. Exit into unsubsidized employment													
b. Other exits													
6. Retained Employment (6 months)													
7. Average Earnings (Annually)													\$ 15,000

Pacific Gateway Workforce Investment Network (PGWIN)
 WIOA PERFORMANCE
 Veterans Employment Assistance Program - DISLOCATED WORKER, PY 2015-16

Cumulative	Performance Goals												TOTAL
	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	
1. Total Participants ENROLLED:	0	3	5	10	15	20	20	20	20	20	20	20	20
2. Placement in Education or Training	0	0	3	5	10	15	20	20	20	20	20	20	20
3. Completion of Education or Training	0	0	0	0	0	0	1	2	4	8	12	16	16
4. Placement in Unsubsidized Employment	0	0	0	0	0	0	0	0	3	5	8	13	13
a. Training related	0	0	0	0	0	0	0	0	2	2	2	9	9
5. Total Participants EXITED:	0	0	0	0	0	0	0	0	5	10	15	20	20
a. Exit into unsubsidized employment	0	0	0	0	0	0	0	0	3	5	8	13	13
b. Other exits	0	0	0	0	0	0	0	0	2	5	7	7	7
6. Retained Employment (6 months)	0	0	0	0	0	0	0	0	0	0	0	0	0
7. Average Earnings (Annually)													\$ 15,000

**DISTRICT ATTORNEY
CHILD SUPPORT ENFORCEMENT CERTIFICATE REQUIREMENTS**

In order to comply with child support enforcement requirements of the County of Orange, the required contractor data and certifications must be submitted within 10 days of award notification.

Failure of the contractor to submit the data/or certifications required shall result in the contractor may be disqualified from being considered for contract award. Subsequent to issuance of the contract, failure to comply with all federal and state reporting requirements for child support enforcement or to comply with all lawfully served Wage and Earning Assignment shall constitute a material breach of the contract. Failure to cure breach within 60 calendar days of notice from the County shall constitute grounds for termination.

- A. In the case of an individual bidder/proposer, his/her name, date of birth, Social Security number, and residence address:

Name: _____
D.O.B.: _____ Social Security No: _____
Residence Address: _____

- B. In the case of a bidder/proposer doing business other than as an individual, the name, date of birth, Social Security number, and residence address of each individual who owns an interest of 10 percent or more in the contracting entity (If no individual owns an interest of 10 percent or more, indicate not applicable.):

Name: _____
D.O.B.: _____ Social Security No: _____
Residence Address: _____

Name: _____
D.O.B.: _____ Social Security No: _____
Residence Address: _____

Name: _____
D.O.B.: _____ Social Security No: _____
Residence Address: _____

**DISTRICT ATTORNEY
CHILD SUPPORT ENFORCEMENT CERTIFICATE REQUIREMENTS**

- C. A certification that the contractor has fully complied with all applicable federal and state reporting requirements regarding its employees; and
- D. A certification that the contractor has fully complied with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment and will continue to so comply.

CITY OF LONG BEACH, administering entity for Pacific Gateway
"I certify that Workforce Investment Network is in full compliance with all applicable federal and state reporting requirements regarding its employees and with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignments and will continue to be in compliance throughout the term of any contract issued pursuant to this Request for Proposal process with the County of Orange. I understand that failure to comply shall constitute a material breach of the contract and that failure to cure such breach within 60 calendar days of notice from the County shall constitute grounds for termination of the contract."

PATRICK H. WEST

Name

CITY MANAGER

Title

Assistant City Manager

EXECUTED PURSUANT
TO SECTION 301 OF
THE CITY CHARTER.

Authorized Signature

APPROVED AS TO FORM AND RETURNED

October 14, 2015
CHARLES PARKIN, City Attorney

By Lauren Misajon
LAUREN MISAJON, DEPUTY CITY ATTORNEY

DRUG FREE WORKPLACE CERTIFICATION

Company/Organization Name: CITY OF LONG BEACH, Administering Entity for
PACIFIC GATEWAY WORKFORCE INVESTMENT NETWORK

The Contractor or grant recipient named above hereby certifies compliance with Government Code 8355 in matters relating to providing a drug-free workplace. The above named Contractor will:

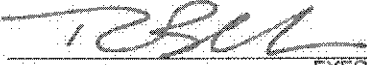
1. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the person's or organization's workplace and specifying the actions to be taken against employees for violations of the prohibitions, as required by Government Code Section 8355(a).
2. Establish a Drug Free Awareness Program as required by Government Code Section 8355(b), to inform employees about all of the following:
 - (a) The dangers of drug abuse in the workplace,
 - (b) The person's or organization's policy of maintaining a drug-free workplace,
 - (c) Any available drug counseling, rehabilitation and employee assistance programs, and
 - (d) Penalties that may be imposed upon employees for drug abuse violations
3. Provide as required by Government code Section 8355f that every employee who works on the proposed contract or grant
 - (a) Will receive a copy of the company's drug-free policy statement described in paragraph (1) above, and
 - (b) Will agree to abide by the terms of the company's statement as a condition of employment in the contract or grant.

CERTIFICATION

I, the official named below, hereby swear that I am duly authorized legally to bind the contractor or grant recipient to the above described certification.

PATRICK H. WEST

Official's Name Assistant City Manager

 October 20, 2015 LOS ANGELES

Date Executed EXECUTED PURSUANT TO SECTION 301 OF THE CITY CHARTER Executed in the County of CITY MANAGER

Contractor or Grantee Recipient Signature and Title

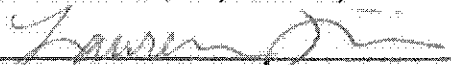
APPROVED AS TO FORM AND RETURNED

October 14, 2015 Page 3 of 10

County of Orange
OC Community Resources

Pacific Gateway Workforce Investment Network
15-28-0005-VEAP

CHARLES PARKIN, City Attorney

By 
LAUREN MISAJON, DEPUTY CITY ATTORNEY

**CERTIFICATION REGARDING
DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION
LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Order 12549, Debarment and suspension, 29 CFR Part 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 Federal Register (pages 19160-19211)

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS FOR CERTIFICATION)

- (1) The contractor or grant recipient of Federal assistance funds certifies, by submission of this exhibit document, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the contractor or grant recipient of Federal assistance funds is unable to certify to any of the statements in this certification, the contractor or grant recipient shall attach an explanation to this exhibit document.

PATRICK H. WEST

Name

CITY MANAGER

Title



Assistant City Manager

EXECUTED PURSUANT
TO SECTION 301 OF
THE CITY CHARTER.

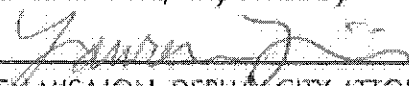
Authorized Signature

APPROVED AS TO FORM AND RETURNED

October 14, 2015

CHARLES PARKIN, City Attorney

By


LAUREN MISAJON, DEPUTY CITY ATTORNEY

DEBARMENT AND SUSPENSION CERTIFICATION - Instructions for Certification

1. By signing and submitting this exhibit document, the contractor or grant recipient of Federal assistance funds is providing the certification as set out below.
2. The certification in the clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the contractor or grant recipient of Federal assistance funds knowingly rendered an erroneous certification in addition to other remedies available to the Federal Government, the Department of Labor (DOL) may pursue available remedies, including suspension and/or debarment.
3. The contractor recipient of Federal assistance funds shall provide immediate written notice to the County of Orange/Workforce Investment Board to which this certification document is submitted if at any time the contractor or grant recipient of Federal assistance funds learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The contractor or grant recipient of Federal assistance funds agrees by submitting this certification document that, should the covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the DOL.
5. The contractor or grant recipient of Federal assistance funds further agrees by submitting this certification document that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
6. The contractor or grant recipient in a covered transaction may rely upon a certification of a contractor or grant recipient in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. The contractor or grant recipient may decide the method and frequency by which it determines the eligibility of its principals.
7. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the contractor or grant recipient is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
8. Except for transactions authorized under paragraph 5 of these instructions, if the contractor or grant recipient in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the DOL may pursue available remedies, including suspension and/or debarment.

**CERTIFICATION REGARDING LOBBYING
CERTIFICATION FOR CONTRACTS, GRANTS, LOANS,
AND COOPERATIVE AGREEMENTS**

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all* subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans, and cooperative agreements) and that all* subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

CITY OF LONG BEACH, Administering Entity for
PACIFIC GATEWAY WORKFORCE INVESTMENT NETWORK

Grantee/Contractor Organization

PATRICK H. WEST

Name

CITY MANAGER

Title

Assistant City Manager

EXECUTED PURSUANT
TO SECTION 311 OF
THE CITY CHARTER.

Authorized Signature

*Note: In these instances, "All," in the Final Rule is expected to be clarified to show that it applies to covered contract/grant transactions over \$100,000 (per OMB).

APPROVED AS TO FORM AND RETURNED

County of Orange
OC Community Resources

October 19
Page 6 of 10, 20
CHARLES PARKIN, City Attorney

15
Pacific Gateway Workforce Investment Network
15-28-0005-VEAP

By *Lauren Misajon*
LAUREN MISAJON, DEPUTY CITY ATTORNEY

INSTRUCTIONS FOR COMPLETION OF SF-LLL DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF LLL-A Continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying is and has been secured to influence the outcome of a covered action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include congressional district, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e. g. the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report, in item 4 checks "Subawardee", then enter the full name, address, city, state, and zip code of the prime Federal recipient. Include congressional district, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e. g. Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number the contract, grant, or loan award number; the application proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP DE 90 09."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the primary entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in kind contribution, specify the nature and value of the in kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted and the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF LLL A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348 0046) Washington D.C., 20503.

N/A

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose activities pursuant to 31 U.S.C 1352

<p>1. Type of Federal Actions:</p> <ul style="list-style-type: none"> a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance 	<p>2. Status of Federal Actions:</p> <ul style="list-style-type: none"> a. bid/offer/application b. initial award c. post-award 	<p>3. Report Type:</p> <ul style="list-style-type: none"> a. initial filing b. material change <p>For material change only: Year: _____ Quarter: _____ Date of last report: _____</p>
<p>4. Name and Address of Reporting Entity</p> <p>Prime Subawardee</p> <p>Tier _____ if known</p> <p>Congressional District, if known: _____</p>		<p>5. If Reporting Entity in No. 4 is a Subawardee: Enter Name and Address of Prime:</p> <p>Congressional District, if known: _____</p>
<p>6. Federal Department / Agency:</p>	<p>7. Federal Program Name/Description</p>	
<p>8. Federal Action Number, if known:</p>	<p>9. Award Amount, if known: \$ _____</p>	
<p>10a. Name and Address of Lobbying Entity (if individual, last name, first name, MI):</p> <p>(attach Continuation Sheets SF-LLL-A, if necessary)</p>		<p>10b. Individual Performing Services (including address if different from No. 10a) (last name, first name, MI):</p>
<p>11. Amount of Payment (check all that apply):</p> <p>\$ Actual Planned</p>	<p>13. Type of Payment (check all that apply)</p> <ul style="list-style-type: none"> a. retainer b. one-time fee c. commission d. contingent fee e. deferred f. other specify: _____ 	
<p>12. Form of Payment (check all that apply):</p> <ul style="list-style-type: none"> a. cash b. in-kind: specify: _____ <p>nature: _____ value: _____</p>		
<p>14. Enter Description of Services performed or to be Performed and date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment indicated on item 11:</p>		
<p>15. Continuation sheet(s) SF-LLL-A attached: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>		
<p>16. Information requested through this form authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semiannually and will be available for public inspection. A person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</p>		<p>Signature: _____</p> <p>Print Name: _____</p> <p>Title: _____</p> <p>Telephone No: _____</p> <p>Date: _____</p>

N/A

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMS - 0348-0046

Reporting Entity: _____

Page _____ of _____

EDD INDEPENDENT CONTRACTOR REPORTING REQUIREMENTS

Effective January 1, 2001, the County of Orange is required to file federal Form 1099-Misc for services received from a "service provider" to whom the County pays \$600 or more within a single calendar year. The purpose of this reporting requirement is to increase child support collection by helping to locate parents who are delinquent in their child support obligations.

The term "service provider" is defined in California Unemployment Insurance Code Section 1088.8, subparagraph B.2 as "an individual who is not an employee of the service recipient for California purposes and who received compensation or executes a Contract for services performed for that service recipient within or without the state." The term is further defined by the California Employment Development Department to refer specifically to independent contractors. An independent Contractor is defined as "an individual who is not an employee of the.....government entity for California purposes and who receives compensation or executes a Contract for services performed for that....government entity either in or outside of California."

The reporting requirement does not apply to corporations, general partnerships, limited liability partnerships, and limited liability companies.

Additional information on this reporting requirement can be found at the California Employment Development Department web site located at www.edd.ca.gov/txicr.htm.

To comply with the reporting requirements, County procedures for contracting with independent contractors mandate that the following information be completed and forwarded to the contracting agency/department immediately upon request:

- First name, middle initial and last name
- Social Security Number
- Address
- Start and expiration dates of Contract
- Amount of Contract

N/A EXEMPT

First Name & Middle Initial	Last Name
Social Security No.	
Contract Number	\$ Dollar Value of Contract
Start Date	Expiration Date



ORANGE COUNTY
Community Resources
Our Community. Our Commitment.

**Subject: OC Community Resources
Contract Reimbursement Policy**

Effective: July 1, 2010
Revised: April 3, 2015

PURPOSE:

This policy contains updated fiscal documentation requirements for contract reimbursement for OC Community Services. The procedures provide instructions for submitting reimbursement demand letter or invoice.

EFFECTIVE DATE:

July 1, 2010

REVISION DATE:

April 3, 2015

REFERENCES:

Executed Board of Supervisors approved contract
Budget included in contract or presented as an exhibit
OMB Circular A-21 Cost Principles for Educational Institutions
OMB Circular A-87 Cost Principles for State, Local, and Indian Tribal Governments
OMB Circular A-122 Cost Principles for Non-Profit Organizations
48 CFR Part 31 Contract Cost Principles and Procedures
24 CFR Parts 85, 570.502, 570.201, 576.21, 576.51 and 576.61: For Housing & Community Development and Homeless Prevention Contracts only.

BACKGROUND:

The executed Board of Supervisors approved contract is the authorization for all aspects of payment, including the maximum amount to be paid, the payee, and the scope of services and work. Payments are made in strict accordance with the contract terms. Allowable costs are identified in referenced OMB Circulars and Code of Federal Regulations (CFR).

ATTACHMENTS:

Reimbursement Policy Status Form (RPS-1)

POLICY:

Contractor is responsible for the submission of accurate claims. This reimbursement policy is intended to ensure that the Contractor is reimbursed based on the code or codes that correctly describe the services provided. This information is intended to serve only as a general reference resource regarding OC Community Services' reimbursement policy for the services described and is not intended to address every aspect of a reimbursement situation. Accordingly, OC Community Services may use reasonable discretion in interpreting and applying this policy to services provided in a particular case. Other factors affecting reimbursement may supplement, modify or, in some cases, supersede this policy. These factors may include, but are not limited to: legislative mandates and County directives. OC Community Services may modify this reimbursement policy at any time by publishing a new version of the policy. However, the information presented in this policy is accurate and current as of the date of publication.

Cost incurred by contractor must be substantiated and incurred during the contract period. Total of all reimbursements cannot exceed the amount of the contract. Cost must be allowable under applicable OMB Circular or CFR. All supporting documentation for reimbursement must be submitted with demand letter or invoice. If contract requires matching

contribution, documentation substantiating contribution match must be submitted with demand letter or invoice.

At any time, based on County's business needs and/or Contractor's performance, the County may designate Contractor to submit abbreviated or comprehensive documentation, as identified in the respective sections. Upon designation, Contractor will be notified, in writing via Reimbursement Policy Status Form, of which requirements are in full force. When Contractor is required to submit comprehensive documentation, in addition to the items identified in the Abbreviated Documentation Requirements Section, Contractor must also provide the documentation identified in the Comprehensive Documentation Requirements Section.

PROCEDURES:

Abbreviated Documentation Requirements

Compile and submit:

1. Supporting documentation includes, but is not limited to:
 - a. General ledger/expense transaction report
 - b. Payroll register or labor distribution report
 - c. Payroll allocation plan
 - d. Personnel Documentation
 - e. Benefit plan and calculation of benefit
 - f. Employer-employee contract for non-customary benefits (if applicable)
 - g. Pre-approval documentation for equipment purchases equal to or greater than \$5,000
2. The following is required with the first month's invoice only:
 - a. Cost allocation plan for rent, utilities, etc.
 - b. Indirect rate approved by cognizant agency (if applicable)
3. Summary of leveraged resources (if applicable)
4. Demand letters must contain the following certification (if required by Contract):
"I certify under the penalty of perjury that this claim is true and correct and that the requested payments have been made. I also certify that this claim agrees with our official payroll and financial records and that these amounts have not been, or will not be claimed from any other funding source"
5. Grantee Performance Report (if required by Contract)
6. Supporting documentation shall be on single-sided sheets
7. Please redact employees' Social Security Number from payroll reports
8. Demand letter or invoice, along with supporting documentation shall be submitted to:
OC Community Resources Accounting
1770 N. Broadway, 4th Floor
Santa Ana, CA 92706

Comprehensive Documentation Requirements

In addition to abbreviated documentation, compile and submit:

9. Purchase orders, invoices, and receipts
10. Cashed checks
11. Check register
12. Consultant/sub-contractor invoices (with description of services)
13. Travel expense documentation: mileage reimbursement, hotel bill, meal reimbursement

ACTION:

Distribute this policy to all appropriate staff

INQUIRIES:

Inquiries may be directed to the following:

- **Susan Long: (714) 480-6532 or Susan.Long@occr.ocgov.com**
- **Eric Takanishi: (714) 480-6531 or Eric.Takanishi@occr.ocgov.com**

ORANGE COUNTY
Community Resources
 Our Community. Our Commitment.

STEVE FRANKS
 DIRECTOR
 OC COMMUNITY RESOURCES

JENNIFER HAWKINS, DVM
 INTERIM DIRECTOR
 OC ANIMAL CARE

KAREN ROPER
 DIRECTOR
 OC COMMUNITY SERVICES

STACY BLACKWOOD
 DIRECTOR
 OC PARKS

HELEN FRIED
 COUNTY LIBRARIAN
 OC PUBLIC LIBRARIES





Veteran's Employment-Related Assistance Program

Authorized Signature Form

CITY OF LONG BEACH, Administering Entity for
 PACIFIC GATEWAY WORKFORCE INVESTMENT NETWORK

Contractor: _____

The documents identified below required authorized signatures for execution, processing and payment. Complete this form, entering the names and signatures of persons authorized to sign the documents. Notification of any changes in authorized signatures is the responsibility of the Contractor. Changes without prior notification by the vendor may cause delay in processing agreements or payments.


Document	Print/Type Name	Signature	Date
Contracts and Amendments (2 signatures are required if corporation)	Patrick H. West		10/20/15
		Assistant City Manager	EXECUTED PURSUANT TO SECTION 301 OF THE CITY CHARTER.
Budget Line Item Modifications (without total funding increase or decrease)	Patrick H. West		10/20/15
		Assistant City Manager	EXECUTED PURSUANT TO SECTION 301 OF THE CITY CHARTER.
Invoices/Vouchers	Nick Schultz		10/21/15
	Erick Serrato		10/21/15

Note: Authorized signatures for corporations: Requirement of two signatures as follows: (1) One signature by the Chairman of the Board, the President or any Vice President, and (2) one signature by the Secretary, any Assistant Secretary, the Chief Financial Officer or an Assistant Treasurer. Contract authorization must be given by action of the governing board of the organization or legal owners. Please attach copies of minutes/bylaws or statement granting such authorization. For contractors that are not corporations, a person who has the authority to bind the contractor to a contract.

APPROVED AS TO FORM AND RETURNED

October 14, 2015

CHARLES PARKIN, City Attorney

By  Page 1 of 1 Pacific Gateway Workforce Investment Network
 LAUREN MISAJON, DEPUTY CITY ATTORNEY
 FY 2015-16 Contract #15-28-0005-VEAP



COMMUNITY INVESTMENT
 DIVISION

1300 SOUTH GRAND
 BLDG. B, THIRD FLOOR
 SANTA ANA, CA 92705
 PHONE: 714.480.6500
 FAX: 714.834.7132



OC Community Services